

## Chapter 6

### Language Extensions by Definitions

Sometimes it is convenient to extend a given signature  $\mathcal{L}$  by adding new non-logical symbols which have to be defined properly within the language  $\mathcal{L}$  or with respect to a given  $\mathcal{L}$ -theory  $T$ . Let the extended signature be  $\mathcal{L}^*$  and let the corresponding extended  $\mathcal{L}^*$ -theory be  $T^*$ . Since  $T$  is an  $\mathcal{L}$ -theory, we can just prove  $\mathcal{L}$ -sentences from  $T$  but no  $\mathcal{L}^*$ -sentences which contain symbols from  $\mathcal{L}^* \setminus \mathcal{L}$ . However, this does not imply that we can prove substantially more from  $T^*$  than from  $T$ : It might be that for each  $\mathcal{L}^*$ -sentence  $\sigma^*$  which is provable from  $T^*$  there is an  $\mathcal{L}$ -sentence  $\tilde{\sigma}$ , such that  $T^* \vdash \sigma^* \leftrightarrow \tilde{\sigma}$  and  $T \vdash \tilde{\sigma}$ ; which is indeed the case as we shall see below.

#### Defining new Relation Symbols

Let us first consider an example from Peano Arithmetic: Extend the signature  $\mathcal{L}_{PA}$  of Peano Arithmetic by adding the binary relation symbol “ $<$ ” and denote the extended signature by  $\mathcal{L}_{PA}^* := \mathcal{L}_{PA} \cup \{<\}$ . In order to define the binary relation “ $<$ ”, we give an  $\mathcal{L}_{PA}$ -formula  $\psi_{<}$  with two free variables (e.g.,  $x$  and  $y$ ) and say that the relation  $x < y$  holds if and only if  $\psi_{<}(x, y)$  holds. In our case,  $\psi_{<}(x, y) \equiv \exists z(x + sz = y)$ . So, we would define “ $<$ ” by stipulating:

$$x < y :\iff \exists z(x + sz = y)$$

The problem is now to find for each  $\mathcal{L}_{PA}^*$ -sentence  $\sigma^*$  an  $\mathcal{L}_{PA}$ -sentence  $\tilde{\sigma}$  and an extension  $PA^*$  of  $PA$ , such that  $PA^* \vdash \sigma^* \leftrightarrow \tilde{\sigma}$  and whenever  $PA^* \vdash \sigma^*$ , then  $PA \vdash \tilde{\sigma}$ .

The following result provides an algorithm which transforms sentences  $\sigma^*$  in the extended language into equivalent sentences  $\tilde{\sigma}$  in the original language:

**THEOREM 6.1.** *Let  $\mathcal{L}$  be a signature, let  $R$  be an  $n$ -ary relation symbol which does not belong to  $\mathcal{L}$ , and let  $\mathcal{L}^* := \mathcal{L} \cup \{R\}$ . Furthermore, let  $\psi_R(v_1, \dots, v_n)$  be an  $\mathcal{L}$ -formula with  $\text{free}(\psi_R) = \{v_1, \dots, v_n\}$  and let*

$$\vartheta_R \equiv \forall v_1 \cdots \forall v_n (Rv_1 \cdots v_n \leftrightarrow \psi_R(v_1, \dots, v_n)).$$

Finally, let  $\mathsf{T}$  be a consistent  $\mathcal{L}$ -theory and let  $\mathsf{T}^* := \mathsf{T} + \vartheta_R$ .

Then there exists an effective algorithm which transforms each  $\mathcal{L}^*$ -formula  $\varphi^*$  into an  $\mathcal{L}$ -formula  $\tilde{\varphi}$ , such that:

- (a) If  $R$  does not appear in  $\varphi^*$ , then  $\tilde{\varphi} \equiv \varphi^*$ .
- (b)  $\neg \tilde{\varphi} \equiv \neg \varphi^*$  (for  $\varphi^* \equiv \neg \varphi$ )
- (c)  $\widetilde{\wedge \varphi_1 \varphi_2} \equiv \wedge \tilde{\varphi}_1 \tilde{\varphi}_2$  (for  $\varphi^* \equiv \wedge \varphi_1 \varphi_2$ )
- (d)  $\widetilde{\exists \nu \varphi} \equiv \exists \nu \tilde{\varphi}$  (for  $\varphi^* \equiv \exists \nu \varphi$ )
- (e)  $\mathsf{T}^* \vdash \varphi^* \leftrightarrow \tilde{\varphi}$
- (f) If  $\mathsf{T}^* \vdash \varphi^*$ , then  $\mathsf{T} \vdash \tilde{\varphi}$ .

