

## HIGHER HOMOTOPY GROUPS IN LOW DIMENSIONAL TOPOLOGY

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joint with Peter Teichner (MPIM Bonn)

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Talk based on: <https://arxiv.org/abs/2105.13032>

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## Introduction

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## Spaces of embeddings

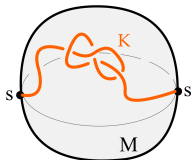
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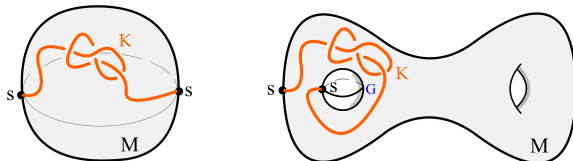
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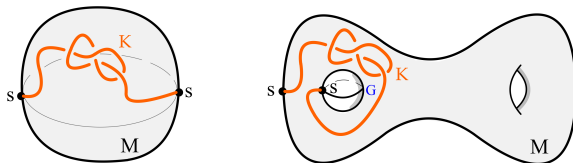
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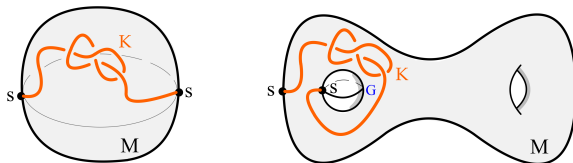


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## In low dimensional topology...

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- More recently, intensively studied is the set of 2-knots  $\pi_0 \mathbf{Emb}_\partial(\mathbb{D}^2, M)$  for a 4-manifold  $M$ . This can be huge – for example, “spinning” a classical knot gives a 2-knot in  $\pi_0 \mathbf{Emb}_\partial(\mathbb{S}^2, \mathbb{R}^4) \cong \pi_0 \mathbf{Emb}_\partial(\mathbb{D}^2, \mathbb{D}^4)$ .

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- Although usually only the sets of components are considered, we will see that **higher homotopy groups** of embedding spaces are also useful.

## Space Level Light Bulb Theorem

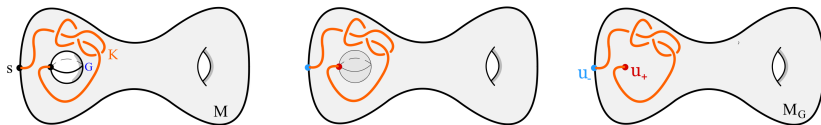
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## Theorem [K-Teichner]

In the **setting with a dual**, if we denote  $M_G := M \cup_{\nu_G} h^{d-k+1}$ , then

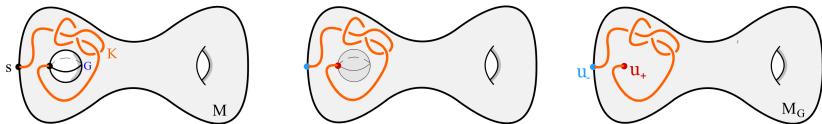


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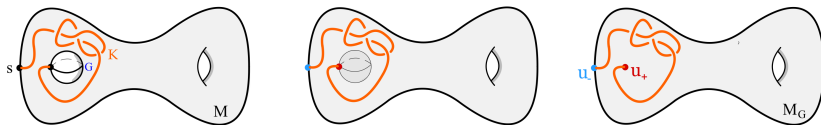
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- Superscript  $\varepsilon$  means each embedded disk is equipped with a “push-off”(…).



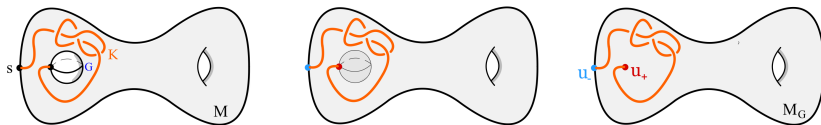
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**Example:**  $k = 1, d = 3$

This recovers the **classical LBT**: isotopy classes of arcs in a 3-manifold  $M$  with ends on two components of  $\partial M$ , one of which is  $\mathbb{S}^2$ , are in bijection with  $\pi_1(M \cup_G h^3)$ .  $\implies$  a knot in the chord for a light bulb can be unknotted!

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$k = d$  : Recovers a theorem (and proof) of Cerf '68:

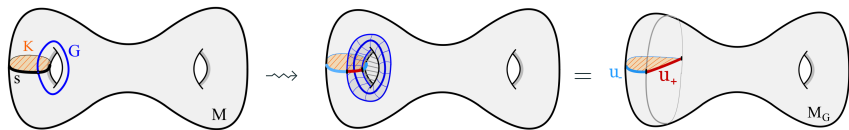
$$\mathbf{Diff}_\partial^+(\mathbb{D}^d) = \mathbf{Emb}_\partial(\mathbb{D}^d, \mathbb{D}^d) \simeq \Omega \mathbf{Emb}_\partial(\mathbb{D}^{d-1}, \mathbb{D}^d).$$

In particular,  $\pi_0 \mathbf{Diff}_\partial^+(\mathbb{D}^4) \cong \pi_1(\mathbf{Emb}_\partial(\mathbb{D}^3, \mathbb{D}^4); \mathbb{U})$ . Open: is this nontrivial?

