

Tate-Shafarevich groups, regulators of elliptic curves and L -functions

Christophe Delaunay

Notations

- Let E be an elliptic curve defined \mathbb{Q} with conductor N :

$$E : y^2 = x^3 + Ax + B$$

- Let $L(E, s)$ be its L -function:

$$L(E, s) = \sum_{n \geq 1} \frac{a_n}{n^s}$$

- Let $\varepsilon(E)$ be the root number:

$$\Lambda(E, s) := \left(\frac{\sqrt{N}}{2\pi} \right)^s \Gamma\left(\frac{s}{2}\right) L(E, s) = \varepsilon(E) \Lambda(E, 2 - s)$$

Notations

- If d is a fundamental discriminant coprime with N , let E_d be the quadratic twist of E by d :

$$E_d : y^2 = x^3 + Ad^2x + Bd^3$$

- The L -function of E_d is given:

$$L(E_d, s) = \sum_{n \geq 1} \left(\frac{d}{n} \right) \frac{a_n}{n^s}$$

- The root number is $\varepsilon(E) \left(\frac{d}{-N} \right)$ and the conductor is Nd^2 .

→ How do the invariants of E_d behave as d is varying over a natural set of discriminants?

→ The rank, r_d , of $E_d(\mathbb{Q})$.

→ The Tate-Shafarevich group, $\text{III}(E_d)$, of E_d/\mathbb{Q} .

→ The regulator, $R(E_d)$, of $E_d(\mathbb{Q})$.

- We separate the even ($\varepsilon(E_d) = 1$) and the odd ($\varepsilon(E_d) = -1$) case.

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Even case

- For all $p \mid N$, fix a sign $\varepsilon_p = \pm 1$ such that: $\prod_{p \mid N} \varepsilon_p = -\varepsilon(E)$.
- Consider the family of elliptic curves $(E_d)_{d \in \mathcal{F}(\infty)}$ where:

$$\mathcal{F}(T) = \{d < 0, |d| \leq T, \text{ fund. discr. such that } \left(\frac{d}{p}\right) = \varepsilon_p\}$$

$$\rightarrow \varepsilon(E_d) = +1;$$

- Define $\text{III}_a(E_d)$ by:

$$L(E_d, 1) = \frac{\Omega(E_d) \prod_{p \mid Nd^2} c_p(E_d)}{|E_d(\mathbb{Q})_{\text{tors}}|^2} \text{III}_a(E_d)$$

So $\text{III}_a(E_d) = 0$ if $L(E_d, 1) = 0$ and $\text{III}_a(E_d) = |\text{III}(E_d)|$ otherwise (by the Birch and Swinnerton-Dyer conjecture).

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Conjecture (Keating-Snaith)

We have, as $T \rightarrow \infty$:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{d \in \mathcal{F}(T)} L(E_d, 1)^k \sim g_k(O^+) a_k(E) (\log T)^{k(k-1)/2}$$

- $g_k(O^+)$ is explicit and comes from RMT.
- $a_k(E)$ is an explicit arithmetic factor **depending on the choice of ε_p** .
- If $k \in \mathbb{N}$, other leading orders can be predicted (by the work of B. Conrey, D. Farmer, J. Keating, M. Rubinstein and N. Snaith).

What are the consequences on $\text{III}_\sigma(E_d)$?

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What are the consequences on $\text{III}_a(E_d)$?

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Proposition

For $|d|$ large enough, we have:

$$L(E_d, 1) = 1^* \frac{\Omega}{\sqrt{|d|}} \left(\prod_{p|d} c_p(E_d) \right) \text{III}_a(E_d)$$

- Ω depends on the choice ε_p .
- $1^* = 2$ if $8 \mid d$ and c_4 is even and $1^* = 1$ otherwise (we will forget it).

• By partial summation on $\sum_{d \in \mathcal{F}(T)} L(E_d, 1)^k$, we get:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{d \in \mathcal{F}(T)} \text{III}_a(E_d)^k \prod_{p|d} c_p(E_d)^k \sim T^{\frac{k}{2}} (\log T)^{\frac{k(k-1)}{2}}$$

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Average of $\prod_{p|d} c_p(E_d)^k$

- For all $p \mid d$, Tate's algorithm implies:

$$c_p(E_d) = 1 + \text{the number of roots of } F = x^3 + Ax + B \text{ in } \mathbb{F}_p$$

There are 3 cases:

- $F(x)$ has 3 roots in $\mathbb{Q} \rightsquigarrow c_p(E_d) = 4$.
- $F(x)$ has 1 root in $\mathbb{Q} \rightsquigarrow c_p(E_d) = 1$ or 4 depending on some congruences classes of p .
- $F(x)$ has no root in $\mathbb{Q} \rightsquigarrow c_p(E_d) = 1, 2$ or 4 with some density for each possibilities.

