# Mathematical and Computational Methods in Photonics

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Plasmonics

- Control, manipulate, reshape, guide, focus electromagnetic waves at subwavelength length scales (beyond the resolution limit).
- Direct, inverse, and optimal design problems for electromagnetic wave propagation in complex and resonant media.
- Build mathematical frameworks and develop effective numerical algorithms for photonic applications.
- Partial differential equations, spectral analysis, integral equations, computational techniques, and multi-scale analysis.





- Key to super-resolution: push the resolution limit by reducing the focal spot size; confine light to a length scale significantly smaller than half the wavelength.
- Resolution: smallest detail that can be resolved.





- Mathematical and computational tools:
  - Diffraction gratings;
  - Photonic crystals;
  - Plasmonic resonant nanoparticles;
  - Metamaterials and metasurfaces.

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- Diffraction gratings:
  - Scattering by periodic structures: dominated by diffraction; small features of the structure → small number of propagating modes (other modes are evanescent).
  - Spectroscopic, telecommunications and laser applications.
  - Design problem: grating profile that give rise to a specified diffraction pattern.





- Photonic crystals (also known as photonic band-gap materials):
  - Periodic dielectric structures that have a band gap that forbids propagation of a certain frequency range of light.
  - Band gap calculations: high-contrast materials, periodicity of the same order as the wavelength; efficient numerical schemes.
  - Control light and produce effects that are impossible with conventional optics.
  - Resonant cavities: making point defects in a photonic crystal
     → light can be localized, trapped in the defect. The frequency,
     symmetry, and other properties of the defect mode can be
     easily tuned to anything desired.



- Plasmonic nanoparticles:
  - Subwavelength resonance: quasi-static regime.
  - Scattering and absorption enhancement.
  - Superresolution: single particle imaging.
  - Nanoantenna, concentrate light at subwavelength scale.





- Metamaterials and metasurfaces:
  - Negative material parameters.
  - Electromagnetic invisibility and cloaking: make a target invisible when probed by electromagnetic waves:
    - Interior cloaking: scattering cancellations techniques.
    - Exterior cloaking by anomalous resonances.
  - Subwavelength band gap materials: microstructure periodicity smaller than the wavelength.





- Metamaterials and metasurfaces:
  - Microstructured materials.
  - Building block microstructure: subwavelength resonator.





- Effective medium theory:
  - High contrast materials: for some range of frequencies.
  - Superresolution and superfocusing of electromagnetic waves.
- Unify the mathematical theory of superresolution, photonic bandgap materials, metamaterials, and cloaking.



- Near-field optics:
  - Interaction between the plasmonic probe and the sample.
  - Superresolution imaging of the sample.
  - Mechanism  $\rightarrow$  quantitative imaging.



- Spectral analysis and integral equation formulations.
- Green's functions (free space, periodic, quasi-periodic, ...) → eigenvalue problems reduced to characteristic value problems (nonlinear eigenvalue problems).
- Gohberg-Sigal theory:
  - Generalization of Rouché theorem for operator valued function.
  - Sensitivity analysis (change in the shape, material parameters, environment, ...) of diffraction pattern, band gaps, resonance for plasmonic nanoparticles, ...



- 2014 Kavli Prize in Nanoscience (Norwegian Academy of Science & Letters): T.W. Ebbesen, S.W. Hell, and J.B. Pendry.
- "for their transformative contributions to the field of nano-optics that have broken long-held beliefs about the limitations of the resolution limits of optical microscopy and imaging.
  - "for the discovery of the extraordinary transmission of light through sub-wavelength apertures.
  - "for ground-breaking developments that have led to fluorescence microscopy with nanometre scale resolution, opening up nanoscale imaging to biological applications.
  - "for developing the theory underlying new optical nanoscale materials with unprecedented properties, such as the negative index of refraction, allowing for the formation of perfect lenses.







- Phononics:
  - Sound /light.
  - Elasticity equations/ Maxwell's equations.
  - Subwavelength resonances: Helmholtz resonator, Minnaert bubble/ plasmonic nanoparticle.
- Similar physical mechanisms and mathematical and computational frameworks to those in photonics:
  - Scattering enhancement by subwavelength acoustic resonators.
  - Phononic crystals.
  - Acoustic metamaterials and metasurfaces, subwavelength phononic band gap materials.
  - High contrast acoustic materials, superresolution and superfocusing for acoustic waves.

- Gohberg-Sigal theory:
  - Argument principle:  $V \subset \mathbb{C}$ : bounded domain with smooth boundary  $\partial V$  positively oriented; f(z): meromorphic function in a neighborhood of  $\overline{V}$ ; P and N: the number of poles and zeros of f in V, counted with their multiplicities. If f has no poles and never vanishes on  $\partial V$ , then

$$\frac{1}{2\pi i}\int_{\partial V}\frac{f'(z)}{f(z)}\,dz=N-P.$$

Rouché's theorem: f(z) and g(z): holomorphic in a neighborhood of V. If |f(z)| > |g(z)| for all z ∈ ∂V, then f and f + g have the same number of zeros in V.

- $\mathcal{L}(\mathcal{B}, \mathcal{B}')$ : linear bounded operators from  $\mathcal{B}$  into  $\mathcal{B}'$  (Banach spaces).
- \$\mathcal{U}(z\_0)\$: set of all operator-valued functions in \$\mathcal{L}(\mathcal{B}, \mathcal{B}')\$ which are holomorphic in some neighborhood of \$z\_0\$, except possibly at \$z\_0\$.
- z<sub>0</sub> characteristic value of A(z) ∈ 𝔅(z<sub>0</sub>) if there exists a vector-valued function φ(z) with values in 𝔅 such that
  - $\phi(z)$ : holomorphic at  $z_0$  and  $\phi(z_0) \neq 0$ ,
  - $A(z)\phi(z)$ : holomorphic at  $z_0$  and vanishes at this point.
  - φ(z): root function of A(z) associated with the characteristic value z<sub>0</sub>.

• Generalized argument principle:

$$\mathcal{M}(A(z);\partial V) = \frac{1}{2\pi i} \operatorname{tr} \int_{\partial V} A^{-1}(z) \frac{d}{dz} A(z) dz.$$

- M(A(z); ∂V): number of characteristic values of A(z) in V, counted with their multiplicities, minus the number of poles of A(z) in V, counted with their multiplicities.
- Generalized Rouché's theorem :

$$\mathcal{M}(A(z);\partial V) = \mathcal{M}(A(z) + S(z);\partial V).$$

• S(z): finitely meromorphic in V and continuous on  $\partial V$  s.t.

$$\|A^{-1}(z)S(z)\|_{\mathcal{L}(\mathcal{B},\mathcal{B})} < 1, \quad z \in \partial V.$$

• Finitely meromorphic operator: coefficients of the principal part of its Laurent expansion are operators of finite rank.

