# Functional Analysis II 

# Solutions to exercises 

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May 10, 2022

These are solutions to some exercises from the course Functional analysis II taught by Prof. Dr. Marc Burger in the spring semester of 2022 at ETH Zürich. If you find any mistakes, feel free to send an email at hjalti.isleifsson@math.ethz.ch.

Exercise 1.1. If $\Gamma$ is a countable group, then $\ell^{1}(\Gamma)$ with the product defined via convolution and involution defined by $f^{*}(\gamma):=\overline{f\left(\gamma^{-1}\right)}$ is an involutive Banach-algebra. Show that $\ell^{1}(\Gamma)$ is not a $C^{*}$-algebra unless $\Gamma=\{e\}$.

Solution. We need to show that

$$
\begin{equation*}
\left\|f * f^{*}\right\|_{1}=\|f\|_{1}\left\|f^{*}\right\|_{1} \quad \text { for every } \quad f \in \ell^{1}(\Gamma) \tag{1}
\end{equation*}
$$

if and only if $\Gamma=\{e\}$. We know that

$$
f * f^{*}(\gamma)=\sum_{\eta \in \Gamma} f(\gamma \eta) \overline{f(\eta)}
$$

It is clear that if $\Gamma=\{e\}$, then (1) holds. Now, suppose that $\Gamma$ is nontrivial. Let $\gamma_{0} \in \Gamma$ be a nontrivial element. Now consider the following two cases:
(i) Assume that $\gamma_{0}=\gamma_{0}^{-1}$. Consider $f:=\delta_{e}+i \delta_{\gamma_{0}}$. We know that $\|f\|_{1}=2$ but

$$
\left(f * f^{*}\right)(\gamma)= \begin{cases}|f(e)|^{2}+\left|f\left(\gamma_{0}\right)\right|^{2}=2 & \text { if } \gamma=e \\ 2 \operatorname{re}\left(f\left(\gamma_{0}\right) \overline{f(e)}\right)=0 & \text { if } \gamma=\gamma_{0} \\ 0 & \text { else }\end{cases}
$$

so $\left\|f * f^{*}\right\|_{1}=2<4=\|f\|_{1}\left\|f^{*}\right\|_{1}$.
(ii) Assume that $\gamma_{0} \neq \gamma_{0}^{-1}$. Then we consider $f:=\delta_{e}+i \delta_{\gamma_{0}}+i \delta_{\gamma_{0}^{-1}}$ and we get similarly that $\left\|f * f^{*}\right\|_{1}=3<9=\|f\|_{1}\left\|f^{*}\right\|_{1}$.

Exercise 1.3. Suppose $A$ is a unital $\mathbb{C}$-algebra with a Banach space norm $\|\cdot\|$ for which the multiplication is continuous in each factor separately. Then there exists an equivalent norm $\|\cdot\|^{\prime}$ such that $\left(A,\|\cdot\|^{\prime}\right)$ is a unital Banach algebra verifying $\|e\|^{\prime}=1$ and $\|x y\|^{\prime} \leq\|x\|^{\prime}\|y\|^{\prime}$ for all $x, y \in A$.

Solution. For $x \in A$ let $\|x\|^{\prime}:=\left\|L_{x}\right\|$ where $L_{x}$ denotes the left multiplication by $x$. It is clear that $\|e\|^{\prime}=1$ and $\|x y\|^{\prime} \leq\|x\|^{\prime}\|y\|^{\prime}$. Now, $\|x\|=\left\|L_{x} e\right\| \leq\left\|L_{x}\right\| \cdot\|e\|$. Let $R_{y}$ denote the right multiplication by $y$. Note that $\left\|L_{x}\right\|=\sup _{\|y\| \leq 1}\left\|L_{x} y\right\|=\sup _{\|y\|=1}\left\|R_{y} x\right\|$ so $\left(R_{y}\right)_{\|y\|=1}$ is a family of pointwise bounded operators and hence uniformly bounded by the uniform boundedness principle so there is a constant $C>0$ such that

$$
\sup _{\|x\|=1}\left\|L_{x}\right\|=\sup _{\|y\|=1}\left\|R_{y} x\right\|=\sup _{\|y\|=1}\left\|R_{y}\right\| \leq C .
$$

Thus $\|x\|^{\prime}=\left\|L_{x}\right\| \leq C\|x\|$ for every $x \in A$. This shows that the two norms are equivalent.

Exercise 2.1. If $X$ is a locally compact Hausdorff space, then for every $f \in C_{0}(X)$ the equality $\mathrm{Sp}_{C_{0}(X)}(f)=\overline{f(X)}$ holds.

Solution. Assume first that $X$ is compact. Then $C_{0}(X)=C(X)$ is unital. Let $f \in C_{0}(X)$. For $\lambda \in \mathbb{C}, f-\lambda$ is invertible if and only if there exists a $g \in C_{0}(X)$ such that $(f(x)-\lambda) g(x)=1$ for every $x \in X$. As $\overline{f(X)}=f(X)$ since $X$ is compact, it is clear that $f-\lambda$ is invertible if and only if $\lambda \notin \overline{f(X)}$ so $\operatorname{Sp}_{C_{0}(X)}(f)=\overline{f(X)}$.

Now assume that $X$ is not compact. Then $C_{0}(X)$ is not unital so by definition

$$
\operatorname{Sp}_{C_{0}(X)}(f)=\operatorname{Sp}_{C_{0}(X)_{I}}((f, 0))=\{\lambda \in \mathbb{C} \mid(f,-\lambda) \text { is not invertible }\} .
$$

Let $\lambda \in \mathbb{C}$. By solving the equation $(f,-\lambda)(g, \mu)=(0,1)$ we see that $(f,-\lambda)$ is invertible if and only if $\lambda \neq 0$ and $g(x):=\frac{1}{\lambda} \frac{f(x)}{f(x)-\lambda}$ is in $C_{0}(X)$. Now, assume that $\lambda \neq 0$ and $g \in C_{0}(X)$. Then as $f$ vanishes at infinity, there exists a compact $K \subseteq X$ such that $|f(x)| \leq|\lambda| / 2$ for $x \notin K$. As $g$ is continuous and hence bounded on the compact set $K$, there is a constant $C>0$ such that $C|f(x)-\lambda| \geq|f(x)|$ for all $x \in K$ so for $x \in K$, either $|f(x)| \leq|\lambda| / 2$ and hence $|f(x)-\lambda| \geq|\lambda| / 2>0$ or $C|f(x)-\lambda|>|\lambda| / 2$. This shows that $\lambda \notin \overline{f(X)}$. Conversely, assume that $\lambda \notin \overline{f(X)}$. Then $\lambda \neq 0$ since $0 \in \overline{f(X)}$ (this follows from that $X$ is not compact and $f$ vanishes at infinity). Let $\varepsilon>0$ be such that disc of radius $\varepsilon$ centered at $\lambda$ does not intersect $f(X)$. Then $|g(x)| \leq \frac{1}{|\varepsilon \lambda|}|f(x)|$ so $g$ vanishes at infinity as $f$ does. That $g$ is continuous is clear.

