

DISTRIBUTION OF GAPS BETWEEN ZEROS OF  
THE DERIVATIVE OF THE RIEMANN  
 $\xi$ -FUNCTION

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## Riemann $\zeta$ -function

The Riemann  $\zeta$ -function is defined by

$$\zeta(s) = \sum_{n=1}^{\infty} n^{-s} \quad (\sigma > 1).$$

- Riemann hypothesis: all the nontrivial zeros satisfy  $\sigma = 1/2$ .  
Denote the zeros by  $1/2 + i\gamma$ .
- Number of zeros up to height  $T$

$$N(T) := \#\{0 < \gamma \leq T : \zeta(1/2 + i\gamma) = 0\} \sim \frac{T}{2\pi} \log T.$$

- For  $0 < \gamma \leq \gamma'$  two consecutive ordinates of zeros, define

$$\delta(\gamma) = (\gamma' - \gamma) \frac{\log \gamma}{2\pi}.$$

On average  $\delta(\gamma) = 1$ .

Define the distribution function

$$D(\alpha, T) = \left( \frac{T}{2\pi} \log T \right)^{-1} \sum_{\substack{0 < \gamma \leq T \\ \delta(\gamma) \leq \alpha}} 1.$$

$$D^+(\alpha) = \limsup_{T \rightarrow \infty} D(\alpha, T) \quad \text{and} \quad D^-(\alpha) = \liminf_{T \rightarrow \infty} D(\alpha, T).$$

Expected:  $D^+(\alpha) = D^-(\alpha) = D(\alpha)$ , and

$$D(0) = 0 \quad \text{and} \quad D(\alpha) < 1 \quad \text{for all } \alpha.$$

**Selberg (1940's)**

$$D^-(\alpha_1) > 0 \quad \text{and} \quad D^+(\alpha_2) < 1$$

for some  $\alpha_1 < 1 < \alpha_2$ .

**Conrey, Ghosh, Gonek, Goldston, Heath-Brown (1985)**

$$D^-(0.77) > 0 \quad \text{and} \quad D^+(1.33) < 1.$$

## Riemann $\xi$ -function

The Riemann  $\xi$ -function is defined by

$$\xi(s) = \frac{s(s-1)}{2} \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \zeta(s).$$

- Riemann hypothesis  $\Rightarrow$  all the zeros of  $\xi'(s)$  satisfy  $\sigma = 1/2$ .  
Denote the zeros by  $1/2 + i\gamma_1$ .
- Number of zeros up to height  $T$

$$N_1(T) := \#\{0 < \gamma_1 \leq T : \xi'(1/2 + i\gamma_1) = 0\} \sim \frac{T}{2\pi} \log T.$$

- For  $0 < \gamma_1 \leq \gamma'_1$  two consecutive ordinates of zeros, define

$$\delta(\gamma_1) = (\gamma'_1 - \gamma_1) \frac{\log \gamma_1}{2\pi}.$$

On average  $\delta(\gamma_1) = 1$ .

Distribution function

$$\tilde{D}(\alpha, T) = \left( \frac{T}{2\pi} \log T \right)^{-1} \sum_{\substack{0 < \gamma_1 \leq T \\ \delta(\gamma_1) \leq \alpha}} 1.$$

$$\tilde{D}^+(\alpha) = \limsup_{T \rightarrow \infty} \tilde{D}(\alpha, T) \quad \text{and} \quad \tilde{D}^-(\alpha) = \liminf_{T \rightarrow \infty} \tilde{D}(\alpha, T).$$

**Farmer, Gonek (2008)**

$$\tilde{D}^-(0.91) > 0 \quad \text{and} \quad \tilde{D}^-(1) > 0.035,$$

i.e a positive proportion of gaps between zeros of  $\xi'(s)$  are less than 0.91 times the average spacing, and more than 3.5% of the normalized neighbour gaps are smaller than average.

**Theorem**

$$\tilde{D}^-(0.881) > 0 \quad \text{and} \quad \tilde{D}^+(1.149) < 1.$$

## Sketch

- Define

$$h_k(\alpha, M) = \frac{\int_{-\alpha/2L}^{\alpha/2L} \sum_{T < \gamma_1 \leq 2T} |M(\frac{1}{2} + i(\gamma_1 + t))|^{2k} dt}{\int_T^{2T} |M(\frac{1}{2} + it)|^{2k} dt},$$

where

$$M(s) = \sum_{n \leq y} \frac{a(n) P(\frac{\log n}{\log y})}{n^s}.$$

- $L = \frac{1}{2\pi} \log T$ ;  $y = T^\theta$ ;  $0 < \theta < 1/2$ .
- $a(n)$ : arithmetic function;  $P(x)$ : weight.
- For  $\gamma_1^\dagger \leq \gamma_1 \leq \gamma_1'$  three consecutive ordinates of zeros of  $\xi'(s)$ , let

$$\delta^+(\gamma_1) = (\gamma_1' - \gamma_1)L, \text{ and } \delta^-(\gamma_1) = (\gamma_1 - \gamma_1^\dagger)L;$$

$$\delta_0(\gamma_1) = \min\{\delta^+(\gamma_1), \delta^-(\gamma_1)\}, \text{ and } \delta_1(\gamma_1) = \max\{\delta^+(\gamma_1), \delta^-(\gamma_1)\}.$$