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Average of $\prod_{p|d} c_p(E_d)^k$

In the first cases, we are led to estimate sums of the form:

$$*(T) = \sum_{\substack{n < T \\ n \text{ squarefree} \\ n \equiv a \pmod{N}}} \left(\prod_{j \in (\mathbb{Z}/N\mathbb{Z})^\times} t_j^{|\{p|n, p \equiv j \pmod{N}\}|} \right)$$

where the $(t_j)_j$ are non-negative numbers.

Theorem

$$*(T) \sim \text{Cst } T \log(T)^{t-1}$$

where $t = \frac{1}{\varphi(N)} \sum_{j \in (\mathbb{Z}/N\mathbb{Z})^\times} t_j$ is the average of the t_j and:

$$\text{Cst} = \frac{1}{\varphi(N)\Gamma(t)} \prod_{p|N} (1 - 1/p)^t \prod_j \prod_{p \equiv j \pmod{N}} (1 + t_j/p)(1 - 1/p)^{t_j}$$

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- Finally, we are led to make the following conjecture:

Conjecture

There exists $C_k > 0$ such that:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{d \in \mathcal{F}(T)} \text{III}_a(E_d)^k \sim C_k T^{\frac{k}{2}} (\log T)^{\frac{k(k-1)}{2} + \text{tam}_k}$$

Let L be the field of decomposition of $x^3 + Ax + B$ over \mathbb{Q} .

If $[L : \mathbb{Q}] = 1$ then $\text{tam}_k = 4^{-k} - 1$.

If $[L : \mathbb{Q}] = 2$ then $\text{tam}_k = \frac{1}{2}(2^{-k} + 4^{-k}) - 1$.

If $[L : \mathbb{Q}] = 3$ then $\text{tam}_k = \frac{4^{-k}}{3} + \frac{2}{3} - 1$.

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Numerical Check

- Need to compute $L(E_d, 1)$, then:

$$\text{III}_a(E_d) = \frac{L(E_d, 1)}{\Omega\sqrt{|d|}} \prod_{p|d} \frac{1}{c_p(E_d)}$$

Theorem (Kohnen)

$L(E_d, 1) = (*) b(|d|)^2$, where

$\sum b(|d|)q^{|d|}$ is a weight 3/2 modular form

- Example:

$$E = 32a2 : y^2 = x^3 - x$$

The conjecture is:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{d \in \mathcal{F}(T)} \text{III}_a(E_d)^k \sim C_k T^{\frac{k}{2}} (\log T)^{\frac{k(k-1)}{2} + 4^{-k} - 1}$$

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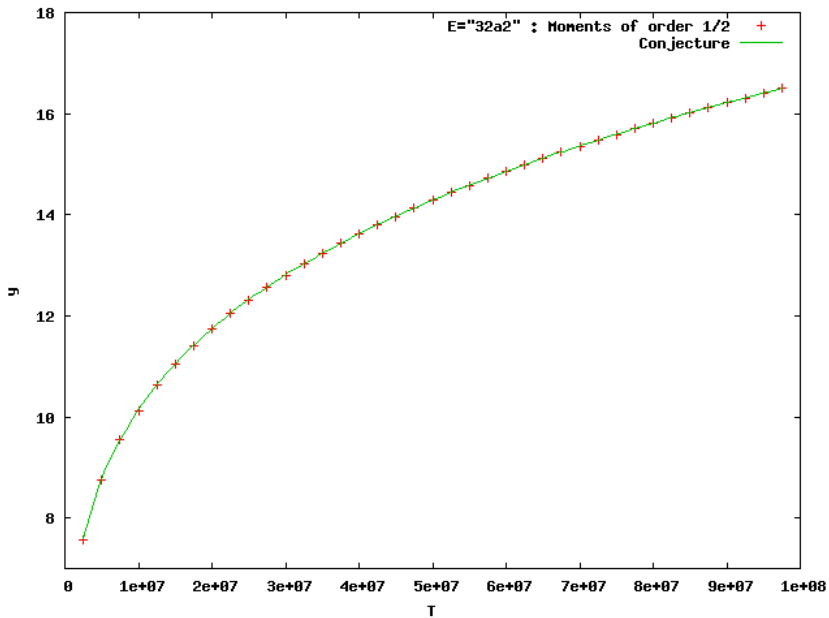
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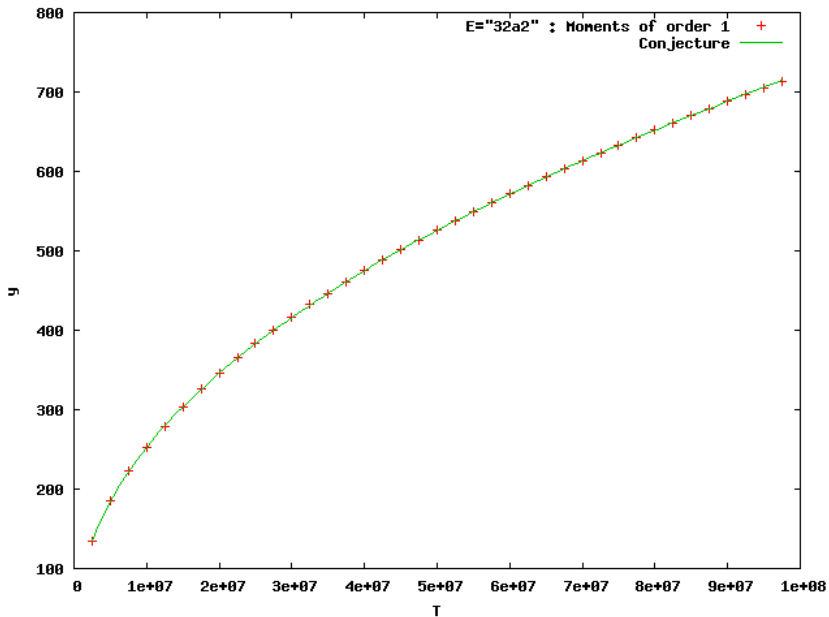
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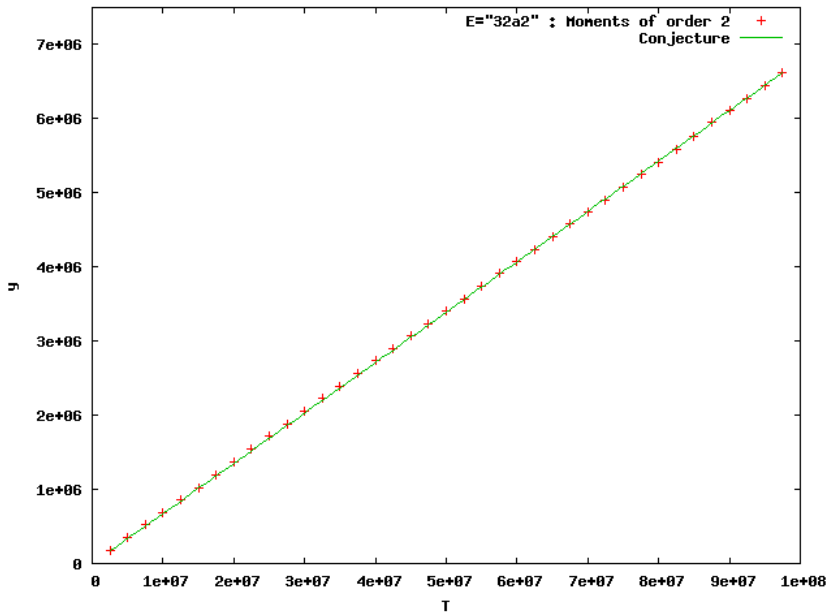
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- An other application: the number of (no) extra-rank:

$$|\{d \in \mathcal{F}(T), r(E_d) = 0\}| \text{ or } |\{d \in \mathcal{F}(T), r(E_d) \geq 2\}|$$

No extra-rank

$$\begin{aligned} |\{d \in \mathcal{F}(T), L(E_d, 1) \neq 0\}| &\gg T^{1-\varepsilon} \quad (\text{Ono-Skinner}) \\ &\gg T^E \quad \text{for some } E \text{ (several authors)} \\ &\sim |\mathcal{F}(T)| \quad \text{conjecturally (Goldfeld - BSD)} \end{aligned}$$

Extra-rank

$$\begin{aligned} |\{d \in \mathcal{F}(T), r_d \geq 2\}| &\gg T^{1/2-\varepsilon} \quad \text{under BSD (Gouvêa-Mazur)} \\ &\gg T^{3/4-\varepsilon} \quad \text{conjecturally (C.K.R.S.)} \end{aligned}$$

Conjecture (Conrey, Keating, Rubinstein and Snaith)

There exist $C_E > 0$ and $b_E \in \mathbb{R}$ such that :

$$\frac{|\{d \in \mathcal{F}(T), r_d \geq 2\}|}{|\mathcal{F}(T)|} \sim C_E T^{-1/4} (\log T)^{b_E}$$

→ We will discuss about b_E .

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$$\begin{aligned} |\{d \in \mathcal{F}(T), r_d \geq 2\}| &\gg T^{1/2-\varepsilon} && \text{under BSD (Gouvêa-Mazur)} \\ &\gg T^{3/4-\varepsilon} && \text{conjecturally (C.K.R.S.)} \end{aligned}$$

Conjecture (Conrey, Keating, Rubinstein and Snaith)

There exist $C_E > 0$ and $b_E \in \mathbb{R}$ such that :

$$\frac{|\{d \in \mathcal{F}(T), r_d \geq 2\}|}{|\mathcal{F}(T)|} \sim C_E T^{-1/4} (\log T)^{b_E}$$

↪ We will discuss about b_E .

- RMT model: $\text{prob}(L(E_d, 1) < x) \approx \sqrt{x} (\log |d|)^{3/8}$ (as $x \rightarrow 0$).
- The discretisation model: $\text{III}_\alpha(E_d) < 1 \Leftrightarrow L(E_d, 1) = 0$.