## Exercise 2.2.

(a) Show that for any $f, g \in L^{1}([0,1])$,

$$
f * g(t):=\int_{0}^{t} f(t-x) g(x) d x
$$

exists for almost every $t \in[0,1]$ and that $f * g \in L^{1}([0,1])$.
(b) Show that $(f, g) \mapsto f * g$ gives a Banach algebra structure on $L^{1}([0,1])$.
(c) Show that for every $f \in L^{1}([0,1])$ it holds that $\operatorname{Sp}(f)=\{0\}$.

Solution. (a) We have that

$$
\begin{aligned}
\int_{0}^{1}\left|\int_{0}^{t} f(t-x) g(x) d x\right| d t & \leq \int_{0}^{1}|g(x)| \int_{0}^{t}|f(t-x)| d x d t=\int_{0}^{1} \int_{x}^{1}|f(t-x) \| g(x)| d t d x \\
& \leq\|f\|_{1} \int_{0}^{1}|g(x)| d x=\|f\|_{1}\|g\|_{1}
\end{aligned}
$$

It follows that $f * g(t):=\int_{0}^{t} f(t-x) g(x) d x$ is finite for almost every $t$ and defines an element of $L^{1}([0,1])$.
(b) Trivial.
(c) Let $f(t):=1$ for all $t \in[0,1]$. Now, $f * f(t)=t, f * f * f(t)=\frac{1}{2} t^{2}$ and generally

$$
\underbrace{f * \cdots * f}_{n-\text { times }}(t)=\frac{1}{(n-1)!} t^{n-1} .
$$

By Gelfand's formula, the spectral radius of $f$ is

$$
\|f\|_{\mathrm{sp}}=\lim _{n \rightarrow \infty}\|\underbrace{f * \cdots * f}_{n-\text { times }}\|_{1}^{1 / n}=\lim _{n \rightarrow \infty}\left(\frac{1}{(n-1)!} \int_{0}^{1} t^{n-1} d t\right)^{1 / n}=\lim _{n \rightarrow \infty} \frac{1}{(n!)^{1 / n}}=0
$$

where the last step followed from the fact that

$$
\ln \left((n!)^{1 / n}\right)=\frac{1}{n} \sum_{k=1}^{n} \ln k \geq \frac{1}{n} \int_{1}^{n} \ln x d x=\ln n-\frac{n-1}{n} \longrightarrow \infty
$$

as $n \rightarrow \infty$. Thus, as the spectrum is nonempty, $\operatorname{Sp}_{L^{1}[[0,1]}(f)=\{0\}$. Every polynomial on $[0,1]$ can be written as $p(f)$ where $p$ is a polynomial which takes 0 to 0 . Hence, by the spectral mapping theorem, the spectrum of any polynomial is $p\left(\operatorname{Sp}_{L^{1}([0,1]}(f)\right)=p(\{0\})=$ $\{0\}$ so its spectral radius is zero. Now, the polynomials are dense in $L^{1}([0,1])$ as they are dense in the supremum norm in $C([0,1])$ by Weierstrass, the supremum norm is finer than the $L^{1}$-norm on $L^{1}([0,1])$ and $C([0,1])$ is dense in $L^{1}([0,1])$. It follows that spectral radius vanishes identically as it vanishes on a dense set.

Exercise 3.1. Verify that $C^{n}([0,1])$ with pointwise multiplication and norm

$$
\|f\|:=\sum_{k=0}^{n} \frac{1}{k!}\left\|f^{(k)}\right\|_{\infty}
$$

is a commutative unital Banach algebra for every integer $n$.
Solution. We begin by verifying that $C^{n}([0,1])$ is complete. So let $\left(f_{j}\right)$ be a Cauchy sequence in $C^{n}([0,1])$. Then for each $k=0,1, \ldots, n$, the sequence $\left(f_{j}^{(k)}\right)$ of continuous functions is Cauchy in the supremum norm and hence converges uniformly to a continuous function which we denote by $g_{k}$. We show that $g_{0} \in C^{n}([0,1])$ by showing that $g_{k+1}=g_{k}^{\prime}$ for $k=0,1 \ldots, n-1$ and then we are done. Now, for $k=0,1, \ldots, n-1$ and $x \in[0,1]$ we have that

$$
g_{k}(x)=\lim _{j \rightarrow \infty} f_{j}^{(k)}(x)=\lim _{j \rightarrow \infty}\left(f_{j}^{(k)}(0)+\int_{0}^{x} f_{j}^{(k+1)}(t) d t\right)=g_{k}(0)+\int_{0}^{x} g_{k+1}(t) d t
$$

where the interchange of limit and integral is justified by the fact that we have uniform convergence on a compact set. Now the fundamental theorem of calculus gives that $g_{k}^{\prime}=g_{k+1}$.

Let us also verify that $\|f g\| \leq\|f\|\|g\|$. So let $f, g \in C^{n}([0,1])$. We apply the Leibniz rule

$$
(f g)^{(k)}=\sum_{j=0}^{k}\binom{k}{j} f^{(j)} g^{(k-j)}
$$

to get

$$
\begin{aligned}
\|f g\| & =\sum_{k=0}^{n} \frac{1}{k!}\left\|(f g)^{(k)}\right\|_{\infty} \leq \sum_{k=0}^{n} \frac{1}{k!} \sum_{j=0}^{k}\binom{k}{j}\left\|f^{(j)}\right\|_{\infty}\left\|g^{(k-j)}\right\|_{\infty} \\
& =\sum_{j=0}^{n} \sum_{k=j}^{n} \frac{1}{j!(k-j)!}\left\|f^{(j)}\right\|_{\infty}\left\|g^{(k-j)}\right\|_{\infty}=\sum_{j=0}^{n} \frac{1}{j!}\left\|f^{(j)}\right\|_{\infty} \sum_{k=0}^{n-j} \frac{1}{k!}\left\|g^{(k)}\right\|_{\infty} \\
& \leq \sum_{j=0}^{n} \frac{1}{j!}\left\|f^{(j)}\right\|_{\infty}\|g\|=\|f\|\|g\| .
\end{aligned}
$$

Exercise 3.2. Show that $C^{\infty}([0,1])$ does not admit any Banach algebra norm.
Solution. We assume that there exists a Banach algebra norm $\|\cdot\|$ on $C^{\infty}([0,1])$ and derive a contraction. First, we show that the Banach algebra $C([0,1])$ is a semisimple. That follows from the fact that each maximal ideal has the form $\{f \in C([0,1]) \mid f(x)=0\}$ for some fixed $x \in[0,1]$, and hence their intersection is trivial. Now consider the inclusion $C^{\infty}([0,1]) \rightarrow C([0,1])$. It is a Banach algebra homomorphism and hence continuous as $C([0,1])$ is semisimple. Thus there exists a constant $c>0$ such that $\|f\|_{\infty} \leq c\|f\|$. Now, consider the differentiation operator $D: C^{\infty}([0,1]) \rightarrow C^{\infty}([0,1]), f \mapsto f^{\prime}$. Let $\left(f_{n}, f_{n}^{\prime}\right) \rightarrow(f, g)$. Then $\left\|f_{n}-f\right\|_{\infty} \leq c\left\|f_{n}-f\right\| \rightarrow 0$ and $\left\|f_{n}^{\prime}-g\right\|_{\infty} \leq c\left\|f_{n}^{\prime}-g\right\| \rightarrow 0$. Thus

$$
f(x)=\lim _{n \rightarrow \infty} f_{n}(x)=\lim _{n \rightarrow \infty}\left(f_{n}(0)+\int_{0}^{x} f_{n}^{\prime}(t) d t\right)=f_{n}(0)+\int_{0}^{x} g(t) d t
$$

for every $x \in[0,1]$ so $g=f^{\prime}$. It follows from the closed graph theorem that $D$ is continuous so there exists a constant $C>0$ such that $\left\|f^{\prime}\right\| \leq C\|f\|$ for every $f \in C^{\infty}([0,1])$. But for every $\alpha>0, \alpha\left\|e^{\alpha x}\right\|=\left\|\frac{d}{d x} e^{\alpha x}\right\| \leq C\left\|e^{\alpha x}\right\|$ so $\alpha \leq C$. But $\alpha$ is arbitrary so this can not hold.

