

HOMOTOPY GROUPS OF SOME EMBEDDING SPACES

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Based on the joint work with Peter Teichner (MPIM Bonn)

<https://arxiv.org/abs/2105.13032>

- 1 Motivation
- 2 The main result today, and applications
- 3 Metastable homotopy groups

Motivation

Spaces of embeddings

- Consider compact smooth manifolds V and X with nonempty boundary, with $k := \dim V$, and $d := \dim X$ such that $1 \leq k \leq d$.
- **General goal.** Study the homotopy type of the space

$$\mathbf{Emb}_@(V;X)$$

of smooth **neat embeddings** $K: V \rightarrow X$ which near $@V$ agree with a fixed basepoint $j: V \rightarrow X$. We denote $\mathbf{s} := \{j_{@V}: @V \rightarrow @X\}$.

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- Recall that a smooth map K is an **embedding** if it is *injective* and at any $v \in V$ the derivative $dK|_v$ is *injective*, and K is **neat** if it is transverse to the boundary and $K(V) \cap @X = K(@V)$.

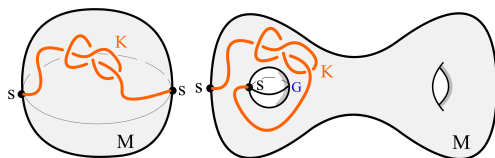
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- For example, for $(k; d) = (1; 3)$



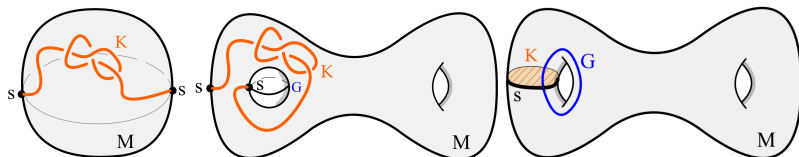
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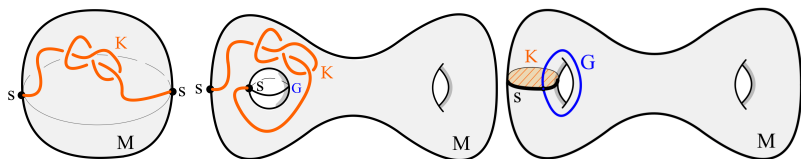
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- For $V = D^k$, **the setting with a dual**: if there exists $G: S^{d-k} \hookrightarrow @X$, such that G has trivial normal bundle and $G \pitchfork s = \text{fpt}_g$. Like pictures 2 and 3!

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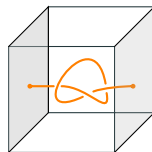
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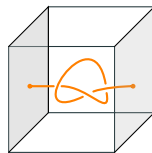
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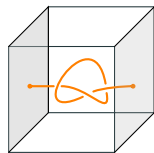
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- Recently, intensively studied is the set of (long) 2-knots in a 4-manifold M :

$${}_0\mathbf{Emb}_@(\mathbb{D}^2; M)$$

This can be huge – for example, “spinning” a classical knot gives a 2-knot in ${}_0\mathbf{Emb}_@(S^2; \mathbb{R}^4) = {}_0\mathbf{Emb}_@(\mathbb{D}^2; \mathbb{D}^4)$.

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For any $1 \leq k \leq d$, in a **setting with a dual**, any choice of $\{ \} : D^k \rightarrow M$ leads to an (explicit) homotopy equivalence

$$\text{Emb}_@ (D^k; M) \simeq \text{Emb}_@ (D^{k-1}; X):$$

where $X := M \int_G h^{d-k+1}$.

Recall that setting with a dual means: we have a d -manifold M and embedding $s = @U : S^{k-1} \rightarrow M$, such that there exists $G : S^{d-k} \rightarrow M$ with trivial normal bundle and such that $G \text{ t } s = \text{f ptg}$.

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$$k; d = 2; 3$$

The main result today, and applications

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Fix $k; d$ such that $d \geq k + 3$ and $d \geq 2k - 1$. Let X be a d -dimensional smooth compact manifold with boundary, and fix $u: D^k \rightarrow X$. Then

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1. For $0 \leq n \leq d - 2\ell - 2$ we have $p_u: {}_n(\mathbf{Emb}_@(D^\ell; X); u) \simeq {}_{n+1}X$.
2. There is a short exact sequence of groups (sets if $d - 2\ell - 1 = 0$):

$$\mathbb{Z}[{}_1X] \xrightarrow{\text{hli}} \text{rel}_{\ell; d} \mathbf{dax}({}_d X) \xrightleftharpoons[\mathbf{Dax}]{@r} {}_{d-2\ell-1}(\mathbf{Emb}_@(D^\ell; X); u) \xrightarrow{p_u} {}_{d-\ell} X;$$

where \mathbf{Dax} is defined on the image of the realisation map $@$ and is its explicit inverse, and $\text{rel}_{1; d} := \text{hli}$; and $\text{rel}_{\ell; d} := \text{hg} \left((1)^d \text{hg} \text{ } {}_1X \right)$ if $\ell = 2$