•  $0 = \mu_1 < \mu_2 \leq \ldots$ : eigenvalues of  $-\Delta$  in  $\Omega$  with Neumann conditions,

$$\begin{cases} \Delta u + \mu u = 0 & \text{in } \Omega, \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial \Omega. \end{cases}$$

- (u<sub>j</sub>)<sub>j≥1</sub>: orthonormal basis of L<sup>2</sup>(Ω) of normalized eigenvectors.
- ω = √μ; S<sub>Ω</sub><sup>ω</sup>, D<sub>Ω</sub><sup>ω</sup>, K<sub>Ω</sub><sup>ω</sup>: single- and double-layer potentials and Neumann-Poincaré operator associated with the outgoing fundamental solution G<sub>ω</sub>(x, z) to the Helmholtz operator Δ + ω<sup>2</sup>:

$$G_{\omega}(x,z) := \left\{ egin{array}{ll} -rac{i}{4}H_{0}^{(1)}(\omega|x-z|), & d=2, \ -rac{e^{i|x-z|}}{4\pi|x-z|}, & d=3. \end{array} 
ight.$$

•  $H_0^{(1)}$ : Hankel function of the first kind of order 0.

• Sommerfeld radiation condition:  $|x| \rightarrow +\infty$ ,

$$\frac{x}{|x|} \cdot \nabla G_{\omega}(x,z) - i\omega G_{\omega}(x,z) = \begin{cases} O(|x|^{-3/2}), & d = 2, \\ O(|x|^{-2}), & d = 3. \end{cases}$$

• Layer potentials:  $\varphi \in L^2(\partial \Omega)$ ,

$$\begin{split} \mathcal{S}_{\Omega}^{\omega}[\varphi](x) &= \int_{\partial\Omega} G_{\omega}(x,y)\varphi(y) \, d\sigma(y), \quad x \in \mathbb{R}^{d}, \\ \mathcal{D}_{\Omega}^{\omega}[\varphi](x) &= \int_{\partial\Omega} \frac{\partial G_{\omega}(x,y)}{\partial \nu(y)}\varphi(y) \, d\sigma(y) \,, \quad x \in \mathbb{R}^{d} \setminus \partial\Omega, \\ \mathcal{K}_{\Omega}^{\omega}[\varphi](x) &= \mathsf{p.v.} \int_{\partial\Omega} \frac{\partial G_{\omega}(x,y)}{\partial \nu(y)}\varphi(y) \, d\sigma(y). \end{split}$$

- $\sqrt{\mu_j}$ : characteristic value of  $\omega \mapsto (1/2)I \mathcal{K}_{\Omega}^{\omega}$ .
- Muller's method: compute zeros of  $\omega \mapsto 1/(((1/2)I \mathcal{K}_{\Omega}^{\omega})^{-1}[\varphi], \psi)$  for fixed  $\varphi$  and  $\psi$ .

- D conductive particle inside Ω, D = εB + z; k ≠ 1: conductivity parameter; ε: characteristic size; d: space dimension.
- Characteristic values of the operator-valued function  $\mathcal{A}_{\varepsilon}(\omega)$ :

$$\omega \mapsto \mathcal{A}_{\varepsilon}(\omega) := \begin{pmatrix} \frac{1}{2}I - \mathcal{K}_{\Omega}^{\omega} & -\mathcal{S}_{D}^{\omega} & \mathbf{0} \\ \mathcal{D}_{\Omega}^{\omega} & \mathcal{S}_{D}^{\omega} & -\mathcal{S}_{D}^{\frac{\omega}{\sqrt{k}}} \\ \varepsilon \frac{\partial}{\partial \nu} \mathcal{D}_{\Omega}^{\omega} & \varepsilon(\frac{1}{2}I + (\mathcal{K}_{D}^{\omega})^{*}) & -\varepsilon k(-\frac{1}{2}I + (\mathcal{K}_{D}^{\frac{\omega}{\sqrt{k}}})^{*}) \end{pmatrix}$$

• Generalized argument principle:

$$\omega_{arepsilon}-\omega_{0}=rac{1}{2\pi i} ext{ tr } \int_{\partial V_{\delta_{0}}}(\omega-\omega_{0})\mathcal{A}_{arepsilon}(\omega)^{-1}rac{d}{d\omega}\mathcal{A}_{arepsilon}(\omega)d\omega.$$

• Eigenvalue expansion:

$$\mu_j^{\varepsilon} - \mu_j = \varepsilon^d \nabla u_j(z) \cdot M \nabla u_j(z) + o(\epsilon^d).$$

• Polarization tensor  $M = (m_{II'})$ :

$$m_{ll'} = (k-1) \int_{\partial B} \psi_l \frac{\partial x_{l'}}{\partial \nu} \, d\sigma.$$

$$egin{cases} 
abla \cdot (1+(k-1)\chi(B))
abla \psi_l = 0 & ext{ in } \mathbb{R}^d, \ \psi_l(x) - x_l = O(|x|^{1-d}) & ext{ as } |x| o +\infty. \end{cases}$$

• Eigenfunction expansion in Ω:

$$u_j^{\varepsilon}(x) = u_j(z) + \varepsilon \sum_{l=1}^d \partial_l u_j(z) \psi_l\left(rac{x-z}{arepsilon}
ight) + o(arepsilon).$$

•  $u_i^{\varepsilon}$ : normalized eigenfunction associated with  $\mu_i^{\varepsilon}$ .

- Photonic crystals:
  - Floquet transform:

$$\mathcal{U}[f](x,\alpha) = \sum_{n\in\mathbb{Z}^d} f(x-n)e^{i\alpha\cdot n}.$$

- f(x): function decaying sufficiently fast.
- $\mathcal{U}$ : analogue of the Fourier transform for the periodic case.
- α ∈ Brillouin zone ℝ<sup>d</sup>/(2πℤ<sup>d</sup>): quasi-momentum (analogue of the dual variable in the Fourier transform).
- Expansion of a periodic operator *L* in  $L^2(\mathbb{R}^d)$  into a direct integral of operators:

$$L = \int_{\mathbb{R}^d/(2\pi\mathbb{Z}^d)}^{\oplus} L(\alpha) \, d\alpha.$$

•  $L(\alpha)[f] = \mathcal{U}[L[f]].$ 

• Spectral theorem for a self-adjoint operator:

$$\sigma(L) = \bigcup_{\alpha \in \mathbb{R}^d/(2\pi\mathbb{Z}^d)} \sigma(L(\alpha)),$$

- $\sigma(L)$ : spectrum of L.
- L: elliptic  $\rightarrow L(\alpha)$ : compact resolvents  $\rightarrow$  discrete spectra  $(\mu_l(\alpha))_l$ ,

$$\sigma(L) = \left[\min_{\alpha} \mu_l(\alpha), \max_{\alpha} \mu_l(\alpha)\right].$$

- Gohberg-Sigal theory:
  - Sensitivity analysis of band gaps with respect to changes of the coefficients of *L*.
  - Analysis of photonic crystal cavities: defect mode inside the band gap.