Exercise 3.4. Let $X$ be a locally compact Hausdorff space. Show that $X \rightarrow \widehat{C_{0}(X)}$ is a homeomorphism.

Solution. Let us denote the bijection by $\varphi$. Recall that for $x \in X, \varphi(x): C_{0}(X) \rightarrow \mathbb{C}$, $\varphi(x)(f)=f(x)$. As the Gelfand topology is the coarsest topology which makes all the maps $\hat{f}: \widehat{C_{0}(X)} \rightarrow \mathbb{C}, \chi \mapsto \chi(f)$, where $f \in C_{0}(X)$, it suffices to verify that $x \mapsto \hat{f} \circ \varphi(x)=\varphi(x)(f)=f(x)$ is continuous for every $f$. But that simply follows from the fact that all the functions $f \in C_{0}(X)$ are continuous.

Let us now show that $\varphi$ is open. So let $U \subseteq X$ be open and let $x_{0} \in U$. Let $f \in C_{0}(X)$ be a function such that $0 \leq f \leq 1, f\left(x_{0}\right)=1$ and $f=0$ on $X \backslash U$. Consider $U_{0}\left\{\varphi(x)| | \varphi(x)(f)-\varphi\left(x_{0}\right)(f) \mid<1\right\}$. This is an open set which contains $\varphi\left(x_{0}\right)$. Further, if $\varphi(x) \in U_{0}$ then $|f(x)-1|<1$ so $x \in U$ as $f(x)=0$ on $X \backslash U$. This shows that $U$ contains an open neighborhood of $x_{0}$ and as $x_{0}$ was arbitrary we conclude that $U$ is open.

Exercise 4.3. Find an example of a Banach algebra such that its Gelfand transform is not surjective.

Solution. Consider the Banach algebra $C^{1}([0,1])$. For every $x \in X$ we get a character $\varphi(x)$ given by $\varphi(x)(f)=f(x)$. It is straightforward to verify that this map $\varphi:[0,1] \rightarrow$ $C^{1}([0,1])$ is an embedding. Every character on $C^{1}([0,1])$ is continuous when $C^{1}([0,1])$ is endowed with the supremum norm, since that is coarser than the norm on $C^{1}([0,1])$. But $C^{1}([0,1])$ is dense in $C([0,1])$ so every character of $C^{1}([0,1])$ extends to a character of $C([0,1])$. We know that all the characters on $C([0,1])$ have the form $\varphi(x)$ so we conclude that $\varphi$ is actually a homeomorphism. Now, let $f$ be a continuous function on $[0,1]$ which is not differentiable. That function induces a continuous function $C\left(\widehat{C^{1}([0,1]}\right)$ given by $f \circ \varphi^{-1}$. This function is not the Gelfand transform of any function in $C^{1}([0,1])$.

Exercise 5.1. A square matrix $A$ with coefficients in $\mathbb{C}$ represents a normal operator if and only if there exists a unitary matrix $u$ such that $u A u^{-1}$ is diagonal.

Solution. Let $e$ be an eigenvector of $A$ with eigenvalue $\lambda$ and let $v$ be orthogonal to $e$. Recall that $e$ is a eigenvector of $A^{*}$ with eigenvalue $\bar{\lambda}$ so $\langle e, A v\rangle=\left\langle A^{*} e, v\right\rangle \bar{\lambda}\langle e, v\rangle=0$ and similarly, $\left\langle e, A^{*} v\right\rangle=0$. This shows that $A$ restricts to a normal operator on the orthogonal complement of $e$. Hence we can use induction on the dimension to find an orthonormal basis of eigenvectors.

Exercise 6.2. Let $X$ be a compact Hausdorff space. Show that for $f, g \in \mathcal{B}^{\infty}(X)$ it holds that
(a) $\|f\|_{\infty} \leq\|f\|$.
(b) $\|f+g\|_{\infty} \leq\|f\|_{\infty}+\|g\|_{\infty}$
(c) $\|f \cdot g\|_{\infty} \leq\|f\|_{\infty} \cdot\|g\|_{\infty}$.

Solution. (a) We show that $\operatorname{EssIm}(f) \subseteq \overline{f(X)}$ for every $f$ and then the claim follows. We have that $f^{-1}(\mathbb{C} \backslash \overline{f(X)})=\emptyset$ so $E\left(f^{-1}(V)\right)=0$. As $\mathbb{C} \backslash \operatorname{Ess} \operatorname{Im}(f)$ is the largest open set such that $E\left(f^{-1}(\mathbb{C} \backslash \operatorname{EssIm}(f))\right)=0$ we conclude that $\mathbb{C} \backslash \overline{f(X)} \subseteq \mathbb{C} \backslash \operatorname{EssIm}(f)$ and thus $\operatorname{EssIm}(f) \subseteq \overline{f(X)}$.
(b) Let $\varepsilon>0$ and $\lambda \in \operatorname{EssIm}(f+g)$. We know that $E\left((f+g)^{-1}(B(\lambda, \varepsilon))\right) \neq 0$. Now,

$$
(f+g)^{-1}\left(B ( \lambda , \varepsilon ) \subseteq \bigcup _ { \lambda ^ { \prime } \in \mathbb { Q } + i \mathbb { Q } } \left(f^{-1}\left(B\left(\lambda^{\prime}, \varepsilon\right) \cap g^{-1}\left(B\left(\lambda-\lambda^{\prime}, \varepsilon\right)\right)\right) .\right.\right.
$$

As $E$ of the left hand side is non-zero and there are only countably many constituents of the right hand side, we conclude that there exists $\lambda^{\prime} \in \mathbb{Q}+i \mathbb{Q}$ such that

$$
E\left(f^{-1}\left(B\left(\lambda^{\prime}, \varepsilon\right) \cap g^{-1}\left(B\left(\lambda-\lambda^{\prime}, \varepsilon\right)\right)\right) \neq 0\right.
$$