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Theorem [K-Teichner '22]

Fix $d \geq 2$ such that $d \geq 3$ and $d \geq 1$. Let X be a d -dimensional smooth compact manifold with boundary, and fix $u: D^1 \rightarrow X$. Then

1. For $0 \leq n \leq d - 2$ we have $p_u: {}_n(\text{Emb}_@(D^1; X); u) \cong {}_{n+1}X$.
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$$\mathbb{Z}[{}_1X] \xrightarrow{\text{hli}} \text{rel}_{1;d} \text{dax}({}_d \cdot (X)) \xrightleftharpoons[\text{Dax}]{@r} {}_{d-2}({}_1\text{Emb}_@(D^1; X); u) \xrightarrow{p_u} {}_{d-1}X;$$

where **Dax** is defined on the image of the realisation map **@** and is its explicit inverse, and $\text{rel}_{1;d} := \text{hg} \text{ } (1)^d \text{ } g \in {}_1X$ if $d \geq 2$

- Therefore, we have (after a bit more work to account for ∞ -augmentations) a (more or less) explicit description of ${}_n\text{Emb}_@(D^k; M)$ for $n \leq d - 2k$ and $d \geq 4$, assuming there is a dual for the boundary condition $s: S^{k-1} \rightarrow M$.

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- We make this more explicit, and compute many classes of examples in K' 21.

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In this talk: After giving some applications, we discuss this theorem in detail.

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$d = 2$: The map **amb** is “point-pushing”:

{arcs in a surface M , with ends fixed on two components of $@M$ }/isotopy

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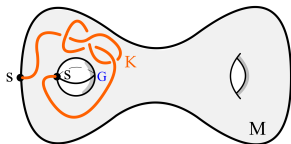
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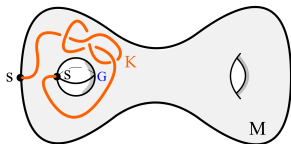
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$k = d$: Recovers a theorem (and proof) of Cerf '68:

Theorem (Cerf '68)

There is a homotopy equivalence $\mathbf{Di}_@^+(\mathbb{D}^d) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-1}; \mathbb{D}^d)$. In particular,

$${}_0\mathbf{Di}_@^+(\mathbb{D}^4) = {}_1(\mathbf{Emb}_@(\mathbb{D}^3; \mathbb{D}^4); \{ \})$$

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=> We classify isotopy classes of 2-disks in 4-manifolds in the setting with a dual.

=> We recover (and generalise) LBT for spheres of Gabai '20 and Schneiderman–Teichner '21.

• Moreover, we get an (unexpected) group structure on ${}_0\mathbf{Emb}_@(\mathbb{D}^2; M)$!

$k = d - 1$: $\mathbf{Emb}_@(\mathbb{D}^{d-1}; S^1 \times \mathbb{D}^{d-1}) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-2}; \mathbb{D}^d)$

$d = 4$: ${}_0\mathbf{Emb}_@(\mathbb{D}^3; S^1 \times \mathbb{D}^3) = {}_1\mathbf{Emb}_@(\mathbb{D}^2; \mathbb{D}^4)$, cf. Budney–Gabai.

$k = d$: Recovers a theorem (and proof) of Cerf '68:

Theorem (Cerf '68)

There is a homotopy equivalence $\mathbf{Di}_@^+(\mathbb{D}^d) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-1}; \mathbb{D}^d)$. In particular,

$${}_0\mathbf{Di}_@^+(\mathbb{D}^4) = {}_1(\mathbf{Emb}_@(\mathbb{D}^3; \mathbb{D}^4); \})$$

Open problem

Is ${}_0\mathbf{Di}_@^+(\mathbb{D}^4)$ trivial? Compute it.

See Budney-Gabai, Gay, Watanabe for some candidate diffeomorphisms.

Metastable homotopy groups

Stable, metastable, meta²stable...(?)

A generic smooth immersion $V \rightarrow X^d$ has transverse self-intersections only of multiplicity $n \leq \frac{d}{2}$.

- Whitney '40s: stable range $n < \frac{d}{2}$.
=> $n < 2 \iff$ generically no double points.

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- Goodwillie–Klein–Weiss embedding calculus.