Then

$$\begin{aligned}
\int_T^{2T} |M(\tfrac{1}{2} + it)|^2 dt &= \sum_{T < \gamma_1 \leq 2T} \int_{\gamma_1 - \delta^-(\gamma_1)/2L}^{\gamma_1 + \delta^+(\gamma_1)/2L} |M(\tfrac{1}{2} + it)|^2 dt \\
&\geq \sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) < \mu}} \int_{-\delta^-(\gamma_1)/2L}^{\delta^+(\gamma_1)/2L} |M(\tfrac{1}{2} + i(\gamma_1 + t))|^2 dt \\
&\quad + \sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) \geq \mu}} \int_{-\mu/2L}^{\mu/2L} |M(\tfrac{1}{2} + i(\gamma_1 + t))|^2 dt \\
&\geq h_1(\mu, M) \int_T^{2T} |M(\tfrac{1}{2} + it)|^2 dt \\
&\quad - \sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) < \mu}} \int_{\delta_0(\gamma_1)/2L}^{\mu/2L} (|M(\tfrac{1}{2} + i(\gamma_1 + t))|^2 + |M(\tfrac{1}{2} + i(\gamma_1 - t))|^2) dt.
\end{aligned}$$

That leads to

$$(h_1(\mu, M) - 1) \int_T^{2T} |M(\tfrac{1}{2} + it)|^2 dt + O(T^{1-\varepsilon}) \leq \\ \sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) < \mu}} \int_{\delta_0(\gamma_1)/2L}^{\mu/2L} (|M(\tfrac{1}{2} + i(\gamma_1 + t))|^2 + |M(\tfrac{1}{2} + i(\gamma_1 - t))|^2) dt.$$

Cauchy's inequality

$$\text{RHS} \leq \left(\frac{\mu}{L}\right)^{\frac{1}{2}} \left(\sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) < \mu}} 1\right)^{\frac{1}{2}} \left(h_2(\mu, M) \int_T^{2T} |M(\tfrac{1}{2} + it)|^4 dt\right)^{\frac{1}{2}}.$$



Thus, if  $h_1(\mu, M) > 1$ ,

$$\sum_{\substack{T < \gamma_1 \leq 2T \\ \delta_0(\gamma_1) < \mu}} 1 \geq \frac{(h_1(\mu, M) - 1)^2 \left( \int_T^{2T} |M(\frac{1}{2} + it)|^2 dt \right)^2 L}{h_2(\mu, M) \int_T^{2T} |M(\frac{1}{2} + it)|^4 dt} + o(1).$$

- The condition  $h_2(\mu, M) \ll 1$  is easy to be satisfied.
- We need

$$T \int_T^{2T} |M(\frac{1}{2} + it)|^4 dt \asymp \left( \int_T^{2T} |M(\frac{1}{2} + it)|^2 dt \right)^2 \quad !!! \quad (1)$$

- Then if  $h_1(\mu, M) > 1$  for some  $\mu$  then we have  $\tilde{D}^-(\mu) > 0$ .

- What form of  $M(s)$  should we take?
- Condition (1) is satisfied with, for example,

$$a(n) = \Lambda(n).$$

In this case

$$\int_T^{2T} |M(\tfrac{1}{2} + it)|^2 dt \asymp TL^2,$$

and

$$\int_T^{2T} |M(\tfrac{1}{2} + it)|^4 dt \asymp TL^4.$$

The simplest choice

$$a(n) = \begin{cases} 1 & \text{if } n = 1 \\ -1 & \text{if } n = p \text{ prime} \\ 0 & \text{otherwise.} \end{cases}$$

In this case, the optimal weight is

$$P(x) = \begin{cases} 1 & \text{if } x = 0 \\ C(1 - x) \sin\left(\frac{\pi\mu x}{2}\right) & \text{otherwise,} \end{cases}$$

where

$$C = \left( \int_0^1 \frac{(1-t)^2 \sin\left(\frac{\pi\mu t}{2}\right)^2 dt}{t} \right)^{-1/2}.$$

- Then

$$h_1(\mu) = \mu + \frac{1}{\pi} \left( \int_0^1 \frac{(1-t)^2 \sin\left(\frac{\pi\mu t}{2}\right)^2 dt}{t} \right)^{1/2}.$$

$$h_1(0.881) = 1.0005.$$

- Similarly

$$h_1(\lambda) = \lambda - \frac{1}{\pi} \left( \int_0^1 \frac{(1-t)^2 \sin\left(\frac{\pi\lambda t}{2}\right)^2 dt}{t} \right)^{1/2}.$$

$$h_1(1.149) = 0.9994.$$

- The improvement could be better if  $a(n)$  is supported on primes and products of two distinct primes (in progress).