HOMOTOPY GROUPS OF SOME EMBEDDING SPACES

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Based on the joint work with Peter Teichner (MPIM Bonn) https://arxiv.org/abs/2105.13032



2 The main result today, and applications

3 Metastable homotopy groups

Motivation

- Consider compact smooth manifolds *V* and *X* with nonempty boundary, with $k := \dim V$, and $d := \dim X$ such that $1 \le k \le d$.
- General goal. Study the homotopy type of the space

$\mathsf{Emb}_{\partial}(V, X)$

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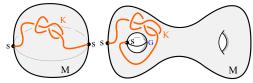
• Recall that a smooth map K is an embedding if it is *injective* and at any $v \in V$ the derivative $dK|_v$ is *injective*, and K is neat if it is transverse to the boundary and $K(V) \cap \partial X = K(\partial V)$.

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- For example, for (k, d) = (1, 3) and (2, 3):



• For $V = \mathbb{D}^k$, the setting with a dual: if there exists $G: \mathbb{S}^{d-k} \hookrightarrow \partial X$, such that G has trivial normal bundle and $G \pitchfork \mathbf{s} = \{pt\}$. Like pictures 2 and 3!

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• Recently, intensively studied is the set of (long) 2-knots in a 4-manifold *M*: $\pi_0 \operatorname{Emb}_{2}(\mathbb{D}^2, M)$

This can be huge – for example, "spinning" a classical knot gives a 2-knot in $\pi_0 \operatorname{Emb}_{\partial}(\mathbb{S}^2, \mathbb{R}^4) \cong \pi_0 \operatorname{Emb}_{\partial}(\mathbb{D}^2, \mathbb{D}^4).$

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Recall that setting with a dual means: we have a *d*-manifold *M* and embedding $\mathbf{s} = \partial \mathbf{U} \colon \mathbb{S}^{k-1} \hookrightarrow \partial M$, such that there exists $G \colon \mathbb{S}^{d-k} \hookrightarrow \partial M$ with trivial normal bundle and such that $G \pitchfork \mathbf{s} = \{pt\}$.

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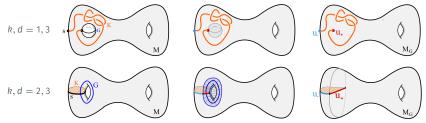
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- 2. There is a short exact sequence of groups (sets if $d 2\ell 1 = 0$):

$$\mathbb{Z}[\pi_1 X] / (1) \oplus rel_{\ell,d} \oplus \mathsf{dax}(\pi_{d-\ell}(X) \xrightarrow[]{\partial \mathfrak{r}}{\underset{\mathsf{Dax}}{\to}} \pi_{d-2\ell-1}(\mathsf{Emb}_{\partial}(\mathbb{D}^{\ell}, X), u) \xrightarrow{p_u} \pi_{d-\ell-1} X.$$

where Dax is defined on the image of the realisation map $\partial \mathbf{r}$ and is its explicit inverse, and $rel_{1,d} := \emptyset$ and $rel_{\ell,d} := \langle g - (-1)^{d-\ell}g : g \in \pi_1 X \rangle$ if $\ell \geq 2$

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• Therefore, we have (after a bit more work to account for ε -augmentations) a (more or less) explicit description of $\pi_n \operatorname{Emb}_{\partial}(\mathbb{D}^k, M)$ for $n \leq d - 2k$ and $d \geq 4$, assuming there is a dual for the boundary condition $\mathbf{s} \colon \mathbb{S}^{k-1} \hookrightarrow \partial M$.

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- $\cdot\,$ We make this more explicit, and compute many classes of examples in K' 21.

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$$\begin{split} k &= 1: \ \mathsf{Emb}_{\partial}(\mathbb{D}^{1}, M) \simeq \Omega \, \mathsf{Emb}_{\partial}^{\varepsilon}(\mathbb{D}^{0}, X) \simeq \Omega \mathbb{S}^{d-1} \times \Omega X \\ d &= 2: \ \text{The map amb is "point-pushing":} \\ & \{ \text{arcs in a surface } M, \text{ with ends fixed on two components of } \partial M \} / \text{isotopy} \\ &\cong \mathbb{Z} \oplus \pi_{1}(M \cup_{G} h^{2}). \end{split}$$

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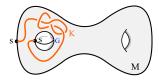
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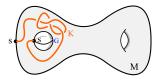


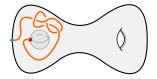
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- k = d-1: $\operatorname{Emb}_{\partial}(\mathbb{D}^{d-1}, \mathbb{S}^1 \times \mathbb{D}^{d-1}) \simeq \Omega \operatorname{Emb}_{\partial}(\mathbb{D}^{d-2}, \mathbb{D}^d)$
 - d = 4: $\pi_0 \operatorname{Emb}_{\partial}(\mathbb{D}^3, \mathbb{S}^1 \times \mathbb{D}^3) \cong \pi_1 \operatorname{Emb}_{\partial}(\mathbb{D}^2, \mathbb{D}^4)$, cf. Budney–Gabai.