- Gold nano-particles: accumulate selectively in tumor cells; bio-compatible; reduced toxicity.
- Detection: localized enhancement in radiation dose (strong scattering).
- Ablation: localized damage (strong absorption).
- Functionalization: targeted drugs.





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 Mechanisms of scattering and absorption enhancements and supreresolution using plasmonic nanoparticles.

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• Spectral properties of Neumann-Poincaré operator.

- D: nanoparticle in  $\mathbb{R}^d$ , d = 2, 3;  $\mathcal{C}^{1,\alpha}$  boundary  $\partial D$ ,  $\alpha > 0$ .
- ε<sub>c</sub>(ω): complex permittivity of D; ε<sub>m</sub> > 0: permittivity of the background medium;
- Permittivity contrast: λ(ω) = (ε<sub>c</sub>(ω) + ε<sub>m</sub>)/(2(ε<sub>c</sub>(ω) ε<sub>m</sub>)).
- Causality  $\Rightarrow$  Kramer-Krönig relations (Hilbert transform),  $\varepsilon_c(\omega) = \varepsilon'(\omega) + i\varepsilon''(\omega)$ :

$$arepsilon'(\omega) - arepsilon_{\infty} = -rac{2}{\pi} \mathsf{p.v.} \int_{0}^{+\infty} rac{sarepsilon''(s)}{s^2 - \omega^2} ds,$$

$$arepsilon''(\omega) = rac{2\omega}{\pi} \mathrm{p.v.} \int_0^{+\infty} rac{arepsilon'(s) - arepsilon_\infty}{s^2 - \omega^2} ds.$$

• Drude model for the dielectric permittivity  $\varepsilon_c(\omega)$ :

$$arepsilon_c(\omega) = arepsilon_\infty (1 - rac{\omega_p^2}{\omega^2 + i au \omega}), \qquad arepsilon'(\omega) \leq 0 \quad ext{ for } \quad \omega \leq \omega_p.$$

 $\omega_p$ ,  $\tau$ : positive constants.

• Fundamental solution to the Laplacian:

$$G(x) := \begin{cases} \frac{1}{2\pi} \ln |x|, & d = 2, \\ -\frac{1}{4\pi} |x|^{2-d}, & d = 3; \end{cases}$$

• Single-layer potential:

$$\mathcal{S}_D[\varphi](x) := \int_{\partial D} G(x-y)\varphi(y) \, ds(y), \quad x \in \mathbb{R}^d.$$

Neumann-Poincaré operator K<sup>\*</sup><sub>D</sub>:

$$\mathcal{K}^*_D[\varphi](x) := \int_{\partial D} \frac{\partial \mathcal{G}}{\partial \nu(x)}(x-y)\varphi(y) \, ds(y) \,, \quad x \in \partial D.$$

 $\nu$ : normal to  $\partial D$ .

•  $\mathcal{K}_D^*$ : compact operator on  $L^2(\partial D)$ ,

$$\frac{|\langle x-y,\nu(x)\rangle|}{|x-y|^d} \leq \frac{C}{|x-y|^{d-1-\alpha}}, \quad x,y \in \partial D.$$

• Spectrum of  $\mathcal{K}_D^*$  lies in  $\left(-\frac{1}{2}, \frac{1}{2}\right]$  (Kellog).

- $\mathcal{K}_D^*$  self-adjoint on  $L^2(\partial D)$  if and only if D is a disk or a ball.
- Symmetrization technique for Neumann-Poincaré operator κ<sup>\*</sup><sub>D</sub>:
  - Calderón's identity:  $\mathcal{K}_D \mathcal{S}_D = \mathcal{S}_D \mathcal{K}_D^*$ ;
  - In three dimensions,  $\mathcal{K}_D^*$ : self-adjoint in the Hilbert space  $\mathcal{H}^*(\partial D) = H^{-\frac{1}{2}}(\partial D)$  equipped with

$$(u, v)_{\mathcal{H}^*} = -(u, \mathcal{S}_D[v])_{-\frac{1}{2}, \frac{1}{2}}$$

 $(\cdot, \cdot)_{-\frac{1}{2}, \frac{1}{2}}$ : duality pairing between  $H^{-\frac{1}{2}}(\partial D)$  and  $H^{\frac{1}{2}}(\partial D)$ .

• In two dimensions:  $\exists ! \widetilde{\varphi}_0 \text{ s.t. } \mathcal{S}_D[\widetilde{\varphi}_0] = \text{constant on } \partial D \text{ and}$  $(\widetilde{\varphi_0}, 1)_{-\frac{1}{2}, \frac{1}{2}} = 1. \ \mathcal{S}_D \to \widetilde{\mathcal{S}}_D:$ 

$$\widetilde{\mathcal{S}}_{D}[\varphi] = \left\{ egin{array}{c} \mathcal{S}_{D}[\varphi] & ext{if } (\varphi,1)_{-rac{1}{2},rac{1}{2}} = 0, \ -1 & ext{if } arphi = \widetilde{arphi}_{0}. \end{array} 
ight.$$

- Symmetrization technique for Neumann-Poincaré operator *K*<sup>\*</sup><sub>D</sub>:
  - Spectrum  $\sigma(\mathcal{K}_D^*)$  discrete in ] -1/2, 1/2[;
  - Ellipse:  $\pm \frac{1}{2} \left(\frac{a-b}{a+b}\right)^{j}$ , elliptic harmonics (a, b): long and short axis).
  - Ball:  $\frac{1}{2(2i+1)}$ , spherical harmonics.
  - Twin property in two dimensions;
  - $(\lambda_j, \varphi_j), j = 0, 1, 2, \ldots$ : eigenvalue and normalized eigenfunction pair of  $\mathcal{K}_D^*$  in  $\mathcal{H}^*(\partial D); \lambda_j \in (-\frac{1}{2}, \frac{1}{2}]$  and  $\lambda_j \to 0$ as  $j \to \infty$ ;
  - φ<sub>0</sub>: eigenfunction associated to 1/2 (φ̃<sub>0</sub> multiple of φ<sub>0</sub>);
  - Spectral decomposition formula in  $H^{-1/2}(\partial D)$ ,

$$\mathcal{K}_{D}^{*}[\psi] = \sum_{j=0}^{\infty} \lambda_{j}(\psi,\varphi_{j})_{\mathcal{H}^{*}}\varphi_{j}.$$