But then

$$
|\lambda| \leq\left|\lambda^{\prime}\right|+\left|\lambda-\lambda^{\prime}\right| \leq\|f\|_{\infty}+\|g\|_{\infty}+2 \varepsilon
$$

so $\|f+g\|_{\infty} \leq\|f\|_{\infty}+\|g\|_{\infty}+2 \varepsilon$. As $\varepsilon>0$ is arbitrary, we conclude that $\|f+g\|_{\infty} \leq$ $\|f\|_{\infty}+\|g\|_{\infty}$.
(c) Let $C>0$ be such that $\|f\|,\|g\| \leq C$. Let $0 \neq \lambda \in \operatorname{EssIm}(f g)$ and let $0<\varepsilon \leq$ $|\lambda| / 2$. It is routine to verify that

$$
(f \cdot g)^{-1}(B(\lambda, \varepsilon)) \subseteq \bigcup_{\substack{\lambda^{\prime} \in \mathbb{Q}+i \mathbb{Q} \\\left|\lambda^{\prime}\right| \geq|\lambda| / 2 C}}\left(f^{-1}\left(B\left(\lambda^{\prime}, \varepsilon\right) \cap g^{-1}\left(B\left(\lambda / \lambda^{\prime}, 3 \varepsilon C /|\lambda|\right)\right)\right) .\right.
$$

Now, a similar argument as in (b) gives the result.
Exercise 6.3. For every $f \in \mathcal{B}^{\infty}(X)$ and every $g \in N$, show that $\|f+g\|_{\infty}=\|f\|_{\infty}$.
Solution. First, $\|f+g\|_{\infty} \leq\|f\|_{\infty}+\|g\|_{\infty}=\|f\|_{\infty}$. Then we note that

$$
\|f\|_{\infty}=\|f+g-g\|_{\infty} \leq\|f+g\|_{\infty}+\|g\|_{\infty}=\|f+g\|_{\infty}
$$

so $\|f+g\|_{\infty}=\|f\|_{\infty}$.
Exercise 6.4. Show that the quotient norm on $\mathcal{B}^{\infty}(X) / N$ is given by $\|[f]\|=\|f\|_{\infty}$.
Solution. First,

$$
\|[f]\|=\inf _{g \in N}\|f+g\| \geq \inf _{g \in N}\|f+g\|_{\infty}=\inf _{g \in N}\|f\|_{\infty}=\|f\|_{\infty} .
$$

Let us now show that $\|[f]\| \leq\|f\|_{\infty}$. Take $r>\|f\|_{\infty}$. Then $E\left(f^{-1}(\mathbb{C} \backslash B(0, r))\right)=0$. Let $g:=f \cdot \chi_{f^{-1}(\mathbb{C} \backslash B(0, r))}$. Then $\|g\|_{\infty}=0$ so $g \in N$. Further $\|f-g\| \leq r$ so $\|[f]\| \leq r$. Since $r>\|f\|_{\infty}$ was arbitrary, we conclude that $\|[f]\| \leq\|f\|_{\infty}$.

Exercise 6.5. Show that $L^{\infty}(E):=\mathcal{B}^{\infty}(X) / N$ endowed with the quotient norm is a $C^{*}$-algebra and for every $[f] \in L^{\infty}(E)$, the spectrum of $[f]$ coincides with the essential image $\operatorname{EssIm}(f)$ of any representation of $[f]$.

Solution. We verify the latter part. Let $\lambda \notin \operatorname{Sp}_{L^{\infty}(E)}([f])$. Then there exists $g \in \mathcal{B}^{\infty}(X)$ such that $(f-\lambda) g=1+n$ where $n \in N$ i.e. $E(\{(f-\lambda) g \neq 1\})=0$ and therefore $E\left(f^{-1}\left(B\left(\lambda, \frac{1}{\|g\|_{\infty}}\right)\right)\right)=0$. This shows that $\lambda \notin \operatorname{EssIm}(f)$. Conversely, assume that $\lambda \notin \operatorname{EssIm}(f)$. Then there exists $\varepsilon>0$ such that $E\left(f^{-1}(B(\lambda, \varepsilon))\right)=0$. Let $g:=\frac{1}{f-\lambda} \chi_{f^{-1}(\mathbb{C} \backslash B(\lambda, \varepsilon))}$. Then $(f-\lambda) g=1+n$ where $n \in N$ so $\lambda \notin \operatorname{Sp}_{L^{\infty}(E)}([f])$.

Exercise 7.1. In the context of Theorem 4.10, show that for $f \in C\left(\operatorname{Sp}_{A}(x)\right)$ we have $\mathrm{Sp}_{A}(f(x))=f\left(\mathrm{Sp}_{A}(x)\right)$.

Solution. Here $A$ is a unital $C^{*}$-algebra and $x \in A$ is a normal element. Then

$$
B:=\overline{\left\{P\left(x, x^{*}\right) \mid P \in \mathbb{C}[X, Y]\right\}}
$$

is a unital abelian $C^{*}$-subalgebra and $\mathrm{Sp}_{A}(b)=\mathrm{Sp}_{B}(b)$ for every $b \in B$ by Proposition 4.9. Now, theorem 4.10 gives that $C\left(\mathrm{Sp}_{A}(x)\right) \rightarrow B, f \mapsto f(x)$ is a $C^{*}$-algebra isomorphism sending 1 to $e$ and id to $x$. Hence we get:

$$
\operatorname{Sp}_{A}(f(x))=\operatorname{Sp}_{B}(f(x))=\operatorname{Sp}_{C\left(\operatorname{Sp}_{A}(x)\right)}(f)=f\left(\operatorname{Sp}_{A}(x)\right)
$$

where in the last step we used that if $X$ is a compact Hausdorff space and $f \in C(X)$ then $\operatorname{Sp}_{C(X)}(f)=f(X)$.

Exercise 7.2. Let $T \in \mathcal{L}(\mathcal{H})$ be a normal operator and $E$ the associated resolution of the identity. For $f \in C(\operatorname{Sp}(T))$ define a resolution of the identity on $f(\operatorname{Sp}(T))=\operatorname{Sp}(f(T))$ by $f_{\#} E(\omega):=E\left(f^{-1}(\omega)\right)$ for every Borel set $\omega \subseteq \operatorname{Sp}(f(T))$. Show that $f_{\#} E$ is the resolution of the idenity on $\operatorname{Sp}(f(T))$ associated with $f(T)$.

Solution. Let $f \in C(\operatorname{Sp}(T))$ and $x \in \mathcal{H}$. Using that $f_{\#} E_{x, x}=\left(f_{\#} E\right)_{x, x}$, Exercise 1 and the fundamental property of push-forward measures, we get

$$
\langle f(T) x, x\rangle=\int_{\operatorname{Sp}(T)} f(\lambda) d E_{x, x}(\lambda)=\int_{f(\operatorname{Sp}(T))} \lambda d f_{\#}\left(E_{x, x}\right)(\lambda)=\int_{\operatorname{Sp}(f(T))} \lambda d\left(f_{\#} E\right)_{x, x}(\lambda) .
$$

Now it follows from the uniqueness part of Corollary 5.20 that $f_{\#} E$ is the resolution of the identity associated with $f(T)$.