*Proof.* Let  $\varphi^*$  be an arbitrary  $\mathcal{L}^*$ -formula. In  $\varphi^*$  we replace each occurrence of  $R(v_1/\tau_1, \dots, v_n/\tau_n)$  (where  $\tau_1, \dots, \tau_n$  are  $\mathcal{L}$ -terms) with a particular  $\mathcal{L}^*$ -formula  $\psi'_R(v_1/\tau_1, \dots, v_n/\tau_n)$  such that

$$\psi'_R(v_1, \dots, v_n) \Leftrightarrow \psi_R(v_1, \dots, v_n)$$

and none of the bound variables in  $\psi'_R$  is among  $v_1, \dots, v_n$  or appears in one of the  $\mathcal{L}$ -terms  $\tau_1, \dots, \tau_n$ . In fact, to obtain  $\psi'_R$  we just have to rename the bound variables in  $\psi_R$ . For the resulting  $\mathcal{L}$ -formula  $\tilde{\varphi}$ , (a)–(d) are obviously satisfied.

We prove (e) and (f) on the semantic level: For this, we first show how we can extend a model  $\mathbf{M} \models \mathsf{T}$  to a model  $\mathbf{M}^* \models \mathsf{T}^*$ . Let  $\mathbf{M}$  be an  $\mathcal{L}$ -structure with domain  $A$  such that for each assignment  $j$  we have  $(\mathbf{M}, j) \models \mathsf{T}$  (i.e.,  $\mathbf{M} \models \mathsf{T}$ ). We extend  $\mathbf{M}$  to an  $\mathcal{L}^*$ -structure  $\mathbf{M}^*$  with the same domain  $A$  by stipulating  $\mathbf{M}^*|_{\mathcal{L}} := \mathbf{M}$ , and for any  $a_1, \dots, a_n \in A$ :

$$R^{\mathbf{M}^*}(a_1, \dots, a_n) : \Leftrightarrow (\mathbf{M}, j \frac{a_1}{v_1} \cdots \frac{a_n}{v_n}) \models \psi_R(v_1, \dots, v_n).$$

Then  $\mathbf{M}^*$  is an  $\mathcal{L}^*$ -structure and for every assignment  $j$  we have

$$(\mathbf{M}^*, j) \models \mathsf{T} \quad \text{and} \quad (\mathbf{M}^*, j) \models \vartheta_R,$$

and therefore we obtain

$$\mathbf{M}^* \models \mathsf{T}^*.$$

To prove (e), by the GÖDEL-HENKIN COMPLETENESS THEOREM it is enough to show that  $\varphi^* \leftrightarrow \tilde{\varphi}$  holds in every model  $\mathbf{M}^*$  of  $\mathsf{T}^*$ . So, let  $\mathbf{M}^*$  be an arbitrary model of  $\mathsf{T}^*$ . In particular,  $\mathbf{M}^* \models \vartheta_R$ . If  $\varphi^*$  does not contain  $R$ , then we are done. Otherwise, if  $\varphi^*$  is atomic, then  $\varphi^* \equiv Rt_1 \cdots t_n$  for some  $\mathcal{L}$ -terms  $t_1, \dots, t_n$ . Since  $\mathbf{M}^* \models \vartheta_R$ , we get

$$\mathbf{M}^* \models Rt_1 \cdots t_n \leftrightarrow \psi'_R(t_1, \dots, t_n).$$

This shows  $\mathbf{M}^* \models \varphi^* \leftrightarrow \tilde{\varphi}$  for atomic formulas and by (b)–(d) we get the result for arbitrary formulas.