•  $u^i$ : incident plane wave; Helmholtz equation:

$$\begin{cases} \nabla \cdot \left( \varepsilon_m \chi(\mathbb{R}^d \setminus \overline{D}) + \varepsilon_c(\omega) \chi(\overline{D}) \right) \nabla u + \omega^2 u = 0, \\ u^s := u - u^i \text{ satisfies the outgoing radiation condition.} \end{cases}$$

• Uniform small volume expansion with respect to the contrast:  $D = z + \delta B$ ,  $\delta \to 0$ ,  $|x - z| \gg 2\pi/k_m$ ,

$$u^{s} = -M(\lambda(\omega), D)\nabla_{z}G_{k_{m}}(x-z)\cdot\nabla u^{i}(z) + O(\frac{\delta^{d+1}}{\operatorname{dist}(\lambda(\omega), \sigma(\mathcal{K}_{D}^{*}))}).$$

- $G_{k_m}$ : outgoing fundamental solution to  $\Delta + k_m^2$ ;  $k_m := \omega / \sqrt{\varepsilon_m}$ ;
- Polarization tensor:

$$M(\lambda(\omega), D) := \int_{\partial D} x(\lambda(\omega)I - \mathcal{K}_D^*)^{-1}[\nu](x) \, ds(x).$$

• Scaling and translation properties:  $M(\lambda(\omega), z + \delta B) = \delta^d M(\lambda(\omega), B)$ .

Representation by equivalent ellipses and ellipsoids:

- Nanoparticle's permittivity:  $\varepsilon_c(\omega) = \varepsilon'(\omega) + i\varepsilon''(\omega)$ .
- $\varepsilon'(\omega) > 0$  and  $\varepsilon''(\omega) = 0$ : canonical representation; equivalent ellipse or ellipsoid with the same polarization tensor.
- Plasmonic nanoparticles: non Hermitian case.
- $\Im M(\lambda(\omega), D)$ : equivalent frequency depending ellipse or ellipsoid with the same imaginary part of the polarization tensor.

• Spectral decomposition: (1, m)-entry

$$M_{l,m}(\lambda(\omega),D) = \sum_{j=1}^{\infty} \frac{(\nu_m,\varphi_j)_{\mathcal{H}^*}(\nu_l,\varphi_j)_{\mathcal{H}^*}}{(1/2-\lambda_j)(\lambda(\omega)-\lambda_j)}$$

- $(\nu_m, \varphi_0)_{\mathcal{H}^*} = 0$ ;  $\varphi_0$ : eigenfunction of  $\mathcal{K}_D^*$  associated to 1/2.
- Quasi-static far-field approximation:  $\delta \rightarrow 0$ ,

$$u^{s} = -\delta^{d} M(\lambda(\omega), B) \nabla_{z} G_{k_{m}}(x-z) \cdot \nabla u^{i}(z) + O(\frac{\delta^{d+1}}{\operatorname{dist}(\lambda(\omega), \sigma(\mathcal{K}_{D}^{*}))}).$$

 Quasi-static plasmonic resonance: dist(λ(ω), σ(K<sup>\*</sup><sub>D</sub>)) minimal (ℜe ε<sub>c</sub>(ω) < 0).</li>

• 
$$M(\lambda(\omega), B) = \left(\frac{\varepsilon_c(\omega)}{\varepsilon_m} - 1\right) \int_B \nabla v(y) dy:$$
  

$$\begin{cases} \nabla \cdot \left(\varepsilon_m \chi(\mathbb{R}^d \setminus \overline{B}) + \varepsilon_c(\omega) \chi(\overline{B})\right) \nabla v = 0, \\ v(y) - y \to 0, \quad |y| \to +\infty. \end{cases}$$

• Corrector *v*:

$$\mathbf{v}(\mathbf{y}) = \mathbf{y} + \mathcal{S}_B(\lambda(\omega)\mathbf{I} - \mathcal{K}_B^*)^{-1}[\mathbf{\nu}](\mathbf{y}), \quad \mathbf{y} \in \mathbb{R}^d.$$

• Inner expansion:  $\delta \rightarrow 0$ ,  $|x - z| = O(\delta)$ ,

$$u(x) = u^{i}(z) + \delta v(\frac{x-z}{\delta}) \cdot \nabla u^{i}(z) + O(\frac{\delta^{2}}{\operatorname{dist}(\lambda(\omega), \sigma(\mathcal{K}_{D}^{*}))}).$$

• Monitoring of temperature elevation due to nanoparticle heating:

$$\begin{cases} \rho C \frac{\partial T}{\partial t} - \nabla \cdot \tau \nabla T = \frac{\omega}{2\pi} \Im(\varepsilon_c(\omega)) |u|^2 \chi(D), \\ T|_{t=0} = 0. \end{cases}$$

 $\rho$ : mass density; C: thermal capacity;  $\tau$ : thermal conductivity.

• Scattering amplitude:

$$u^{s}(x) = -ie^{-\frac{\pi i}{4}} \frac{e^{ik_{m}|x|}}{\sqrt{8\pi k_{m}|x|}} A_{\infty}[D, \varepsilon_{c}, \varepsilon_{m}, \omega](\theta, \theta') + o(|x|^{-\frac{1}{2}}),$$

 $|x| \rightarrow \infty; \, \theta, \, \theta' \colon$  incident and scattered directions.

• Scattering cross-section:

$$Q^{s}[D,\varepsilon_{c},\varepsilon_{m},\omega](\theta'):=\int_{0}^{2\pi}\left|A_{\infty}[D,\varepsilon_{c},\varepsilon_{m},\omega](\theta,\theta')\right|^{2}d\theta.$$

 Enhancement of the absorption and scattering cross-sections Q<sup>a</sup> and Q<sup>s</sup> at plasmonic resonances:

 $Q^{a} + Q^{s} (= \text{extinction cross-section } Q^{e}) \propto \Im m \operatorname{Trace}(M(\lambda(\omega), D));$ 

$$Q^s \propto \left| \operatorname{Trace}(M(\lambda(\omega), D)) \right|^2.$$



Norm of the polarization tensor for a circular inclusion.


Norm of the polarization tensor for an elliptic inclusion.

Plasmonics





Norm of the polarization tensor for a flower-shaped inclusion.