Exercise 7.4. Let $T \in \mathcal{L}(\mathcal{H})$ be a normal operator, $E$ its associated resolution of the identity and $f \in C(\operatorname{Sp}(T))$. If $0 \in \operatorname{Sp}(f(T))$ then $\operatorname{Ker} f(T)=\operatorname{Im} E\left(f^{-1}(0)\right)$.

Solution. For $x \in \mathcal{H}$ we have

$$
\|f(T) x\|^{2}=\left\langle f(T)^{*} f(T) x, x\right\rangle=\int_{\operatorname{Sp}(T)}|f|^{2}(\lambda) d E_{x, x}
$$

so $f(T) x=0$ if and only if $E_{x, x}\left(\operatorname{Sp}(T) \backslash f^{-1}(0)\right)=0$ which is if and only if $E_{x, x}\left(f^{-1}(0)\right)=$ $\|x\|^{2}$ as $E_{x, x}(\operatorname{Sp}(T))=\|x\|^{2}$. Now, $E_{x, x}\left(f^{-1}(0)\right)=\|x\|^{2}$ if and only if $E\left(f^{-1}(0)\right) x=x$ as $E_{x, x}\left(f^{-1}(0)\right)=\left\langle E\left(f^{-1}(0)\right) x, x\right\rangle$ and $E\left(f^{-1}(0)\right)$ is a self adjoint projection. But a projection fixes a vector if and only if it is in its image so we conclude that $f(T) x=0$ if and only if $x$ is in the image of $E\left(f^{-1}(0)\right)$.

Exercise 7.5. In the same context as in Exercise 4, show that if $\lambda_{0} \in \operatorname{Sp}(T)$, then $\operatorname{Ker}\left(T-\lambda_{0} \mathrm{id}\right)=\operatorname{Im} E\left(\left\{\lambda_{0}\right\}\right)$. Conclude that $\lambda_{0}$ is an eigenvalue of $T$ if and only if $E\left(\left\{\lambda_{0}\right\}\right) \neq 0$. Show that if $\lambda_{0} \in \operatorname{Sp}(T)$ is an isolated point of $\operatorname{Sp}(T)$ then $\lambda_{0}$ is an eigenvalue.

Solution. The first part follows immediately from Exercise 4 with $f(\lambda)=\lambda-\lambda_{0}$. By definition $\lambda_{0}$ is an eigenvalue of $T$ if and only if $\operatorname{Ker}\left(T-\lambda_{0} \mathrm{id}\right) \neq 0$ so $\lambda_{0}$ is an eigenvalue if and only if $\operatorname{Im} E\left(\left\{\lambda_{0}\right\}\right) \neq 0$ i.e. $E\left(\left\{\lambda_{0}\right\}\right) \neq 0$. Now, if $\lambda_{0} \in \operatorname{Sp}(T)$ is an isolated point then $\left\{\lambda_{0}\right\}$ is open in $\operatorname{Sp}(T)$ so by the spectral theorem, $E\left(\left\{\lambda_{0}\right\}\right) \neq 0$ and hence is $\lambda_{0}$ an eigenvalue of $T$.

Exercise 7.6. Let $T \in \mathcal{L}(\mathcal{H})$ be a normal operator. Show that $\|T\|=\sup \{|\langle T x, x\rangle| \mid$ $\|x\| \leq 1\}$.

Solution. It follows from Cauchy-Schwarz that $\sup \{|\langle T x, x\rangle| \mid\|x\| \leq 1\} \leq\|T\|$. Now, since $T$ is normal, $\|T\|=\|T\|_{\text {sp }}$ and hence as $\operatorname{Sp}(T)$ is compact, there exists $\lambda_{0} \in \operatorname{Sp}(T)$ such that $\left|\lambda_{0}\right|=\|T\|$. Let $0<\varepsilon<\left|\lambda_{0}\right|$ (the result is clear if $\lambda_{0}=0$. Then $E\left(B\left(\lambda_{0}, \varepsilon\right)\right) \neq 0$ so there exists a unit vector $x \in \mathcal{H}$ such that $E\left(B\left(\lambda_{0}, \varepsilon\right)\right) x=x$. Then $E_{x, x}$ is concentrated on $B\left(\lambda_{0}, \varepsilon\right)$ so we get

$$
|\langle T x, x\rangle|=\left|\int_{\operatorname{Sp}(T)} \lambda d E_{x, x}(\lambda)\right|=\left|\int_{\operatorname{Sp}(T) \cap B\left(\lambda_{0}, \varepsilon\right)} \lambda d E_{x, x}(\lambda)\right| \geq\left|\lambda_{0}\right|-\varepsilon
$$

Now we conclude that $\|T\| \leq \sup \{|\langle T x, x\rangle| \mid\|x\| \leq 1\}$.
Exercise 7.7. Let $V \in \mathcal{L}(\mathcal{H})$ be a unitary operator and $P$ the orthogonal projection of $\mathcal{H}$ onto the eigenspace of $V$ associated with the eigenvalue 1. Use the Spectral theorem to show that for every $x \in \mathcal{H}$ it holds that

$$
\lim _{n \rightarrow \infty} \frac{1}{n}\left(x+V x+\cdots+V^{n-1} x\right)=P(x)
$$

Solution. We begin by noting that if $x$ belongs to the 1 -eigenspace of $V$ then the result is clear. Hence it suffices to show that if $x$ is orthogonal to the 1-eigenspace then $\lim _{n \rightarrow \infty} \frac{1}{n}\left(x+V x+\cdots+V^{n-1} x\right)=0$. So for $n \geq 1$ let $f_{n}: \operatorname{Sp}(V) \rightarrow \mathbb{C}, f_{n}(\lambda):=$ $\frac{1}{n} \sum_{k=0}^{n-1} \lambda^{k}$. Since $V$ is unitary, $|\lambda|=1$ for every $\lambda \in \operatorname{Sp}(V)$. Hence we note that $f_{n} \rightarrow \chi_{\{1\}}$ pointwise as $n \rightarrow \infty$ since $f_{n}(1)=1$ for every $n$ and

$$
\left|f_{n}(\lambda)\right|=\frac{1}{n}\left|\frac{1-\lambda^{n}}{1-\lambda}\right| \leq \frac{2}{n} \frac{1}{|1-\lambda|} \longrightarrow 0
$$

as $n \rightarrow \infty$. Further, $\left|f_{n}(\lambda)\right| \leq 1$ for every $n$ by the triangle inequality. Now we can apply the dominated convergence theorem to get

$$
\left\|f_{n}(V) x\right\|^{2}=\int_{\operatorname{Sp}(V)}\left|f_{n}(\lambda)\right|^{2} d E_{x, x}(\lambda) \longrightarrow \int_{\operatorname{Sp}(V)} \chi_{\{1\}}(\lambda) d E_{x, x}(\lambda)=\langle E(\{1\}) x, x\rangle=0
$$

if $x$ is orthogonal to the 1 -eigenspace of $V$ because by Exercise $5, E(\{1\})$ is the projection onto the 1-eigenspace of $V$.