- Construct a tower of spaces $P_n(V; X)$, $n \geq 1$, with:

$P_1 = \mathbf{Imm}(V; X)$ and $P_2(V; X) =$ the Haefliger–Dax space.

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- $\mathbf{Emb}(V; X) \looparrowright P_n(V; X)$ is $(nd - (n + 1) \leq (2n - 1))$ -connected (hard!).
- Use homotopy theoretic tools to study $P_n(V; X)$.

About the lowest degree in the metastable range

- Therefore, part 1) in the Main Theorem, which said

$$p_u: \pi_n(\mathbf{Emb}_@(\mathbb{D}^d; X); U) = \pi_n(\mathbf{Imm}_@(\mathbb{D}^d; X); U) = \pi_{n+d-2}(X) \quad \text{for } 0 \leq n \leq d-2:$$

is just the well-known computation of the homotopy groups of immersions, using Smale–Hirsch theory.

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Dax tells us how to compute its kernel.

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- The desired kernel is the cokernel of \mathbf{Imm} .

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- Firstly, study the relative homotopy group

$$\pi_{d-2-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X)) = \mathbb{Z}[X^{-1}]_{\text{rel}; d}$$

- Then study the image of the map

$$\mathbf{Imm}: \pi_{d-2-1}(\mathbf{Imm}(V; X)) \rightarrow \pi_{d-2-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X))$$

It turns out this is given as the image of a certain homomorphism

$$\mathbf{dax}: \pi_{d-1}(X) \rightarrow \mathbb{Z}[X^{-1}].$$

- The desired kernel is the cokernel of \mathbf{Imm} .

Theorem [Dax '72]

There is an isomorphism $\pi_{d-2}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X); u) = \pi_0(C_u; \nu_u)$, the degree 0 normal bordism group of a certain space C_u with a stable normal bundle ν_u over it.

About the lowest degree in the metastable range

Theorem [Dax '72]

There is an isomorphism $\pi_{d-2}(\mathbf{Imm}(V;X); \mathbf{Emb}(V;X); u) \cong \pi_0(C_u; u)$, the degree 0 normal bordism group of a certain space C_u with a stable normal bundle u over it.

Theorem [K-Teichner '22]

There is an isomorphism **Dax**: $\pi_{d-2}(\mathbf{Imm}(V;X); \mathbf{Emb}(V;X); u) \cong \mathbb{Z}[1/X]_{rel; d}$ given as follows: represent a relative class by a “perfect” map

$$F: (I^{d-1}; I^{d-2} \xrightarrow{f_0} I^{d-2} \xrightarrow{f_1} I^{d-2} \xrightarrow{f_2} \dots \xrightarrow{f_{r-1}} I^{d-2} \xrightarrow{f_r} I) \rightarrow (\mathbf{Imm}; \mathbf{Emb}; u)$$

i.e. F is smooth and its track

$$\mathbb{P}: I^{d-1} \times V \rightarrow I^{d-1} \times X; (t; v) \mapsto (t; F(t; v));$$

has no triple points and double points $(t_i; x_i) \in I^{d-1} \times V$ for $i = 1, \dots, r$ are isolated and transverse.

About the lowest degree in the metastable range

Theorem [K-Teichner '22]

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 given as follows: represent a relative class by a "perfect" map

$$F: (I^{d-1}; I^{d-2} \xrightarrow{f_0} g; I^{d-2} \xrightarrow{f_1} g [@I^{d-2} \rightarrow I]) \in (\mathbf{Imm}; \mathbf{Emb}; u)$$

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The realisation map and the Dax invariant

Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathcal{Z}^{-1}X^{-1}$ the relative homotopy class $\text{ar}(g)$ is given by

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Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathbb{Z}[X^{\pm 1}]$ the relative homotopy class $\text{ar}(g)$ is given by

Finally, for $V = \mathbb{D}^d$ we can describe $\text{im}(\text{Imm})$ as $\text{im}(\text{dax})$ where

$$\text{dax}: \mathbb{D}^d \times X \rightarrow \mathbb{Z}[X^{\pm 1}]; \quad \text{dax}(a) = \text{Dax}(a);$$

where we represent $a \in \mathbb{D}^d \times X$ by a map $A: I^{d-2} \rightarrow \mathbb{D}^d \times X$.

The realisation map and the Dax invariant

Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathcal{Z}^1(X^1)$ the relative homotopy class $\text{ar}(g)$ is given by

Finally, for $V = D^1$ we can describe $\text{im}(\text{Imm})$ as $\text{im}(\text{dax})$ where

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We can compute this in many classes of examples! See [K '21].

Thank you!