For (f), we first extend an arbitrary model  $\mathbf{M} \models \mathbf{T}$  to a model  $\mathbf{M}^* \models \mathbf{T}^*$ . By (e), for each  $\mathcal{L}^*$ -formula  $\varphi^*$  we have

$$\mathbf{M}^* \models \varphi^* \iff \mathbf{M}^* \models \tilde{\varphi}.$$

Now, if  $\mathbf{T}^* \vdash \varphi^*$ , then  $\mathbf{M}^* \models \varphi^*$ , which implies that  $\mathbf{M}^* \models \tilde{\varphi}$ . Since  $\tilde{\varphi}$  is an  $\mathcal{L}$ -formula, we get  $\mathbf{M} \models \tilde{\varphi}$ , and since the model  $\mathbf{M}$  of  $\mathbf{T}$  was arbitrary, by the GÖDEL-HENKIN COMPLETENESS THEOREM we get  $\mathbf{T} \vdash \tilde{\varphi}$ .  $\dashv$

## Defining new Function Symbols

The situation is slightly more subtle if we define new functions. However, there is also an algorithm which transforms sentences  $\sigma^*$  in the extended language into equivalent sentences  $\tilde{\sigma}$  in the original language:

**THEOREM 6.2.** *Let  $\mathcal{L}$  be a signature, let  $f$  be an  $n$ -ary function symbol which does not belong to  $\mathcal{L}$ , let  $\mathcal{L}^* := \mathcal{L} \cup \{f\}$  and let  $\mathbf{T}$  be a consistent  $\mathcal{L}$ -theory. Furthermore, let  $\psi_f(v_1, \dots, v_n, y)$  be an  $\mathcal{L}$ -formula with  $\text{free}(\psi_f) = \{v_1, \dots, v_n, y\}$  such that*

$$\mathbf{T} \vdash \forall v_1 \dots \forall v_n \exists! y \psi_f(v_1, \dots, v_n, y).$$

Finally, let

$$\vartheta_f \equiv \forall v_1 \dots \forall v_n \forall y (f v_1 \dots v_n = y \leftrightarrow \psi_f(v_1, \dots, v_n, y))$$

and let  $\mathbf{T}^* := \mathbf{T} + \vartheta_f$ .

Then there exists an effective algorithm which transforms each  $\mathcal{L}^*$ -formula  $\varphi^*$  into an  $\mathcal{L}$ -formula  $\tilde{\varphi}$ , such that:

- (a) If  $f$  does not appear in  $\varphi^*$ , then  $\tilde{\varphi} \equiv \varphi^*$ .
- (b)  $\neg \tilde{\varphi} \equiv \neg \tilde{\varphi}$  (for  $\varphi^* \equiv \neg \varphi$ )
- (c)  $\widetilde{\wedge \varphi_1 \varphi_2} \equiv \wedge \tilde{\varphi}_1 \tilde{\varphi}_2$  (for  $\varphi^* \equiv \wedge \varphi_1 \varphi_2$ )
- (d)  $\widetilde{\exists \nu \varphi} \equiv \exists \nu \tilde{\varphi}$  (for  $\varphi^* \equiv \exists \nu \varphi$ )
- (e)  $\mathbf{T}^* \vdash \varphi^* \leftrightarrow \tilde{\varphi}$
- (f) If  $\mathbf{T}^* \vdash \varphi^*$ , then  $\mathbf{T} \vdash \tilde{\varphi}$ .

*Proof.* By an *elementary  $f$ -term* we mean an  $\mathcal{L}^*$ -term of the form  $f t_1 \dots t_n$ , where  $t_1, \dots, t_n$  are  $\mathcal{L}^*$ -terms which do not contain the symbol  $f$ . We first prove the theorem for atomic  $\mathcal{L}^*$ -formulae  $\varphi^*$  (i.e., for formulae which are free of quantifiers and

logical operators). Let  $\varphi^*(f|w)$  be the result of replacing the leftmost occurrence of an elementary  $f$ -term in  $\varphi^*$  with a new symbol  $w$ , which stands for a new variable. Then, the formula

$$\exists w(\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w))$$

is called the  $f$ -transform of  $\varphi^*$ . If  $\varphi^*$  does not contain  $f$ , then let  $\varphi^*$  be its own  $f$ -transform. Before we proceed, let us prove the following

CLAIM.  $\mathsf{T}^* \vdash \exists w(\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w)) \leftrightarrow \varphi^*$

*Proof of Claim.* Let  $\mathbf{M}^*$  be a model of  $\mathsf{T}^*$  with domain  $A$ , let  $j$  be an arbitrary assignment which assigns to  $w$  an element of  $A$ , and let  $\mathbf{M}_j^* := (\mathbf{M}^*, j)$  be the corresponding  $\mathcal{L}^*$ -interpretation.