In the next two exercises $(X, \mu)$ will be a probability space and $\psi: X \rightarrow X$ a measure preserving transformation i.e. $\psi_{\#} \mu=\mu$. Note that if $f \in L^{2}(X, \mu)$ then $f \in L^{1}(X, \mu)$ and $\|f\|_{1} \leq\|f\|_{2}$ by Cauchy-Schwarz. Hence if $f_{n} \rightarrow f$ in $L^{2}$ then $f_{n} \rightarrow f$ in $L^{1}$.

Exercise 7.8. Show that for every $f \in L^{2}(X, \mu)$ it holds that $\lim _{n \rightarrow \infty} \frac{1}{n}(f+f \circ \psi+\cdots+$ $\left.f \circ \psi^{n-1}\right)=g$ in $L^{2}$ where $g \in L^{2}(X, \mu)$ is a function satisfying $g \circ \psi=g$. Moreover, show that the only $\psi$-invariant $L^{2}$-functions are constants if and only if for every $f \in L^{2}$ the above limit equals $\int_{X} f d \mu$.
Solution. Let $V: L^{2}(X, \mu) \rightarrow L^{2}(X, \mu), V f=f \circ \psi$. Then $V$ is unitary since

$$
\langle V f, V g\rangle=\int_{X} f \circ \psi \cdot \overline{g \circ \psi} d \mu=\int_{X} f \cdot \bar{g} d \mu=\langle f, g\rangle
$$

where the second step followed from the fact that $\psi$ is measure preserving. Now, it follows from Exercise 7 that $\lim _{n \rightarrow \infty} \frac{1}{n}\left(f+f \circ \psi+\cdots+f \circ \psi^{n-1}\right)=P(f)=: g$ in $L^{2}$ where $P$ is the projection onto the 1-eigenspace of $V$. But then $V g=g$ i.e. $g \circ \psi=g$. Now,

$$
\begin{aligned}
\int_{X} g d \mu & =\int_{X} \lim _{n \rightarrow \infty} \frac{1}{n}\left(f+f \circ \psi+\cdots+f \circ \psi^{n-1}\right) d \mu \\
& =\lim _{n \rightarrow \infty} \int_{X} \frac{1}{n}\left(f+f \circ \psi+\cdots+f \circ \psi^{n-1}\right) d \mu \\
& =\int_{X} f d \mu
\end{aligned}
$$

where interchange of integral and limit is justified by the fact that we have convergence in $L^{2}$ and hence also in $L^{1}$ since $(X, \mu)$ is a probability space and the last step follows from the fact that $\psi$ is measure preserving. Now, if the only $\psi$-invariant $L^{2}$-functions are constants then $g$ is a constant which is equal to $\int_{X} g d \mu=\int_{X} f \mu$ since $\mu$ is a probability measure. Conversely, assume that it holds for every $f \in L^{2}(X, \mu)$ that

$$
\lim _{n \rightarrow \infty} \frac{1}{n}\left(f+f \circ \psi+\cdots+f \circ \psi^{n-1}\right)=\int_{X} f d \mu
$$

in $L^{2}$. Then $P(f)=\int_{X} f d \mu$ for every $f \in L^{2}(X, \mu)$. Let $f$ be a $\psi$-invariant function. Then $f=P(f)=\int_{X} f d \mu$ so $f$ is constant.

Exercise 7.9. Show that the transformation $\psi: X \rightarrow X$ is ergodic if (i.e. the only $\psi$-invariant functions are constants) if and only if for every $f, g \in L^{2}(X, \mu)$ it holds that

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^{n-1}\left\langle f \circ \psi^{k}, g\right\rangle=\langle f, \mathbf{1}\rangle\langle\mathbf{1}, g\rangle . \tag{2}
\end{equation*}
$$

Solution. Just note that the right hand side is equal to $\left\langle\int_{X} f d \mu, g\right\rangle$ and then use the previous exercise and the fact that a vector in a Hilbert space is determined by its inner products with the other vectors of the space.

Exercise 7.10. Let $\mathcal{H}$ be a separable Hilbert space and $A \subseteq \mathcal{L}(\mathcal{H})$ an abelian $C^{*}$ subalgebra. Assume that there exists $v \in \mathcal{H}$ with $\overline{A v}=\mathcal{H}$. Let $E$ be the resolution of the identity associated with $A$. Show the following:
(a) For any Borel set $\omega \subseteq \hat{A}$ we have $E(\omega)=0$ if and only if $E_{v, v}(\omega)=0$. Conclude that $L^{\infty}(E)=L^{\infty}\left(\hat{A}, E_{v, v}\right)$.
(b) Use the isomorphism $\Lambda: \mathcal{H} \rightarrow L^{2}\left(\hat{A}, E_{v, v}\right)$ to show that the subalgebra

$$
Z_{\mathcal{L}(\mathcal{H})}(A):=\{T \in \mathcal{L}(\mathcal{H}) \mid T a=a T \text { for every } a \in A\}
$$

is isomorphic to $L^{\infty}\left(\hat{A}, E_{v, v}\right)$.
(c) Conclude that the image of the $C^{*}$-subalgebra homomorphism $\Phi: L^{\infty}(E) \rightarrow \mathcal{L}(\mathcal{H})$ is $Z_{\mathcal{L}(\mathcal{H})}(A)$ which hence is abelian.
(d) Give an example of $A \subseteq \mathcal{L}(\mathcal{H})$ for which $Z_{\mathcal{L}(\mathcal{H})}(A)$ is not abelian.

Solution. (a) It is clear that if $E(\omega)=0$ then $E_{v, v}(\omega)=0$ so assume that $E_{v, v}(\omega)=0$. Then

$$
0=E_{v, v}(\omega)=\langle E(\omega) v, v\rangle=\langle E(\omega) v, E(\omega) v\rangle=\int_{\hat{A}}|\widehat{E(\omega)}|^{2}(\chi) d E_{v, v}(\chi)
$$

so we conclude that $\widehat{E(\omega)}(\chi)=0$ for $E_{v, v}$-almost every $\chi$. Hence, we get

$$
\|E(\omega) a v\|^{2}=\int_{\hat{A}}|\hat{a}|^{2}|\widehat{E(\omega)}|^{2} d E_{v, v}=0
$$

for every $a \in A$. It follows from density of $A v$ that $E(\omega)=0$. Now let $f \in \mathcal{B}^{\infty}(X)$ and assume that $f(\chi)=0$ for $E_{v, v}$-almost every $\chi$ i.e. $E_{v, v}\left(f^{-1}(\mathbb{C} \backslash\{0\})\right)=0$. Then $E\left(f^{-1}(\mathbb{C} \backslash\{0\})\right)=0$ so $\operatorname{EssIm}(f)=\{0\}$ and hence $\|f\|_{\infty}=0$. This shows that $L^{\infty}(E)=L^{\infty}\left(\hat{A}, E_{v, v}\right)$.
(b) Let $T: L^{2}\left(\hat{A}, E_{v, v}\right) \rightarrow L^{2}\left(\hat{A}, E_{v, v}\right)$ be a bounded linear operator such that $T M_{f} g=$ $M_{f} T g$ for every $f \in C(\hat{A})$ and every $g \in L^{2}\left(\hat{A}, E_{v, v}\right)$. Then we have

$$
(T f)(\chi)=\left(T M_{f}\right)(\mathbf{1})(\chi)=\left(M_{f} T\right)(\mathbf{1})(\chi)=f(\chi) T(\mathbf{1})(\chi)
$$