- Quasi-plasmonic resonances for multiple particles: D<sub>1</sub> and D<sub>2</sub>: C<sup>1,α</sup>-bounded domains; dist(D<sub>1</sub>, D<sub>2</sub>) > 0; ν<sup>(1)</sup> and ν<sup>(2)</sup>: outward normal vectors at ∂D<sub>1</sub> and ∂D<sub>2</sub>.
- Neumann-Poincaré operator K<sup>\*</sup><sub>D1∪D2</sub> associated with D1 ∪ D2:

$$\mathbb{K}^*_{D_1\cup D_2} := \begin{pmatrix} \mathcal{K}^*_{D_1} & \frac{\partial}{\partial\nu^{(1)}}\mathcal{S}_{D_2} \\ \frac{\partial}{\partial\nu^{(2)}}\mathcal{S}_{D_1} & \mathcal{K}^*_{D_2} \end{pmatrix}.$$

- Symmetrization of  $\mathbb{K}^*_{D_1 \cup D_2}$ .
- Behavior of the eigenvalues of  $\mathbb{K}^*_{D_1 \cup D_2}$  as  $\operatorname{dist}(D_1, D_2) \to 0$ .



Norm of the polarization tensor for two disks for various separating distances.

• Algebraic domains: finite number of quasi-static plasmonic resonances:

$$\#\{j:(
u_l, arphi_j)_{\mathcal{H}^*}
eq 0\}$$
 : finite.

- Algebraic domains: zero level sets of polynomials; dense in Hausdorff metric among all planar domains.
- Blow-up of the polarization tensor for finite number of eigenvalues of the Neumann-Poincaré operator:

$$M_{l,m}(\lambda(\omega),D) = \sum_{j=1}^{\infty} \frac{(\nu_m,\varphi_j)_{\mathcal{H}^*}(\nu_l,\varphi_j)_{\mathcal{H}^*}}{(1/2-\lambda_j)(\lambda(\omega)-\lambda_j)}.$$

Two nearly touching disks: infinite number of quasi-static plasmonic resonances.

$$\lambda_j = \pm rac{1}{2} \mathrm{e}^{-2|j|\xi}, \xi = \mathrm{sinh}^{-1}(\sqrt{rac{\delta}{r}(1+rac{\delta}{4r})});$$

- r: radius of the disks;  $\delta$ :separating distance.
- Separating distance δ : estimated from the first plasmonic resonance (associated to λ<sub>1</sub>).

- Singular nature of the interaction between nearly touching plasmonic nanoparticles.
- Applications in nanosensing (beyond the resolution limit).
- Blow-up of  $\nabla u$  between the disks at plasmonic resonances:

$$abla u \propto rac{r}{\Im(\lambda(\omega))\delta}e^{-2|j|\xi}$$

 Accurate scheme for computing the field distribution between an arbitrary number of nearly touching plasmonic nanospheres: transformation optics + method of image charges.



• (*m*, *l*)-entry of the polarization tensor *M*:

$$M_{l,m}(\lambda(\omega), D) = \sum_{j=1}^{\infty} \frac{\alpha_{l,m}^{(j)}}{\lambda(\omega) - \lambda_j},$$

$$(\mu_{m,j}(0))_{0,j} = (\mu_{l,j}(0))_{0,j} + (\mu_{l,j}(0))_{0,j}$$

$$\alpha_{l,m}^{(j)} := \frac{(\nu_m, \varphi_j)_{\mathcal{H}^*}(\nu_l, \varphi_j)_{\mathcal{H}^*}}{(1/2 - \lambda_j)}, \quad \alpha_{l,l}^{(j)} \ge 0, \quad j \ge 1.$$

• Sum rules for the polarization tensor:

$$\sum_{j=1}^{\infty} \alpha_{l,m}^{(j)} = \delta_{l,m} |D|; \qquad \sum_{j=1}^{\infty} \lambda_i \sum_{l=1}^{d} \alpha_{l,l}^{(j)} = \frac{(d-2)}{2} |D|.$$
$$\sum_{j=1}^{\infty} \lambda_j^2 \sum_{l=1}^{d} \alpha_{l,l}^{(j)} = \frac{(d-4)}{4} |D| + \sum_{l=1}^{d} \int_D |\nabla S_D[\nu_l]|^2 dx.$$

• *f* holomorphic function in an open set  $U \subset \mathbb{C}$  containing  $\sigma(\mathcal{K}_D^*)$ :

$$f(\mathcal{K}_D^*) = \sum_{j=1}^\infty f(\lambda_j)(\cdot, \varphi_j)_{\mathcal{H}^*} \varphi_j.$$

• Upper bound for the averaged extinction cross-section  $Q_m^e$  of a randomly oriented nanoparticle:

$$\begin{split} & \left|\Im(\operatorname{Trace}(\mathcal{M}(\lambda,D)))\right| \leq \frac{d|\lambda''||D|}{\lambda''^2 + 4\lambda'^2} \\ &+ \frac{1}{|\lambda''|(\lambda''^2 + 4\lambda'^2)} \left(d\lambda'^2|D| + \frac{(d-4)}{4}|D| \\ &+ \sum_{l=1}^d \int_D |\nabla \mathcal{S}_D[\nu_l]|^2 dx + 2\lambda' \frac{(d-2)}{2}|D|\right) + O(\frac{\lambda''^2}{4\lambda'^2 + \lambda''^2}). \end{split}$$

$$\lambda' = \Re \lambda, \lambda'' = \Im \lambda.$$



#### Hadamard's formula for $\mathcal{K}_D^*$ :

- $\partial D$ : class  $\mathcal{C}^2$ ;  $\partial D := \{x = X(t), t \in [a, b]\}.$
- $\Psi_{\eta}: \partial D \mapsto \partial D_{\eta} := \{x + \eta h(t)\nu(x)\}; \Psi_{\eta}: \text{ diffeomorphism}.$
- Hadamard's formula for  $\mathcal{K}_D^*$ :

 $||\mathcal{K}_{D_{\eta}}^{*}[\tilde{\phi}] \circ \Psi_{\eta} - \mathcal{K}_{D}^{*}[\phi] - \eta \mathcal{K}_{D}^{(1)}[\phi]||_{L^{2}(\partial D)} \leq C \eta^{2} ||\phi||_{L^{2}(\partial D)},$ 

 ${\mathcal C} \colon \text{depends only on } ||X||_{{\mathcal C}^2} \text{ and } ||h||_{{\mathcal C}^1} ; \, \phi := \tilde \phi \circ \Psi_\eta.$ 

- $\mathcal{K}_D^{(1)}$ : explicit kernel.
- Hadamard's formula for the eigenvalues of K<sup>\*</sup><sub>D</sub>.
- Shape derivative of plasmonic resonances for nanoparticles.
- Generalization to 3D.