Assume that

$$\mathbf{M}_j^* \models \exists w(\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w)).$$

Then, since  $\mathsf{T}^* \vdash \forall v_1 \dots \forall v_n \exists! y \psi_f(v_1, \dots, v_n, y)$ , there exists a unique  $b \in A$  such that

$$\mathbf{M}_{j \frac{b}{w}}^* \models \psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w),$$

which is the same as saying that

$$\mathbf{M}_j^* \models \psi_f(t_1, \dots, t_n, b) \wedge \varphi^*(f|b).$$

Now, since  $\mathbf{M}_j^* \models \vartheta_f$ ,  $b$  is the same object as  $f^{\mathbf{M}_j^*} t_1^{\mathbf{M}_j^*} \dots t_n^{\mathbf{M}_j^*}$ . This implies

$$\mathbf{M}_j^* \models ft_1 \dots t_n = b,$$

and shows that

$$\mathbf{M}_j^* \models \varphi^*.$$

For the reverse implication assume that  $\mathbf{M}_j^* \models \varphi^*$  and let  $b$  be the same object as  $f^{\mathbf{M}_j^*} t_1^{\mathbf{M}_j^*} \dots t_n^{\mathbf{M}_j^*}$ . Then  $\mathbf{M}_j^* \models \varphi^*(f|b)$  and, since  $\mathbf{M}_j^* \models \vartheta_f$ ,

$$\mathbf{M}_j^* \models \psi_f(t_1, \dots, t_n, w) \leftrightarrow ft_1 \dots t_n = w.$$

In particular we get

$$\mathbf{M}_{j \frac{b}{w}}^* \models \psi_f(t_1, \dots, t_n, b) \leftrightarrow ft_1 \dots t_n = b,$$

and because  $f^{\mathbf{M}_j^*} t_1^{\mathbf{M}_j^*} \dots t_n^{\mathbf{M}_j^*}$  is the same object as  $b$ , we get  $\mathbf{M}_j^* \models \psi_f(t_1, \dots, t_n, b)$ , and since we already know  $\mathbf{M}_j^* \models \varphi^*(f|b)$ , we have

$$\mathbf{M}_j^* \models \psi_f(t_1, \dots, t_n, b) \wedge \varphi^*(f|b).$$

So, there exists a  $b$  in  $A$ , such that

$$\mathbf{M}_{j \frac{b}{w}}^* \models \psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w),$$

which is the same as saying that

$$\mathbf{M}_j^* \models \exists w (\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w)).$$

Since the model  $\mathbf{M}^*$  of  $\mathbf{T}^*$  was arbitrary, by the GÖDEL-HENKIN COMPLETENESS THEOREM we get  $\mathbf{T}^* \vdash \exists w (\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w)) \leftrightarrow \varphi^*$ .  $\dashv$  Claim

Since the  $f$ -transform  $\exists w (\psi_f(t_1, \dots, t_n, w) \wedge \varphi^*(f|w))$  of  $\varphi^*$  contains one less  $f$  than  $\varphi^*$ , if we take successive  $f$ -transforms (introducing always new variables), eventually we obtain an atomic  $\mathcal{L}$ -formula  $\tilde{\varphi}$  (i.e., a formula which does not contain  $f$ ) such that  $\mathbf{T}^* \vdash \varphi^* \leftrightarrow \tilde{\varphi}$ . We call  $\tilde{\varphi}$  the  $f$ -less transform of  $\varphi^*$ .

In order to get  $f$ -less transforms of non-atomic  $\mathcal{L}^*$ -formulae  $\varphi^*$ , we just extend the definition by letting  $\neg \tilde{\varphi}$  be  $\neg \tilde{\varphi}$ ,  $\widetilde{\wedge \varphi_1 \varphi_2}$  be  $\wedge \tilde{\varphi}_1 \tilde{\varphi}_2$ , and  $\widetilde{\exists \nu \varphi}$  be  $\exists \nu \tilde{\varphi}$ ; properties (a)–(e) are then obvious.