We predict:

$$\text{prob}(L(E_d, 1) = 0) = \text{prob}\left(L(E_d, 1) < \frac{\Omega}{\sqrt{|d|}} \prod_{p|d} c_p(E_d)\right)$$

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We get:

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CKRS Conjecture

$$E : y^2 = F(x)$$

Assume that E is a curve having maximal rational 2 torsion sub-group in its isogeny class.

Heuristics (joint work with M. Watkins)

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where:

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Example

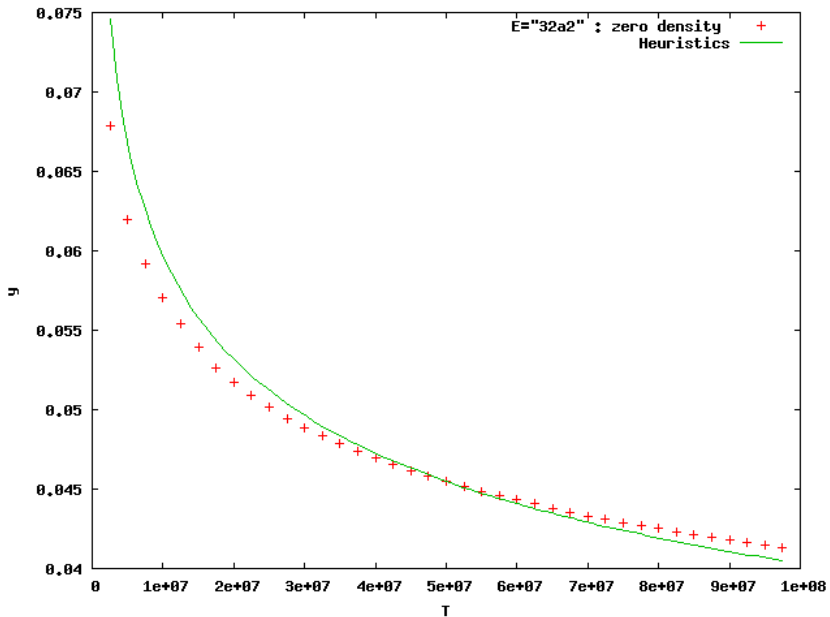
$$E = 32a2 : y = x^3 - x$$

The heuristic predicts that:

$$\frac{|\{d \in \mathcal{F}(T), L(E_d, 1) = 0\}|}{|\mathcal{F}(T)|} \sim C_E T^{-1/4} (\log T)^{3/8+1}$$

- Compare the numerical data ($T = 10^8$) and the heuristic.
- Problem: we are not able to predict the constant C_E .

We adjust the constant C_E such that the numerical data and the heuristic agree for $T = 5 \times 10^7$.



Discussion

- In the discretisation process, it is implicitly assumed that the arithmetic of $\text{III}(E_d)$ does not give any contribution to the powers of \log .

But it could! As it is the case if we do not consider the good curve it its isogeny class.

- In fact, $\text{III}(E_d)$ is believed to have no influence on the powers of \log but it takes a long time for the 2-part of $\text{III}(E_d)$ before it behaves as expected.

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$$f(p) = 1 - \prod_{j \geq 1} (1 - p^{1-2j}) = \frac{1}{p} + \frac{1}{p^3} + \dots$$

| p | 32a2 | predictions |
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Numerical values for $T = 10^8$

A theorem of Heath-Brown (and the BSD and Goldfeld conjecture) implies that the correct red value for $T = \infty$ is given by the predictions.

But, the d 's need to have a lot of prime factor for this to happen. Hence, the discriminants must be very large!!

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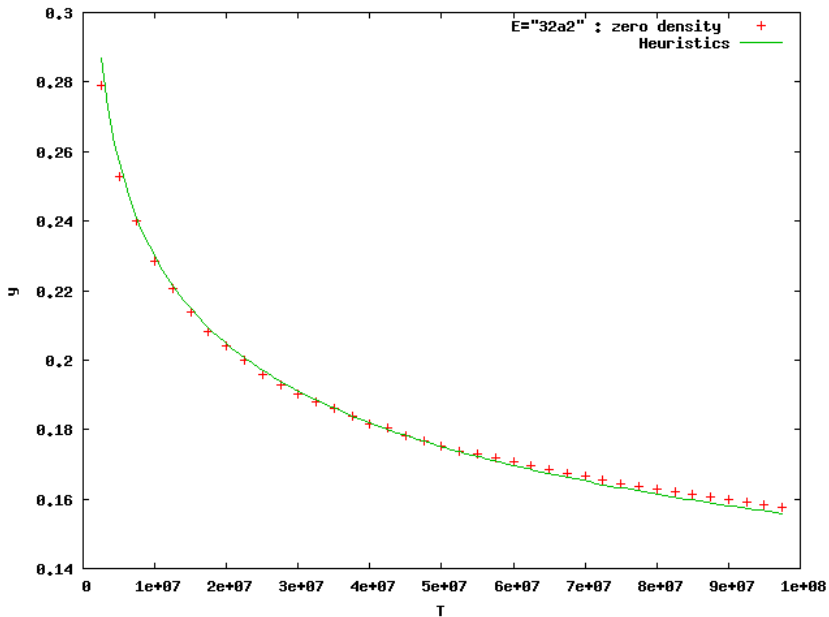
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Discussion

