#### HOMOTOPY GROUPS OF SOME EMBEDDING SPACES

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Based on the joint work with Peter Teichner (MPIM Bonn) https://arxiv.org/abs/2105.13032



2 The main result today, and applications

3 Metastable homotopy groups

Motivation

- Consider compact smooth manifolds *V* and *X* with nonempty boundary, with  $k := \dim V$ , and  $d := \dim X$  such that  $1 \le k \le d$ .
- General goal. Study the homotopy type of the space

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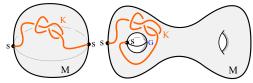
• Recall that a smooth map K is an embedding if it is *injective* and at any  $v \in V$  the derivative  $dK|_v$  is *injective*, and K is neat if it is transverse to the boundary and  $K(V) \cap \partial X = K(\partial V)$ .

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- For example, for (k, d) = (1, 3) and (2, 3):



• For  $V = \mathbb{D}^k$ , the setting with a dual: if there exists  $G: \mathbb{S}^{d-k} \hookrightarrow \partial X$ , such that G has trivial normal bundle and  $G \pitchfork \mathbf{s} = \{pt\}$ . Like pictures 2 and 3!

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• Recently, intensively studied is the set of (long) 2-knots in a 4-manifold *M*:  $\pi_0 \operatorname{Emb}_{2}(\mathbb{D}^2, M)$ 

This can be huge – for example, "spinning" a classical knot gives a 2-knot in  $\pi_0 \operatorname{Emb}_{\partial}(\mathbb{S}^2, \mathbb{R}^4) \cong \pi_0 \operatorname{Emb}_{\partial}(\mathbb{D}^2, \mathbb{D}^4).$ 

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Recall that setting with a dual means: we have a *d*-manifold *M* and embedding  $\mathbf{s} = \partial \mathbf{U} \colon \mathbb{S}^{k-1} \hookrightarrow \partial M$ , such that there exists  $G \colon \mathbb{S}^{d-k} \hookrightarrow \partial M$  with trivial normal bundle and such that  $G \pitchfork \mathbf{s} = \{pt\}$ .

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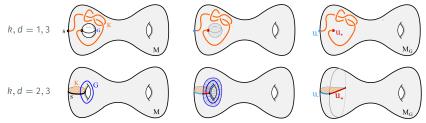
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1. For  $0 \le n \le d - 2\ell - 2$  we have  $p_u : \pi_n(\mathsf{Emb}_\partial(\mathbb{D}^\ell, X), u) \cong \pi_{n+\ell} X$ .

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- 2. There is a short exact sequence of groups (sets if  $d 2\ell 1 = 0$ ):

$$\mathbb{Z}[\pi_1 X] / (1) \oplus rel_{\ell,d} \oplus \mathsf{dax}(\pi_{d-\ell}(X) \xrightarrow[]{\partial \mathfrak{r}}{\underset{\mathsf{Dax}}{\to}} \pi_{d-2\ell-1}(\mathsf{Emb}_{\partial}(\mathbb{D}^{\ell}, X), u) \xrightarrow{p_u} \pi_{d-\ell-1} X.$$

where Dax is defined on the image of the realisation map  $\partial \mathbf{r}$  and is its explicit inverse, and  $rel_{1,d} := \emptyset$  and  $rel_{\ell,d} := \langle g - (-1)^{d-\ell}g : g \in \pi_1 X \rangle$  if  $\ell \geq 2$ 

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- $\cdot\,$  We make this more explicit, and compute many classes of examples in K' 21.

k = 1:  $\mathsf{Emb}_{\partial}(\mathbb{D}^{1}, M) \simeq \Omega \, \mathsf{Emb}_{\partial}^{\varepsilon}(\mathbb{D}^{0}, X) \simeq \Omega \mathbb{S}^{d-1} \times \Omega X$ 

$$\begin{split} k &= 1: \ \mathsf{Emb}_{\partial}(\mathbb{D}^{1}, M) \simeq \Omega \, \mathsf{Emb}_{\partial}^{\varepsilon}(\mathbb{D}^{0}, X) \simeq \Omega \mathbb{S}^{d-1} \times \Omega X \\ d &= 2: \ \text{The map amb is "point-pushing":} \\ & \{ \text{arcs in a surface } M, \text{ with ends fixed on two components of } \partial M \} / \text{isotopy} \\ &\cong \mathbb{Z} \oplus \pi_{1}(M \cup_{G} h^{2}). \end{split}$$

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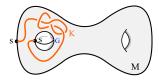
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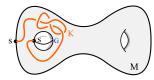


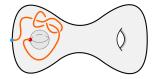
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- k = d-1:  $\operatorname{Emb}_{\partial}(\mathbb{D}^{d-1}, \mathbb{S}^1 \times \mathbb{D}^{d-1}) \simeq \Omega \operatorname{Emb}_{\partial}(\mathbb{D}^{d-2}, \mathbb{D}^d)$ 
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- k = d: Recovers a theorem (and proof) of Cerf '68:

#### Theorem (Cerf '68)

There is a homotopy equivalence  $\operatorname{Diff}^+_{\partial}(\mathbb{D}^d) \simeq \Omega \operatorname{Emb}_{\partial}(\mathbb{D}^{d-1}, \mathbb{D}^d)$ . In particular,  $\pi_0 \operatorname{Diff}^+_{\partial}(\mathbb{D}^4) \cong \pi_1(\operatorname{Emb}_{\partial}(\mathbb{D}^3, \mathbb{D}^4); U).$ 