which shows that $T$ is given by multiplication with $T(\mathbf{1})$ as the continuous functions are dense in $L^{2}\left(\hat{A}, E_{v, v}\right)$. Let us show that $T(\mathbf{1}) \in L^{\infty}\left(\hat{A}, E_{v, v}\right)$. For a contradiction, let us assume that for every $n \geq 1, E_{v, v}(\{|f|>n\})>0$. Let

$$
f_{n}:=\frac{1}{E_{v, v}(\{|f|>n\})^{1 / 2}} \chi_{\{|f|>n\}} .
$$

Then $\left\|f_{n}\right\|_{2}=1$ but $\left\|T f_{n}\right\|_{2}>n$ which contradicts the boundedness of $T$. Hence $T(\mathbf{1}) \in$ $L^{\infty}\left(\hat{A}, E_{v, v}\right)$. Now

$$
Z_{\mathcal{L}(H)}(A) \longrightarrow L^{\infty}\left(\hat{A}, E_{v, v}\right), \quad T \longmapsto\left(T \circ \Lambda^{-1}\right)(\mathbf{1})
$$

is the desired isomorphism.
(c) It suffices to show that $\Lambda \circ \Phi(f)=M_{f} \circ \Lambda$ for every $f \in L^{\infty}(E)=L^{\infty}\left(\hat{A}, E_{v, v}\right)$. So let $a \in A$ and $f \in L^{\infty}$. Then

$$
\begin{aligned}
\langle\Phi(f) a v, a v\rangle_{\mathcal{H}} & =\int_{\hat{A}}|\hat{a}|^{2} f d E_{v, v}=\left\langle M_{f} \hat{a}, \hat{a}\right\rangle_{L^{2}\left(\hat{A}, E_{v, v}\right)}=\left\langle\left(M_{f} \circ \Lambda\right) a v, \Lambda(a v)\right\rangle_{L^{2}\left(\hat{A}, E_{v, v}\right)} \\
& =\left\langle\left(\Lambda^{-1} \circ M_{f} \circ \Lambda\right) a v, a v\right\rangle_{\mathcal{H}} .
\end{aligned}
$$

From the polarization identity and density of $A v$ it follows that $\Phi(f)=\Lambda^{-1} \circ M_{f} \circ \Lambda$ i.e. $\Lambda \circ \Phi(f)=M_{f} \circ \Lambda$.
(d) One can take as $\mathcal{H}$ a Hilbert space which is neither trivial nor one dimensional, and as $A$ the $C^{*}$-subalgebra of $\mathcal{L}(\mathcal{H})$ generated by the identity. Then $Z_{\mathcal{L}(\mathcal{H})}(A)=\mathcal{L}(\mathcal{H})$ which is not abelian. Note that $\overline{A v}=\mathbb{C} v \neq \mathcal{H}$ for any $v \in \mathcal{H}$.

Exercise 8.1. Let $X$ be a compact Hausdorff space and $\mu$ a positive regular measure on $X$. For every $f \in C(X)$ show that the multiplication operator defined on $L^{2}(X, \mu)$ by $M_{f} g(x):=f(x) g(x), g \in L^{2}(X, \mu)$, has norm $\left\|M_{f}\right\|=\|f\|_{\infty}$. Define also

$$
A:=\left\{M_{f} \mid f \in C(X)\right\} \subseteq \mathcal{L}\left(L^{2}(X, \mu)\right)
$$

(a) Show that $A$ is a commutative $C^{*}$-subalgebra of $\mathcal{L}\left(L^{2}(X, \mu)\right)$.
(b) Taking into account that $\widehat{C(X)}$ can by identified with $X$, determine $\hat{A}$.
(c) Determine the resolution of identity on $\hat{A}$ given by the spectral theorem.

Solution. We get directly that $\left\|M_{f} g\right\|_{2} \leq\|f\|_{\infty}\|g\|_{2}$ so $\left\|M_{f}\right\| \leq\|f\|_{\infty}$. Let $r<\|f\|_{\infty}$. Then $\mu(\{|f| \geq r\})>0$ so we can define

$$
g:=\frac{1}{\mu(\{|f| \geq r\})^{1 / 2}} \chi_{\{|f| \geq r\}} .
$$

Then $\|g\|_{2}=1$ and $\left\|M_{f} g\right\|_{2} \geq r$ so we see that $\left\|M_{f}\right\| \geq r$. As $r<\|f\|_{\infty}$ was arbitrary, we conclude that $\left\|M_{f}\right\| \geq\|f\|_{\infty}$ and hence $\left\|M_{f}\right\|=\|f\|_{\infty}$.
(a) This follows directly from the fact that $A$ is the image of $C^{*}$-algebra under an isometric $C^{*}$-algebra embedding.
(b) The isomorphism between $C(X)$ and $A$ induces an homeomorphism between $\widehat{C(X)}$ and $\hat{A}$ given by

$$
\widehat{C(X)} \longrightarrow \hat{A}, \quad \chi \longmapsto\left(M_{f} \mapsto \chi(f)\right)
$$

Since $\widehat{C(X)}$ is homeomorphic to $X$ it follows that $\hat{A}$ is also homeomorphic to $X$.
(c) Now,

$$
\left\langle M_{f} g, g\right\rangle=\int_{X} f|g|^{2} d \mu=\int_{X} f d E_{g, g}
$$

where $d E_{g, g}(x)=|g|^{2}(x) d \mu(x)$. For a Borel set $\omega \subseteq X$ we have

$$
\langle E(\omega) g, g\rangle=E_{g, g}(\omega)=\int_{\omega}|g|^{2} d \mu=\int_{X} \chi_{\omega} g \cdot \bar{g} d \mu
$$

so $E_{\omega}(g)=\chi_{\omega} g$. Now one uses the homeomorphism $X \rightarrow \hat{A}, x \mapsto\left(M_{f} \mapsto f(x)\right)$ to move this resolution of the identity on $X$ to a the desired resolution of the identity on $\hat{A}$.

Exercise 10.2. Let $G$ be a locally compact Hausdorff abelian group, $f \in L^{1}(G)$ and $\varepsilon>0$. Show that there exists an open set $V$ containing the identity such that if $u: G \rightarrow$ $[0, \infty)$ is a Borel function which vanishes outside $V$ and satisfies $\int_{G} u(x) d \mu(x)=1$ then

$$
\|f-f * u\|_{1}<\varepsilon
$$

Solution. By uniform continuity of the left translation, there exists an open set $V$ containing $e$ such that whenever $g, h \in G$ are such that $g h^{-1} \in V$ then $\int_{G} \mid f\left(x g^{-1}\right)-$ $f\left(x h^{-1}\right) \mid d \mu(x)<\varepsilon$. By possibly replacing $V$ by $V \cap V^{-1}$ we may assume that $V^{-1}=V$. Now, let $u: G \rightarrow[0, \infty)$ be a Borel function with support in $V$ and $\int_{G} u(x) d \mu(x)=1$. Then we get