It remains to prove property (f). Let  $\mathbf{M}_0$  be an arbitrary model of  $\mathbf{T}$  with domain  $A$ . Then, since  $\mathbf{T} \vdash \forall v_1 \dots \forall v_n \exists! y \psi_f(v_1, \dots, v_n, y)$ , for all  $a_1, \dots, a_n$  in  $A$  there exists a unique  $b$  in  $A$  such that

$$\mathbf{M}_0 \models \psi_f(a_1, \dots, a_n, b)$$

and we define the  $n$ -ary function  $f^*$  on  $A$  by stipulating:

$$f^*(a_1, \dots, a_n) := b$$

With this definition, we can extend the  $\mathcal{L}$ -structure  $\mathbf{M}_0$  to an  $\mathcal{L}^*$ -structure  $\mathbf{M}_0^*$ , where we still have  $\mathbf{M}^* \models \mathbf{T}$ . With the definition of  $f^*$  we get in addition  $\mathbf{M}_0^* \models \vartheta_f$ , which implies  $\mathbf{M}_0^* \models \mathbf{T}^*$ . If we have  $\mathbf{T}^* \vdash \varphi^*$ , for some  $\mathcal{L}^*$ -formula  $\varphi^*$ , then there exists an  $\mathcal{L}$ -formula  $\tilde{\varphi}$ , such that  $\mathbf{T}^* \vdash \varphi^* \leftrightarrow \tilde{\varphi}$ , i.e.,  $\mathbf{T}^* \vdash \tilde{\varphi}$ . Since  $\mathbf{T}^* \vdash \tilde{\varphi}$  implies  $\mathbf{M}_0^* \models \tilde{\varphi}$ , and because  $\tilde{\varphi}$  is an  $\mathcal{L}$ -formula, we have  $\mathbf{M}_0 \models \tilde{\varphi}$ . Now, since the model  $\mathbf{M}_0$  of  $\mathbf{T}$  was arbitrary, by the GÖDEL-HENKIN COMPLETENESS THEOREM we get  $\mathbf{T} \vdash \tilde{\varphi}$ .  $\dashv$

## Defining new Constant Symbols

Constant symbols can be handled like 0-ary function symbols:

**FACT 6.3.** *Let  $\mathcal{L}$  be a signature, let  $c$  be constant symbol which does not belong to  $\mathcal{L}$ , let  $\mathcal{L}^* := \mathcal{L} \cup \{c\}$  and let  $\mathbf{T}$  be a consistent  $\mathcal{L}$ -theory. Furthermore, let  $\psi_c(y)$  be an  $\mathcal{L}$ -formula with  $\text{free}(\psi_c) = \{y\}$  such that  $\mathbf{T} \vdash \exists! y \psi_c(y)$ . Finally, let*

$$\vartheta_c \equiv \forall y (c = y \leftrightarrow \psi_c(y))$$

*and let  $\mathbf{T}^* := \mathbf{T} + \vartheta_c$ .*

Then there exists an effective algorithm which transforms each  $\mathcal{L}^*$ -formula  $\varphi^*$  into an  $\mathcal{L}$ -formula  $\tilde{\varphi}$ , such that:

- (a) If  $f$  does not appear in  $\varphi^*$ , then  $\tilde{\varphi} \equiv \varphi^*$ .
- (b)  $\neg \tilde{\varphi} \equiv \neg \varphi^*$  (for  $\varphi^* \equiv \neg \varphi$ )
- (c)  $\widetilde{\varphi_1 \varphi_2} \equiv \tilde{\varphi}_1 \tilde{\varphi}_2$  (for  $\varphi^* \equiv \varphi_1 \varphi_2$ )
- (d)  $\widetilde{\exists \nu \varphi} \equiv \exists \nu \tilde{\varphi}$  (for  $\varphi^* \equiv \exists \nu \varphi$ )
- (e)  $\mathsf{T}^* \vdash \varphi^* \leftrightarrow \tilde{\varphi}$
- (f) If  $\mathsf{T}^* \vdash \varphi^*$ , then  $\mathsf{T} \vdash \tilde{\varphi}$ .

*Proof.* The algorithm is constructed in exactly the same way as in the proof of THEOREM 6.2. +

## NOTES

In this chapter, we mainly followed Mendelson [25, Sec. 2.9].

## EXERCISES

- 6.0 Show that in a signature  $\mathcal{L}$ , constant symbols and functions symbols are dispensable (i.e., as non-logical symbols we need only relation symbols).  
*Hint:* Notice that  $n$ -ary function symbols can be replaced with  $n + 1$ -ary relation symbols, and that constant symbols can be replaced with unary relation symbols.