- *K*<sup>\*</sup><sub>D</sub>: scale invariant ⇒ Quasi-static plasmonic resonances: size independent.
- Analytic formula for the first-order correction to quasi-static plasmonic resonances in terms of the particle's characteristic size δ:



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Helmholtz equation:

$$\begin{cases} \nabla \cdot \Big( \varepsilon_m \chi(\mathbb{R}^d \setminus \overline{D}) + \varepsilon_c(\omega) \chi(\overline{D}) \Big) \nabla u + \omega^2 u = 0, \\ u^s := u - u^i \text{ satisfies the outgoing radiation condition.} \end{cases}$$

 $u^i$ : incident plane wave;  $k_m := \omega \sqrt{\varepsilon_m}, k_c := \omega \sqrt{\varepsilon_c(\omega)}$ .

Integral formulation on  $\partial D$ :

$$\begin{cases} \mathcal{S}_D^{k_c}[\phi] - \mathcal{S}_D^{k_m}[\psi] = u^i, \\ \varepsilon_c (\frac{l}{2} - (\mathcal{K}_D^{k_c})^*)[\phi] - \varepsilon_m (\frac{l}{2} + (\mathcal{K}_D^{k_m})^*)[\psi] = \varepsilon_m \partial u^i / \partial \nu. \end{cases}$$

• Operator-Valued function  $\delta \mapsto \mathcal{A}_{\delta}(\omega) \in \mathcal{L}(\mathcal{H}^*(\partial B), \mathcal{H}^*(\partial B))$ :

$$\mathcal{A}_{\delta}(\omega) = \overbrace{(\lambda(\omega)I - \mathcal{K}_{B}^{*})}^{\mathcal{A}_{0}(\omega)} + (\omega\delta)^{2}\mathcal{A}_{1}(\omega) + O((\omega\delta)^{3}).$$

Quasi-static limit:

$$\mathcal{A}_0(\omega)[\psi] = \sum_{j=0}^{\infty} \tau_j(\omega)(\psi, \varphi_j)_{\mathcal{H}^*} \varphi_j, \quad \tau_j(\omega) := \frac{1}{2} \big( \varepsilon_m + \varepsilon_c(\omega) \big) - \big( \varepsilon_c(\omega) - \varepsilon_m \big) \lambda_j.$$

• Shift in the plasmonic resonance:

$$\arg\min_{\omega} \left| \frac{1}{2} (\varepsilon_m + \varepsilon_c(\omega)) - (\varepsilon_c(\omega) - \varepsilon_m) \lambda_j + (\omega \delta)^2 \tau_{j,1} \right|$$

- $\tau_{j,1} := (\mathcal{A}_1(\omega)[\varphi_j], \varphi_j)_{\mathcal{H}*}.$
- Gohberg-Sigal theory.

• Full Maxwell's equations:

$$\begin{cases} \nabla \times \nabla \times E - \omega^2 \Big( \varepsilon_m \chi(\mathbb{R}^d \setminus \overline{D}) + \varepsilon_c(\omega) \chi(\overline{D}) \Big) E = 0, \\ E^s := E - E^i \text{ satisfies the outgoing radiation condition.} \end{cases}$$

• Small-volume expansion:

$$E^{s}(x) = -\delta^{3}\omega^{2}G_{k_{m}}(x,z)M(\lambda(\omega),B)E^{i}(z) + O(\frac{\delta^{4}}{\operatorname{dist}(\lambda(\omega),\sigma(\mathcal{K}_{D}^{*}))})$$

- G<sub>km</sub>: fundamental (outgoing) solution to Maxwell's equations in free space.
- Shift in the plasmonic resonances due to the finite size of the nanoparticle.

• Integral formulation:

$$\begin{pmatrix} I + \mathcal{M}_D^{k_c} - \mathcal{M}_D^{k_m} & \mathcal{L}_D^{k_c} - \mathcal{L}_D^{k_m} \\ \mathcal{L}_D^{k_c} - \mathcal{L}_D^{k_m} & \frac{1}{2} (k_c^2 + k_m^2) I + k_c^2 \mathcal{M}_D^{k_c} - k_m^2 \mathcal{M}_D^{k_m} \end{pmatrix}$$

Integral operators:

$$\begin{aligned} \mathcal{M}_{D}^{k}[\varphi] &: H_{T}^{-\frac{1}{2}}(\operatorname{div},\partial D) &\longrightarrow H_{T}^{-\frac{1}{2}}(\operatorname{div},\partial D) \quad (\operatorname{compact}) \\ \varphi &\longmapsto \int_{\partial D} \nu(x) \times \nabla_{x} \times G_{k}(x,y)\varphi(y)ds(y); \\ \mathcal{L}_{D}^{k}[\varphi] &: H_{T}^{-\frac{1}{2}}(\operatorname{div},\partial D) \longrightarrow H_{T}^{-\frac{1}{2}}(\operatorname{div},\partial D) \\ \varphi &\longmapsto \nu(x) \times \left(k^{2}\mathcal{S}_{D}^{k}[\varphi](x) + \nabla \mathcal{S}_{D}^{k}[\nabla_{\partial D} \cdot \varphi](x)\right). \end{aligned}$$

• Key identities:  $\mathcal{M}_D^{k=0}[\operatorname{curl}_{\partial D} \varphi] = \operatorname{curl}_{\partial D} \mathcal{K}_D[\varphi], \quad \forall \varphi \in H^{\frac{1}{2}}(\partial D),$ 

$$\mathcal{M}_{D}^{k=0}[\nabla_{\partial D}\varphi] = -\nabla_{\partial D}\Delta_{\partial D}^{-1}\mathcal{K}_{D}^{*}[\Delta_{\partial D}\varphi] + \mathcal{R}_{D}[\varphi],$$

 $\mathcal{R}_{D} = -\mathsf{curl}_{\partial D} \Delta_{\partial D}^{-1} \mathsf{curl}_{\partial D} \mathcal{M}_{D} \nabla_{\partial D}, \, \forall \varphi \in H^{\frac{3}{2}}(\partial D).$ 

• Quasi-static approximation:

$$\widetilde{\mathcal{M}}_{B} = \begin{pmatrix} -\Delta_{\partial B}^{-1} \mathcal{K}_{B}^{*} \Delta_{\partial B} & \mathbf{0} \\ \mathcal{R}_{B} & \mathcal{K}_{B} \end{pmatrix}.$$

•  $H(\partial B) := H_0^{\frac{3}{2}}(\partial B) \times H^{\frac{1}{2}}(\partial B)$ , equipped with the inner product

$$(u,v)_{\mathcal{H}(\partial B)} = (\Delta_{\partial B} u^{(1)}, \Delta_{\partial B} v^{(1)})_{\mathcal{H}^*} + (u^{(2)}, v^{(2)})_{\mathcal{H}},$$

 $(u, v)_{\mathcal{H}^*} := -(u, \mathcal{S}_D[v])_{-\frac{1}{2}, \frac{1}{2}}, \quad (u, v)_{\mathcal{H}} = -(\mathcal{S}_D^{-1}[u], v)_{-\frac{1}{2}, \frac{1}{2}}.$ 

- The spectrum  $\sigma(\widetilde{\mathcal{M}}_B) = \sigma(-\mathcal{K}_B^*) \cup \sigma(\mathcal{K}_B^*) \setminus \{-\frac{1}{2}\}$  in  $H(\partial B)$ .
- Only  $\sigma(\mathcal{K}_B^*)$  can be excited in the quasi-static approximation.