- We can also compare the heuristic with the **odd** part of $\text{III}_a(E_d)$.
- Indeed, the same arguments works if we count:

$$\frac{|\{d \in \mathcal{F}(T), \text{III}_a(E_d) \text{ is odd and } \text{III}(E_d)_a \leq 1\}|}{|\{d \in \mathcal{F}(T), \text{III}_a(E_d) \text{ is odd}\}|}$$

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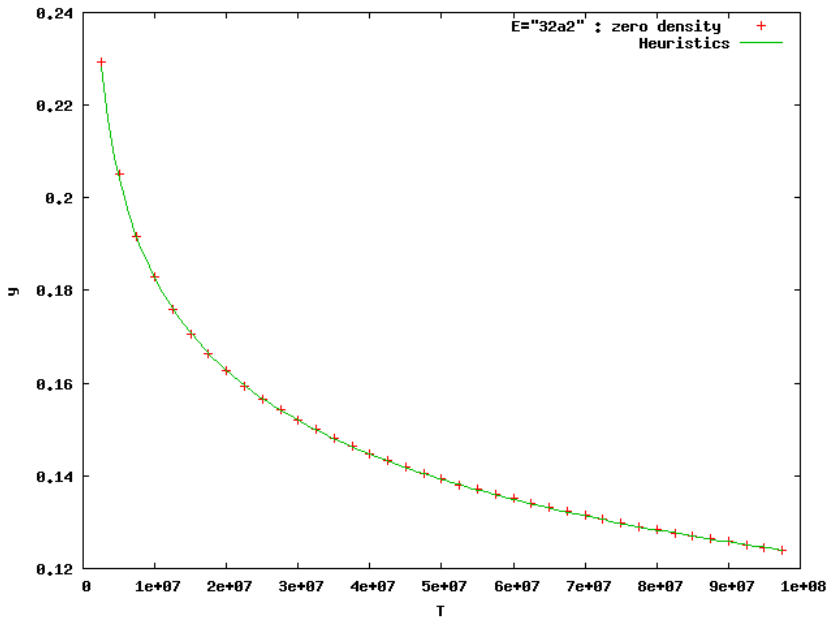
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- For all $p \mid N$, fix a sign $\varepsilon_p = \pm 1$ such that: $\prod_{p \mid N} \varepsilon_p = \varepsilon(E)$.
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$$\rightarrow \varepsilon(E_d) = -1;$$

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Conjecture (N. Snaith)

We have, as $T \rightarrow \infty$:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{d \in \mathcal{F}(T)} L'(E_d, 1)^k \sim A_k (\log T)^{k(k+1)/2}$$

- A_k comes from RMT and an arithmetic factor.

What are the consequences on $R(E_d)$?

Odd case

Proposition

For $|d|$ large enough, we have:

$$L'(E_d, 1) = 1^* \frac{\Omega}{\sqrt{|d|}} \left(\prod_{p|d} c_p(E_d) \right) \text{III}_\alpha(E_d) R(E_d)$$

- Ω depends on the choice ε_p .
- $1^* = 2$ if $8 \mid d$ and c_4 is even and $1^* = 1$ otherwise.

• By partial summation on $\sum_{d \in \mathcal{F}(T)} L'(E_d, 1)^k$, we get:

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Average of $\mathbb{III}_a(E_d)^k$

- Heuristics on $\mathbb{III} \rightsquigarrow$ If $0 < k < 1$ then:

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Hence:

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- $\text{tam}_k = \frac{4^{-k}}{3} + \frac{2}{3} - 1$ or $\text{tam}_k = \frac{4^{-k}}{6} + \frac{2^{-k}}{2} + \frac{1}{3} - 1$ otherwise.

Average of $\mathbb{III}_a(E_d)^k$

- Heuristics on $\mathbb{III} \rightsquigarrow$ If $0 < k < 1$ then:

$$\frac{1}{|\mathcal{F}(T)|} \sum_{\substack{d \in \mathcal{F}(T) \\ L'(E_d, 1) \neq 0}} |\mathbb{III}_a(E_d)|^k \rightarrow \text{Cst}(k)$$

Hence:

Heuristics (joint work with X.-F. Roblot)

For $0 < k < 1$:

$$M_k(T) = \frac{1}{|\mathcal{F}(T)|} \sum_{\substack{d \in \mathcal{F}(T) \\ L'(E_d, 1) \neq 0}} R(E_d)^k \sim A_k T^{\frac{k}{2}} (\log T)^{\frac{k(k+1)}{2} + \text{tam}_k}$$

Let $F(x) = x^3 + Ax + B$.