- k = 2:  $\operatorname{Emb}_{\partial}(\mathbb{D}^2, M) \simeq \Omega \operatorname{Emb}_{\partial}^{\varepsilon}(\mathbb{D}^1, X).$ 
  - $d = 4: \ \pi_0 \operatorname{\mathsf{Emb}}_{\partial}(\mathbb{D}^2, M) \cong \pi_1 \operatorname{\mathsf{Emb}}_{\partial}^{\varepsilon}(\mathbb{D}^1, M \cup_{\nu G} h^3).$ 
    - $\implies$  We classify isotopy classes of 2-disks in 4-manifolds in the setting with a dual.
    - ⇒ We recover (and generalise) LBT for spheres of Gabai '20 and Schneiderman–Teichner '21.
      - Moreover, we get an (unexpected) group structure on  $\pi_0 \operatorname{Emb}_{\partial}(\mathbb{D}^2, M)!$

$$k = d-1$$
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#### Open problem

Is  $\pi_0 \operatorname{Diff}^+_{\partial}(\mathbb{D}^4)$  trivial? Compute it.

See Budney-Gabai, Gay, Watanabe for some candidate diffeomorphisms.

Metastable homotopy groups

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  - $\operatorname{Emb}(V,X) \to P_n(V,X)$  is  $(nd (n+1)\ell (2n-1))$ -connected (hard!).
  - Use homotopy theoretic tools to study  $P_n(V, X)$ .

• Therefore, part 1) in the Main Theorem, which said

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It turns out this is given as the image of a certain homomorphism dax:  $\pi_{d-\ell}X \to \mathbb{Z}[\pi_1X \setminus 1].$ 

- The desired kernel is the cokernel of  $\delta_{\rm Imm}$ .

### Theorem [Dax '72]

There is an isomorphism  $\pi_{d-2\ell-1}(\operatorname{Imm}(V,X),\operatorname{Emb}(V,X),u) \cong \Omega_0(\mathcal{C}_u;\theta_u)$ , the degree 0 normal bordism group of a certain space  $\mathcal{C}_u$  with a stable normal bundle  $\theta_u$  over it.

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#### Theorem [K-Teichner '22]

There is an isomorphism **Dax**:  $\pi_{d-2\ell-1}(\operatorname{Imm}(V,X), \operatorname{Emb}(V,X), u) \to \mathbb{Z}[\pi_1X]_{rel_{\ell,d}}$  given as follows: represent a relative class by a "perfect" map

 $F \colon (\mathbb{I}^{d-2\ell-1}, \mathbb{I}^{d-2\ell-2} \times \{0\}, \mathbb{I}^{d-2\ell-2} \times \{1\} \cup \partial \mathbb{I}^{d-2\ell-2} \times \mathbb{I}) \to (\mathsf{Imm}, \mathsf{Emb}, u)$ 

i.e. F is smooth and its track

 $\widetilde{F} \colon \mathbb{I}^{d-2\ell-1} \times V \to \mathbb{I}^{d-2\ell-1} \times X, \quad (\vec{t}, v) \mapsto (\vec{t}, F(\vec{t}, v)),$ 

has no triple points and double points  $(\vec{t}_i, x_i) \in \mathbb{I}^{d-2\ell-1} \times V$  for i = 1, ..., r are isolated and transverse.

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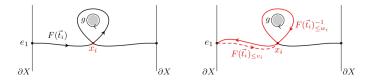
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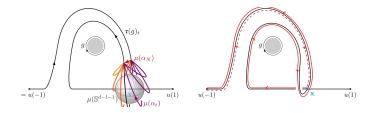
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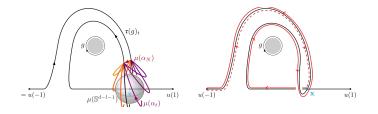
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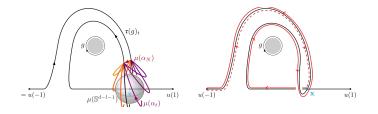
has no triple points and double points  $(\vec{t}_i, x_i) \in \mathbb{I}^{d-2\ell-1} \times V$  for i = 1, ..., r are isolated and transverse. Then  $\text{Dax}([F]) = \sum_{i=1}^r \varepsilon_{(\vec{t}_i, x_i)} g_{(\vec{t}_i, x_i)}$  is the sum of signed double point loops of  $\tilde{F}$ .







Finally, for  $V = \mathbb{D}^{\ell}$  we can describe  $\operatorname{im}(\delta_{\operatorname{Imm}})$  as  $\langle 1 \rangle \oplus \operatorname{im}(\operatorname{dax})$  where  $\operatorname{dax}: \pi_{d-\ell} X \to \mathbb{Z}[\pi_1 X \setminus 1], \quad \operatorname{dax}(a) = \operatorname{Dax}(\widetilde{A}),$ where we represent  $a \in \pi_{d-\ell} X$  by a map  $A: \mathbb{I}^{d-2\ell} \times \mathbb{D}^{\ell} \to X.$ 



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We can compute this in many classes of examples! See [K '21].

Thank you!