- Scattering coefficients: cloaking structures and dictionary matching approach for inverse scattering.
- Mechanism underlying plasmonic resonances in terms of the scattering coefficients corresponding to the nanoparticle.
- Scattering coefficients of order ±1: only scattering coefficients iudcing the scattering-cross section enhancement.

• Helmholtz equation:

$$\begin{cases} \nabla \cdot \Big( \varepsilon_m \chi(\mathbb{R}^d \setminus \overline{D}) + \varepsilon_c(\omega) \chi(\overline{D}) \Big) \nabla u + \omega^2 u = 0, \\ u^s := u - u^i \text{ satisfies the outgoing radiation condition.} \end{cases}$$

$$u^i$$
: incident plane wave;  $k_m := \omega \sqrt{\varepsilon_m}, k_c := \omega \sqrt{\varepsilon_c(\omega)}.$ 

• Scattering coefficients:

$$W_{mn}(D,\varepsilon_c,\varepsilon_m,\omega) = \int_{\partial D} \psi_m(y) J_n(\omega|y|) e^{-in\theta_y} ds(y).$$

- $\psi_m$ : electric current density on  $\partial D$  induced by the cylindrical wave  $J_m(\omega|\mathbf{x}|)e^{im\theta_x}$ .
- $J_n$ : Bessel function.

Properties of the scattering coefficients:

• W<sub>mn</sub> decays rapidly:

$$|W_{mn}| \leq \frac{O(\omega^{|m|+|n|})}{\min |\tau_j(\omega)|} \frac{C^{|m|+|n|}}{|m|^{|m|}|n|^{|n|}}, \ m, n \in \mathbb{Z},$$

C: independent of  $\omega$ ;  $\tau_j = \frac{1}{2} (\varepsilon_m + \varepsilon_c(\omega)) - (\varepsilon_c(\omega) - \varepsilon_m) \lambda_j$ .

• For any  $z \in \mathbb{R}^2, \theta \in [0, 2\pi), s > 0$ ,

$$W_{mn}(D^{z}) = \sum_{m',n'\in\mathbb{Z}} J_{n'}(\omega|z|) J_{m'}(\omega|z|) e^{i(m'-n')\theta_{z}} W_{m-m',n-n'}(D),$$
  

$$W_{mn}(D^{\theta}) = e^{i(m-n)\theta} W_{mn}(D),$$
  

$$W_{mn}(D^{s},\omega) = W_{mn}(D,s\omega).$$

• Scattering amplitude:

$$u^{s}(x) = -ie^{-\frac{\pi i}{4}} \frac{e^{ik_{m}|x|}}{\sqrt{8\pi k_{m}|x|}} A_{\infty}[D, \varepsilon_{c}, \varepsilon_{m}, \omega](\theta, \theta') + o(|x|^{-\frac{1}{2}}),$$

 $|x| \rightarrow \infty; \, \theta, \, \theta'$ : incident and scattered directions.

• Graf's formula:

$$A_{\infty}[D,\varepsilon_{c},\varepsilon_{m},\omega](\theta,\theta')=\sum_{n,m\in\mathbb{Z}}(-i)^{n}i^{m}e^{in\theta'}W_{nm}(D,\varepsilon_{c},\varepsilon_{m},\omega)e^{-im\theta}.$$

• Scattering cross-section:

$$Q^{s}[D,\varepsilon_{c},\varepsilon_{m},\omega](\theta'):=\int_{0}^{2\pi}\left|A_{\infty}[D,\varepsilon_{c},\varepsilon_{m},\omega](\theta,\theta')\right|^{2}d\theta.$$

## Cloaking: scattering coefficient cancellation

- Cloaking: make a target invisible when probed by electromagnetic waves.
- Scattering coefficient cancellation technique:
  - Small layered object with vanishing first-order scattering coefficients.
  - Transformation optics:

$$(F_{\rho})_{*}[\phi](y) = \frac{DF_{\rho}(x)\phi(x)DF_{\rho}(x)^{t}}{\det(DF_{\rho}(x))}, \quad x = F_{\rho}^{-1}(y).$$

- Change of variables  $F_{\rho}$  sends the annulus  $[\rho, 2\rho]$  onto a fixed annulus.
- Scattering coefficients vanishing structures of order N:

$$Q^{s}\Big[D,(F_{\rho})_{*}(\varepsilon\circ\Psi_{\frac{1}{\rho}}),\varepsilon_{m},\omega\Big](\theta')=o(\rho^{4N}),\quad\Psi_{1/\rho}(x)=(1/\rho)x.$$

 $\rho$ : size of the small object; *N*: number of layers.

- Anisotropic permittivity distribution.
- Invisibility at  $\omega \Rightarrow$  invisibility at all frequencies  $\leq \omega$ .

# Cloaking: scattering coefficient cancellation



Cancellation of the scattered field and the scattering cross-section: 4 orders of magnitude (with wavelength of order 1,  $\rho = 10^{-1}$ , and N = 1).

#### Cloaking: anomalous resonance

•  $\Omega$ : bounded domain in  $\mathbb{R}^2$ ;  $D \subseteq \Omega$ .  $\Omega$  and D of class  $\mathcal{C}^{1,\mu}$ ,  $0 < \mu < 1$ . For a given loss parameter  $\delta > 0$ , the permittivity distribution in  $\mathbb{R}^2$  is given by

$$arepsilon_{\delta} = egin{cases} 1 & ext{ in } \mathbb{R}^2 \setminus \overline{\Omega}, \ -1 + i\delta & ext{ in } \Omega \setminus \overline{D}, \ 1 & ext{ in } D. \end{cases}$$

• Configuration (plasmonic structure): core with permittivity 1 coated by the shell  $\Omega \setminus \overline{D}$  with permittivity  $-1 + i\delta$ .