- $\text{tam}_k = 4^{-k} - 1$ if $F(x)$ has 3 roots in \mathbb{Q} .
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Upper bounds

- Lindelöf \Rightarrow

$$R(E_d) \ll |d|^{1/2+\varepsilon}$$

Proposition

N square-free, $\varepsilon_p = +1$, $\forall p|N$, $L(E, 1) \neq 0$ then

$$\frac{1}{T^*} \sum_{\substack{d \in \mathcal{F}(T) \\ L'(E_d, 1) \neq 0}} R(E_d) \ll T^{1/2} \log T$$

Lower bounds

Proposition

We have

$$R(E_d) > \frac{1}{3} \log |d| + O(1)$$

If $j(E) \neq 0, 1728$ and $w_p = +1, \forall p \mid N$:

$$R(E_d) > \frac{1}{1296c(E)^2} \log |d|$$

Optimal: $E : y^2 = x^3 + Ax + B = F(x)$.

The point $(r, 1) \in E_{F(r)}$ and $h \approx \log(F(r))$.

Example: $E = 11a1$.

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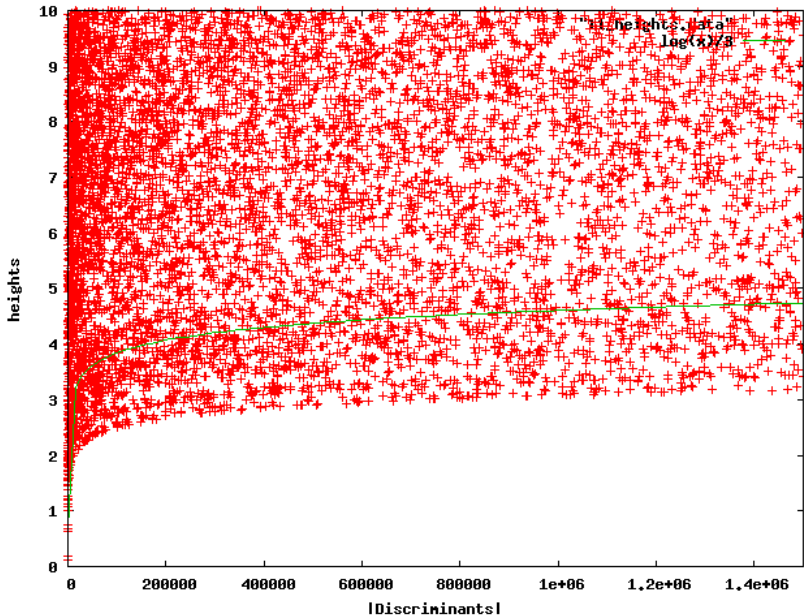
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Numerical check

$$L'(E_d, 1) = \frac{\Omega}{\sqrt{|d|}} c(E_d) R(E_d) \text{III}_a(E_d)$$

→ If $L'(E_d, 1) \neq 0$ then:

$$E_d(\mathbb{Q}) = \langle G_d \rangle \oplus \text{Torsion}$$

$$R(E_d) = 2h(G_d) \rightsquigarrow \text{find } G_d \in E_d(\mathbb{Q}).$$

- Efficient algorithm for computing G_d and $\text{III}_a(E_d)$ at “the same time”.

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- Efficient algorithm for computing G_d and $\text{III}_\alpha(E_d)$ at “the same time”.

Example

- Finding G_d might be complicated:

$E = 11a1$ and $d = -1482139$ then (on the minimal model of E_d), the abscissa of G_d is a rational number such that the numerator and the denominator have ≈ 4320 digits.

And we have : $h(E_d) \approx 9945$.

The numerator of the abscissa of G_d is:

2626914163715788373011505693935892465023966285938661623601264958911869710379354821953092228638535709852293922347110
642069371205379949448095707365505054986597380209330298971899490992608563913852103865838720478896979251829399796376
4230777576140746007001964179159051838221723260217040933423895649679116537266751298303983204220906012167999049681943
21089918501506584697457154850181579894250595880990014506237536759329252122605575439800510422286814313543536111755
5672877245151326681176620288243743524056666985243046716469217076239799455995034647607585800747520338588420404741
9615371391071481209937700164975500656691341301117104970941950706763906686415519511398962660874547910208479223761929
7761749441604129930611161529596386056770885057395910471323268713422324228498945306825193761031392864659083178145638
37704343584331342589451564297663444135897943976667338678038079185167697948279846490386277990327671125226295396
7096897408061430732228110704128453747379955247276483919445365880877383298989368813354152207661242228590507198063
9510183749886296789770894175715647798283423515665420127733040056233824808518143038370234558383538634382301040369902
33848020269927401369797692127945455582948229530714687512998361640179075745776619326597622200617526126153077658585554
6506848426645947354728074428162850406554997749157979760331199284302706221388160329039473907019625204959272452574621
8565155659936296955635869761121428551882586654287174878845261760534253181742705464839421275785352400703757690821627
8729908445749796095058136683283297990823308660881695705693600506151097743450867210750226106934157947251454339324643
189636557501330047258658408820207107689077021373949039909149103503442145833696601710809566363512052276551890717176
632401332775532581959897299655537106871655139792994510958307638585305605483613471909490886804373891207635242935486
3562692429868333526981388733828283090720213679042919762988507511291676927852870784094969500378725533620588950046510
4539077376239399832524948429671217408367486407765029101408636433205913375104630646129499231160387406474276549966455
714693203009964301819331643360792790852050595965338952219957224400854805416114558287197204880545163181227070759
2291971199767322171293729717201194556921005439582013351208129165164869952015234653384880391020482126016211616158829
0525324015939990133108219487534120601177277210167053043521508566198488469274252797538933315924130306794617092796978
221475404792429947318826405093965741919014427549960520677149160756198666586544676281909719073015378941592943422751
1161897986015746995414991912002116682060842953350351358746265884661776962865691110732960200995585372328157986921482
4719960632571110184671545544726356557924129018443729810065391978502824027745319795173285076102000129252997887065814
8524230661940882793375948201245422737230827618305971638652956620085299679663239209642651113642955951391398230101203
298905009621260209285968886349499702724955020418580365620665643711139908481478837382313294456657156941686731623322
8248670554466475423467659409128012712077245480899021213905233386864797834788876769927462606380486703546640046629280
3776710374762911549582788008601648701036127577455676693903491106075703378556103344060316663197368770494076563561504
730698195427822506892815316324486705269952776839705746316794522675847606228109369608162847599501680588151435914265
418011061342225726637950363225313969002836163239902628408564276997318997078027927418322490270189548644677308357492
9912511549691379149876464162780237142683963750252696660342758869083702649684482358846234344722332741393085759800608
56440226600144572903701736707625591152395938009007257389862493331931055220233601409900513473216144358050671512753176
3295436771738486310505677924931633140121575598952505349068669498680453445072001471864457954529223066758283674614435
6631252476359856334626086714945439031204045142932868406026326950066262489966073930355969958625432977008966902630647
643622509142398705072445785806812773125601189188459641725973991136587096134576521317239137483758509462732811401268
44862724331571440641279558408146573490787967519239768471569935004573362169655253163121796284297291028115020174376
076425064454430863533260897691702824683304398292965971149674453665597467860662180837903081427108202797099114452001
3302480275087559359344739432483804353059317517459045362960801012949009

The method

- We must have $L(E, 1) \neq 0$.
- We take $\varepsilon_p = +1$ for all $p \mid N$.

Step 1

- Compute the class group $Cl(d)$ of $\mathbb{Q}(\sqrt{d})$.

\rightsquigarrow For each $[a] \in Cl(d)$, let $\tau_{[a]} \in X_0(N)$ the “Heegner point”.

\rightsquigarrow Compute $P_d = \sum_{[a]} \varphi(\tau_{[a]}) \in E(\mathbb{C})$.

where

$$\varphi : X_0(N) = \Gamma_0(N) \backslash \overline{\mathbb{H}} \longrightarrow E(\mathbb{C})$$

is the modular parametrization of E .

- The point $P_d \in E(\mathbb{Q}(\sqrt{d}))$ but it appears as a complex point.

In order to recognize it, for each $[a] \in Cl(d)$ we have to evaluate a polynomial with $O(h(P_d))$ coefficients.

\rightsquigarrow This requires $O(|Cl(d)|h(P_d)) = O(|d|^{1+\epsilon})$ steps.

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↪ recognize a and b in \mathbb{Q} .

This step can fail

- If $h(P_d)$ is large ↪ increase the precision.
- If P_d is a torsion point $\Leftrightarrow L'(E_d, 1) = 0$.

Proposition

$$L'(E_d, 1) \leq \frac{\text{vol}(E)|d|^{-1/2}}{2592c(E)^2L(E, 1)} \log |d| \Rightarrow L'(E_d, 1) = 0$$

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At this step, P_d is a point of infinite order in $E(\mathbb{Q}(\sqrt{d}))$.

Let $\psi : E \xrightarrow{\sim} E_d$ defined over $\mathbb{Q}(\sqrt{d})$

Facts

1. $L'(E_d, 1) \neq 0$ $\rightsquigarrow E_d(\mathbb{Q}) = \langle G_d \rangle \oplus \text{Torsion}$.
 2. $Q_d = \psi(P_d - \overline{P_d}) \in E_d(\mathbb{Q})$ $\rightsquigarrow Q_d = \ell_d G_d \pmod{\text{Torsion}}$.
 3. $\ell_d \neq 0$.
- “Divide” Q_d by $1, 2, \dots, \ell_d$ (when possible) until G_d is found.

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Facts

1. $L'(E_d, 1) \neq 0$ $\rightsquigarrow E_d(\mathbb{Q}) = \langle G_d \rangle \oplus \text{Torsion}$.
2. $Q_d = \psi(P_d - \overline{P_d}) \in E_d(\mathbb{Q})$ $\rightsquigarrow Q_d = \ell_d G_d \pmod{\text{Torsion}}$.
3. $\ell_d \neq 0$.