Cerf's trick

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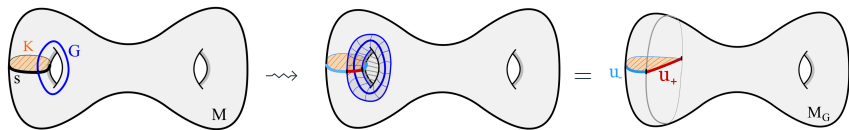
# Cerf's trick: Proof of Space Level LBT



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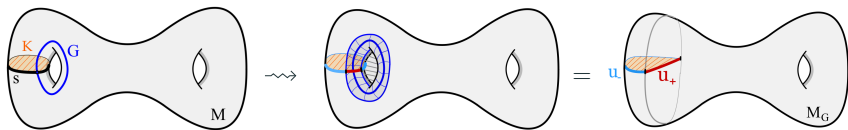
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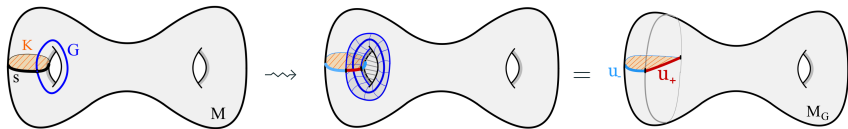
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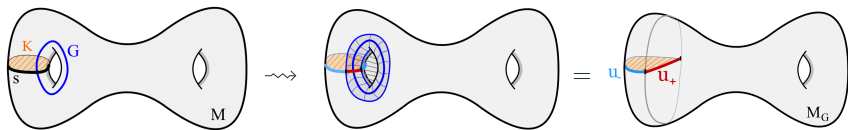
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where:  $\text{amb}_U$  is the connecting map (use the family ambient isotopy theorem to extend loops),  $\text{fol}_U^\varepsilon(K)$  is the loop of  $\varepsilon$ -augmented  $(k-1)$ -disks foliating the sphere  $-U \cup K$ . □

## LBT for 2-disks in 4-manifolds

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## The 4D setting with a dual

Let  $M$  be an oriented compact smooth 4-manifold together with

- a knot  $\mathbf{s}: \mathbb{S}^1 \hookrightarrow \partial M$ ,
- an embedded sphere  $G: \mathbb{S}^2 \hookrightarrow \partial M$ ,

so that  $\mathbf{s}$  and  $G$  intersect transversely and positively in a single point. Recall that we study the **set** of isotopy classes  $\mathbf{Emb}_\partial[\mathbb{D}^2, M] := \pi_0 \mathbf{Emb}_\partial(\mathbb{D}^2, M)$  of neat smooth embeddings  $K: \mathbb{D}^2 \hookrightarrow M$  which on  $\partial\mathbb{D}^2$  agree with  $\mathbf{s}$ .

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By Space Level LBT we have  $\mathbf{Emb}_{\partial}[\mathbb{D}^2, M] := \pi_1 \mathbf{Emb}_{\partial}^{\varepsilon}(\mathbb{D}^1, M \cup_{\nu_G} h^3)$  and we can compute the latter **group**! Moreover, we can interpret the resulting group structure on the original set, as follows.

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- Let  $\mathbf{dax}: \pi_3 M \rightarrow \mathbb{Z}[\pi \setminus 1]^\sigma$  be the homomorphism defined in terms of the Dax invariant  $\mathbf{Dax}$  of the classes of loops of arcs in  $M_G$  (...).

**Theorem [K-Teichner]** There is an exact sequence of sets

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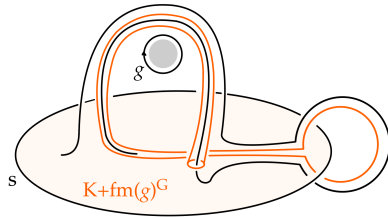
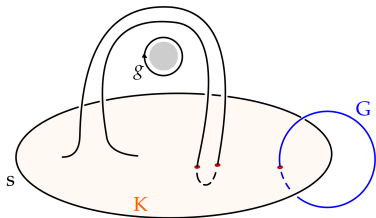
$\iff$  the relative Dax invariant, given by a clever count of double point loops in a homotopy to  $K$ , detects the action:

$$\text{Dax}(K + \text{fm}(r)^G, K) = [r].$$

# Picture of LBT for 2-disks

**Theorem [K-Teichner]** There is an exact sequence of **groups**

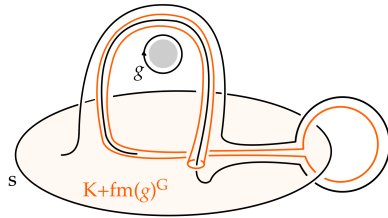
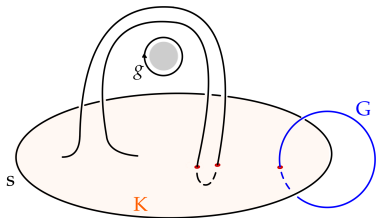
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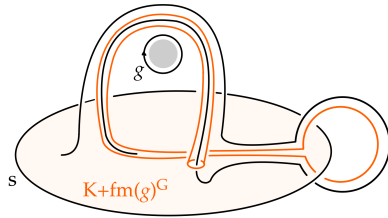
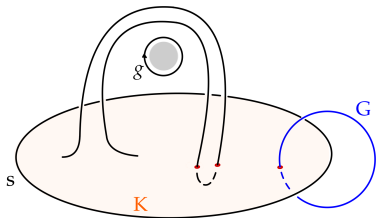


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- We recover LBT for spheres of Gabai ('20) and Schneiderman–Teichner ('21).

Thank you!