# Dictionary matching approach

Dictionary matching approach:

- Form an image from the echo due to targets.
- Identify and classify the target, knowing by advance that it belongs to a learned dictionary of shapes.
  - Extract the features from the data.
  - Construct invariants with respect to rigid transformations and scaling.
  - Compare the invariants with precomputed ones for the dictionary.



### Dictionary matching approach

- Feature extraction:
  - Extract W by solving a least-squares method

$$\mathbf{W} = \underset{\mathbf{W}}{\operatorname{arg\,min}} \| \mathbf{L}(\mathbf{W}) - \mathbf{V} \|.$$

- L is ill-conditioned (W decays rapidly).
- Maximum resolving order K:

$$K^{K+1/2} = C(\omega)$$
SNR.

- Form a multi-frequency shape descriptor.
- Match in a multi-frequency dictionary.

## Dictionary matching approach



Shape descriptor matching in a multi-frequency dictionary.

Asymptotic expansion of the scattering amplitude:

$$A_{\infty}\left(\frac{x}{|x|},d\right)=\frac{x}{|x|}^{t}W_{1}d+O(\omega^{2}),$$

*d*: incident direction; x/|x|: observation direction;

$$W_{1} = \begin{pmatrix} W_{-11} + W_{1-1} - 2W_{11} & i(W_{1-1} - W_{-11}) \\ i(W_{1-1} - W_{-11}) & -W_{-11} - W_{1-1} - 2W_{11} \end{pmatrix}.$$

Blow up of the scattering coefficients:

$$W_{\pm 1\pm 1} = \pm \pm \frac{k_m^2}{4} \frac{\left(\varphi_j, |x|e^{\mp i\theta_x}\right)_{-\frac{1}{2},\frac{1}{2}} \left(e^{\pm i\theta_\nu}, \varphi_j\right)_{\mathcal{H}^*}}{\lambda - \lambda_j} + O(1).$$

- Super-resolution for plasmonic nanoparticles:
  - Subwavelength resonators;
  - High contrast: effective medium theory;
  - Single nanoparticle imaging.

• Resolution: determined by the behavior of the imaginary part of the Green function. Helmholtz-Kirchhoff identity:

$$\Im m \, G_{k_m}(x,x_0) = k_m \int_{|y|=R} \overline{G_{k_m}(y,x_0)} G_{k_m}(x,y) ds(y), \quad R \to +\infty.$$

- The sharper is  $\Im m G_{k_m}$ , the better is the resolution.
- Local resonant media used to make shape peaks of  $\Im m G_{k_m}$ .
- Mechanism of super-resolution in resonant media:
  - Interaction of the point source x<sub>0</sub> with the resonant structure excites high-modes.
  - Resonant modes encode the information about the point source and can propagate into the far-field.
  - Super-resolution: only limited by the resonant structure and the signal-to-noise ratio in the data.

- System of weakly coupled plasmonic nanoparticles.
- Size of the nanoparticle  $\delta \ll$  wavelength  $2\pi/k_m$ ; distance between the nanoparticles of order one.
- $\Im G^{\delta} = \Im G_{k_m}$  + exhibits subwavelength peak with width of order one.
- Break the resolution limit.



S. Nicosia & C. Ciraci, Cover, Science 2012

• Subwavelength resonator:



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Asymptotic expansion of the Green function (δ: size of the resonator openings; z<sub>j</sub>: center of aperture for jth resonator; J: number of resonators; ω = O(√δ)):

$$\Im m G^{\delta}(x, x_0, \omega) \approx \frac{\sin \omega |x - x_0|}{2\pi |x - x_0|} + \sqrt{\delta} \sum_{j=1}^J \frac{c_j}{|x - z_j| |x_0 - z_j|}$$

• Effective medium theory:

$$arepsilon_{ ext{eff}}(\omega) = arepsilon_m ig(I + f \mathcal{M}(\lambda(\omega), B) (I - rac{f}{3} \mathcal{M}(\lambda(\omega), B))^{-1}ig) + Oig(rac{f^{8/3}}{ ext{dist}(\lambda(\omega), \sigma(\mathcal{K}_p^*))^2}ig).$$

- f: volume fraction; B: rescaled particle.
- $\varepsilon_{\text{eff}}(\omega)$ : anisotropic.
- Validity of the effective medium theory:

 $f \ll \operatorname{dist}(\lambda(\omega), \sigma(\mathcal{K}_D^*))^{3/5}.$ 

• High contrast effective medium at plasmonic resonances:

$$abla imes 
abla imes \mathcal{F} imes \mathcal{F} - \omega^2 \Big( arepsilon_m \chi(\mathbb{R}^d \setminus ar\Omega) + arepsilon_{ ext{eff}}(\omega) \chi(\overline\Omega) \Big) \mathcal{F} = 0.$$

• 
$$E|_{\Omega} \mapsto \int_{\Omega} (\varepsilon_{\text{eff}}(\omega) - \varepsilon_m) E(y) G_{k_m}(x, y) \, dy, \quad x \in \Omega.$$

- Mixing of resonant modes: intrinsic nature of non-hermitian systems.
- Subwavelength resonance modes excited ⇒ dominate over the other ones in the expansion of the Green function.
- Imaginary part of the Green function may have sharper peak than the one of *G* due to the excited sub-wavelength resonant modes.
- Subwavelength modes: determine the superesolution.

• Single nanoparticle imaging:

$$\max_{z^{S}} I(z^{S}, \omega)$$

- $I(z^{s}, \omega)$ : imaging functional;  $z^{s}$ : search point.
- Resolution: limited only by the signal-to-noise-ratio.
- Cross-correlation techniques: robustness with respect to medium noise.



- Part I: Mathematical and computational tools
  - Gohberg-Sigal theory
  - Layer potentials, Green's functions (free space, grating, quasi-periodic), integral formulations, Helmholtz-Kirchhoff identities, scattering coefficients, Floquet theory, Muller's method, Ewald's method for grating and quasi-periodic Green's functions.
- Part II: Diffraction gratings and photonic crystals
  - Diffraction gratings: radiation condition, existence and uniqueness of a solution, optimal design problem.
  - Photonic crystals: sensitivity of band gaps, analysis of photonic crystal cavities.
## Plan

- Part III: Subwavelength resonators and superresolution
  - Plasmonic nanoparticles.
  - Scattering and absorption enhancement.
  - Resolution enhancement.
  - Superresolution in high contrast media.
  - Effective medium theory for subwavelength resonators.
  - Near-field optics.
- Part IV: Metamaterials, metasurfaces, and subwavelength photonic crystals
  - Metamaterials and cloaking.
  - Metasurfaces with superabsorption effect: layers of periodically distributed plasmonic nanoparticles.
  - Subwavelength photonic crystals.