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- k = d: Recovers a theorem (and proof) of Cerf '68:

Theorem (Cerf '68)

There is a homotopy equivalence $\operatorname{Diff}^+_{\partial}(\mathbb{D}^d) \simeq \Omega \operatorname{Emb}_{\partial}(\mathbb{D}^{d-1}, \mathbb{D}^d)$. In particular, $\pi_0 \operatorname{Diff}^+_{\partial}(\mathbb{D}^4) \cong \pi_1(\operatorname{Emb}_{\partial}(\mathbb{D}^3, \mathbb{D}^4); U).$

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d = 4: $\pi_0 \operatorname{Emb}_{\partial}(\mathbb{D}^3, \mathbb{S}^1 \times \mathbb{D}^3) \cong \pi_1 \operatorname{Emb}_{\partial}(\mathbb{D}^2, \mathbb{D}^4)$, cf. Budney–Gabai.

k = d: Recovers a theorem (and proof) of Cerf '68:

Theorem (Cerf '68)

There is a homotopy equivalence $\operatorname{Diff}^+_{\partial}(\mathbb{D}^d) \simeq \Omega \operatorname{Emb}_{\partial}(\mathbb{D}^{d-1}, \mathbb{D}^d)$. In particular, $\pi_0 \operatorname{Diff}^+_{\partial}(\mathbb{D}^4) \cong \pi_1(\operatorname{Emb}_{\partial}(\mathbb{D}^3, \mathbb{D}^4); U).$

Open problem

Is $\pi_0 \operatorname{Diff}^+_{\partial}(\mathbb{D}^4)$ trivial? Compute it.

See Budney-Gabai, Gay, Watanabe for some candidate diffeomorphisms.

Metastable homotopy groups

• Whitney '40s: stable range $\ell < \frac{d}{2}$.

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for a certain space $P_2(V, X)$ built out of pairs of points in X.

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 - $\operatorname{Emb}(V,X) \to P_n(V,X)$ is $(nd (n+1)\ell (2n-1))$ -connected (hard!).
 - Use homotopy theoretic tools to study $P_n(V, X)$.

• Therefore, part 1) in the Main Theorem, which said

 $p_u \colon \pi_n(\mathsf{Emb}_\partial(\mathbb{D}^\ell, X), u) \cong \pi_n(\mathsf{Imm}_\partial(\mathbb{D}^\ell, X), u) \cong \pi_{n+\ell}X, \qquad \text{for } 0 \le n \le d-2\ell-2.$

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It turns out this is given as the image of a certain homomorphism dax: $\pi_{d-\ell}X \to \mathbb{Z}[\pi_1X \setminus 1].$

- The desired kernel is the cokernel of $\delta_{\rm Imm}$.

Theorem [Dax '72]

There is an isomorphism $\pi_{d-2\ell-1}(\operatorname{Imm}(V,X),\operatorname{Emb}(V,X),u) \cong \Omega_0(\mathcal{C}_u;\theta_u)$, the degree 0 normal bordism group of a certain space \mathcal{C}_u with a stable normal bundle θ_u over it.

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Theorem [K-Teichner '22]

There is an isomorphism **Dax**: $\pi_{d-2\ell-1}(\operatorname{Imm}(V,X), \operatorname{Emb}(V,X), u) \to \mathbb{Z}[\pi_1X]_{rel_{\ell,d}}$ given as follows: represent a relative class by a "perfect" map

 $F \colon (\mathbb{I}^{d-2\ell-1}, \mathbb{I}^{d-2\ell-2} \times \{0\}, \mathbb{I}^{d-2\ell-2} \times \{1\} \cup \partial \mathbb{I}^{d-2\ell-2} \times \mathbb{I}) \to (\mathsf{Imm}, \mathsf{Emb}, u)$

i.e. F is smooth and its track

 $\widetilde{F} \colon \mathbb{I}^{d-2\ell-1} \times V \to \mathbb{I}^{d-2\ell-1} \times X, \quad (\vec{t}, v) \mapsto (\vec{t}, F(\vec{t}, v)),$

has no triple points and double points $(\vec{t}_i, x_i) \in \mathbb{I}^{d-2\ell-1} \times V$ for i = 1, ..., r are isolated and transverse.

Theorem [K–Teichner '22]

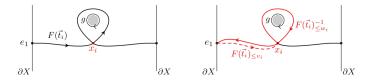
There is an isomorphism $Dax: \pi_{d-2\ell-1}(Imm(V,X), Emb(V,X), u) \to \mathbb{Z}[\pi_1X]_{rel_{\ell,d}}$ given as follows: represent a relative class by a "perfect" map

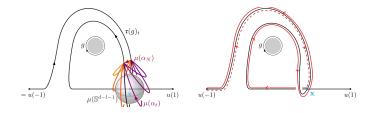
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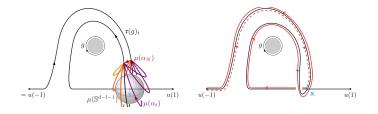
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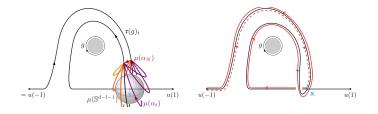
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Finally, for $V = \mathbb{D}^{\ell}$ we can describe $\operatorname{im}(\delta_{\operatorname{Imm}})$ as $\langle 1 \rangle \oplus \operatorname{im}(\operatorname{dax})$ where $\operatorname{dax}: \pi_{d-\ell} X \to \mathbb{Z}[\pi_1 X \setminus 1], \quad \operatorname{dax}(a) = \operatorname{Dax}(\widetilde{A}),$ where we represent $a \in \pi_{d-\ell} X$ by a map $A: \mathbb{I}^{d-2\ell} \times \mathbb{D}^{\ell} \to X.$



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We can compute this in many classes of examples! See [K '21].

Thank you!