$$
\begin{aligned}
\|f-f * u\|_{1} & =\int_{G}\left|f(x)-\int_{G} f\left(x y^{-1}\right) u(y) d \mu(y)\right| d \mu(x) \\
& =\int_{G} \mid \int_{G}\left(f(x)-f\left(x y^{-1}\right) u(y) d \mu(y) \mid d \mu(x)\right. \\
& \leq \int_{G} u(y) \int_{G}\left|f(x)-f\left(x y^{-1}\right)\right| d \mu(x) d \mu(y) \\
& =\int_{V} u(y) \int_{G}\left|f(x)-f\left(x y^{-1}\right)\right| d \mu(x) d \mu(y) \\
& <\int_{V} u(y) \varepsilon d \mu(y) \\
& =\varepsilon
\end{aligned}
$$

and we are done.
Remark. Here we summarize some facts about the $p$-adic numbers. Let $p$ be a prime number. One can look at the $p$-adic numbers $\mathbb{Z}_{p}$ as the ring of formal power series $x=\sum_{n=0}^{\infty} a_{n} p^{n}$ where $a_{n} \in \mathbb{Z} / p \mathbb{Z}$ for every $n$. Then $\mathbb{Q}_{p}$, the associated field of fractions, is the field of formal series of the form $x=\sum_{n=m}^{\infty} a_{n} p^{n}$ where $m \in \mathbb{Z}$. The valuation $\nu(x)$ is the least integer $m$ such that $a_{m} \neq 0$. We have an injective homomorphism (not continuous!) of $\mathbb{Q}$ into $\mathbb{Q}_{p}$ which can be described as follows: Let $r=p^{k} \frac{a}{b}$ be a rational number, $a, b$ coprime integers which are not divisible by $p$. Then $r$ is mapped to $\sum_{n=k}^{\infty} a_{n} p^{n}$ where the coefficients are computed inductively as follows: Let $a_{n}=0$ for every $n<k$ and $r_{k}:=r$. Having defined $a_{k+l-1}$ and $r_{k+l}$, let $a_{k+l}$ be the unique number in $\{0,1, \ldots, p-1\}$ such that $r_{k+l+1}:=r_{k}-a_{k+1} p^{k}$ has the form $r_{k+l+1}=p^{k+l+1} a^{\prime} / b^{\prime}$ where $a^{\prime}, b^{\prime}$ are coprime integers which are are not divisible by $p$.

Exercise 10.3. Show that $\left(\mathbb{A}_{\mathbb{Q}},+\right)$ is a locally compact Hausdorff abelian group. Moreover, show that there exists an injection $\mathbb{Q} \rightarrow \mathbb{A}_{\mathbb{Q}}, x \mapsto(x, x, x, \ldots)$. Finally, show that $i(\mathbb{Q})$ is a discrete subgroup of $\mathbb{A}_{\mathbb{Q}}$ and $\mathbb{A}_{\mathbb{Q}} / i(\mathbb{Q})$ is compact.

Solution. Let $x, y \in \mathbb{A}_{\mathbb{Q}}$ be distinct. Then there exists a finite $S \subseteq \mathbb{P}$ such that $x, y \in \mathbb{A}_{\S}$. Since $\mathbb{A}_{S}$ is Hausdorff, because each of its factors is, there exist disjoint neighborhoods $U$ and $V$ of $x$ and $y$, respectively, which are both contained in $\mathbb{A}_{S}$. But $\mathbb{A}_{S}$ is open in $\mathbb{A}_{\mathbb{Q}}$ so $U$ and $V$ are also open in $\mathbb{A}_{\mathbb{Q}}$. This shows that $\mathbb{A}_{\mathbb{Q}}$ is Hausdorff.

For local compactness, it suffices to show that 0 has a basis of neighborhoods with compact closure. So let $V$ be a neighborhood of 0 . Then $V \cap \mathbb{A}_{\emptyset}$ is an open subset of $\mathbb{A}_{\emptyset}=\mathbb{R} \times \prod_{p \in \mathbb{P}} \mathbb{Z}_{p}$ containing 0 so it contains a set of the form $V_{\infty} \times \prod_{p \in \mathbb{P}} V_{p}$ which contains 0 and is such that $V_{p}=\mathbb{Z}_{p}$ for all but finitely many $p$. Let $U_{p}=V_{p}$ for all $p$ and $U_{\infty} \subseteq V_{\infty}$ contain 0 and be with compact closure. Then $U:=U_{\infty} \times \prod_{p \in \mathbb{P}} U_{p} \subseteq V$ has compact closure in $\mathbb{A}_{\emptyset}$ (because $\mathbb{Z}_{p}$ is compact and each $U_{p} \subseteq \mathbb{Z}_{p}$ ) and hence has compact closure in $\mathbb{A}_{\mathbb{Q}}$ because $\mathbb{A}_{\emptyset}$ embeds continuously into $\mathbb{A}_{\mathbb{Q}}$.

It is clear that $\mathbb{A}_{\mathbb{Q}}$ is abelian and it is clear that the map $i$ is injective. To show that $i(\mathbb{Q}$ is discrete, it suffices to show that 0 is open in $i(\mathbb{Q})$. So consider the open set $U:=(-1,1) \times \prod_{p \in \mathbb{P}} \mathbb{Z}_{p}$ which contains 0 . Let $r$ be a rational number such that $i(r) \in U$. Then $r$ maps to $\mathbb{Z}_{p}$ for every $p$ and hence is an integer. But since $r \in(-1,1)$ it follows that $r=0$. This shows that $i(\mathbb{Q})$ is discrete.

For compactness of $\mathbb{A}_{\mathbb{Q}} / i(\mathbb{Q})$, consider the compact set $C:=[0,1] \times \prod_{p \in \mathbb{P}} \mathbb{Z}_{p}$. We claim that for every $a \in \mathbb{A}_{\mathbb{Q}}$, there exist an $r \in \mathbb{Q}$ such that $a-i(r) \in C$. So let $a \in \mathbb{A}_{\mathbb{Q}}$. For an element $x=\sum_{n=m}^{\infty} x_{n} p^{n}$ in $\mathbb{Q}_{p}$ we let $b_{p}(x):=\sum_{n=m}^{-1} x_{n} p^{n}$ and $c_{p}(x):=\sum_{n=0}^{\infty} x_{n} p^{n}$. Write $a=\left(a_{\infty}, a_{2}, a_{3}, \ldots\right)$. As there are only finitely many primes $p$ such that $b_{p}\left(a_{p}\right) \neq 0$, it is not difficult to see that there exists a rational number $r$ such that $b_{p}(r)=b_{p}\left(a_{p}\right)$ for every $p$. (Let $S$ be the set of primes $p$ such that $b_{p}\left(a_{p}\right) \neq 0$. One takes $r=\sum_{p \in S} b_{p}\left(a_{p}\right)$ where one evaluates the expression for $b_{p}\left(a_{p}\right)$, considering the coefficients as elements of $\{0,1, \ldots, p-1\}$.) By possibly adding a suitable integer to $r$, it will hold that $a-i(r) \in C$. This shows that $\mathbb{A}_{\mathbb{Q}} / i(\mathbb{Q})$ is compact as it is the image of a compact set under a continuous map.