• “Divide” Q_d by $1, 2, \dots, \ell_d$ (when possible) until G_d is found.

Proposition

$$|\ell_d| < 36c(E) \sqrt{\frac{2h(Q_d)}{\log |d|}}$$

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Summary

- We computed G_d , $R(E_d)$, $|\text{III}(E_d)|$ and $L'(E_d, 1)$.

This costs: $O(|d|^{1/2+\varepsilon})$ steps.

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$$L'(E_d, 1) = 2 \sum_{n \geq 1} \frac{a(n)}{n} \left(\frac{d}{n}\right) \int_{2\pi n/|d|\sqrt{N}}^{\infty} e^{-t} dt/t$$

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Example : $E = 11a1$

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- \rightsquigarrow Number of discriminants **222900** ($|d| \leq 1600000$).

Prediction

$$\frac{1}{|\mathcal{F}(T)|} \sum_{\substack{d \in \mathcal{F}(T) \\ L'(E_d, 1) \neq 0}} R(E_d)^k \sim A_k T^{\frac{k}{2}} (\log T)^{\frac{k(k+1)}{2} + \text{tam}_k}$$

- $M_{1/4} \sim 0.50 T^{1/8} \log(T)^{0.027\dots}$.
- $M_{1/2} \sim 0.23 T^{1/4} \log(T)^{0.145\dots}$.
- $M_{3/4} \sim 0.09 T^{3/8} \log(T)^{0.350\dots}$.

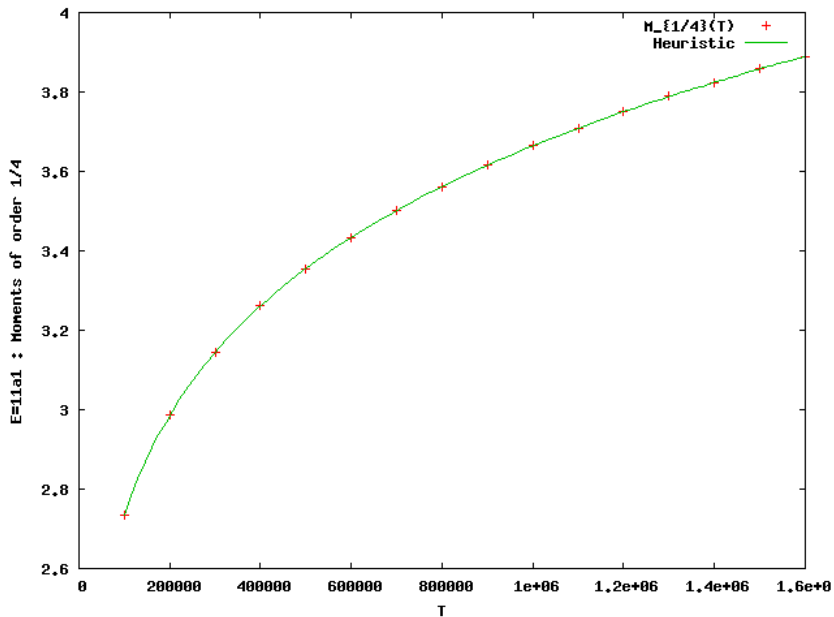
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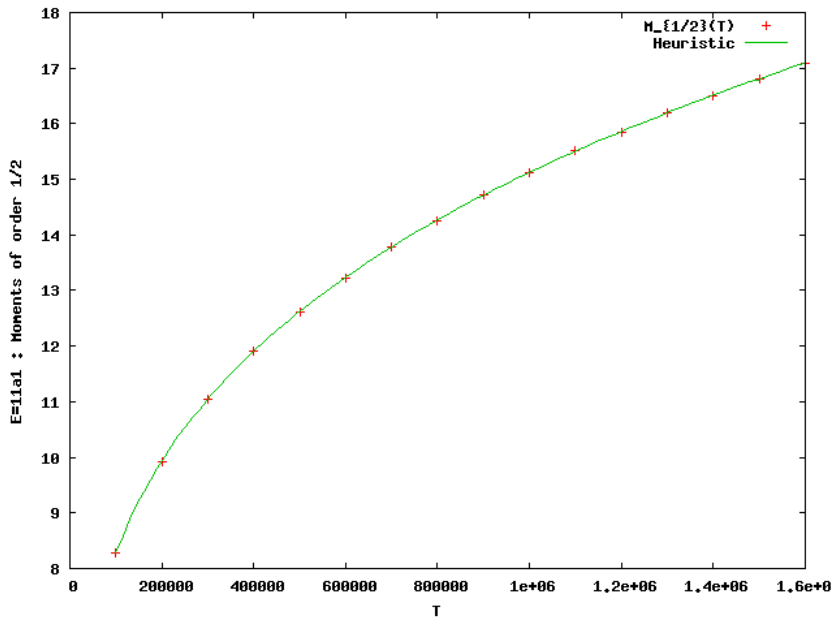
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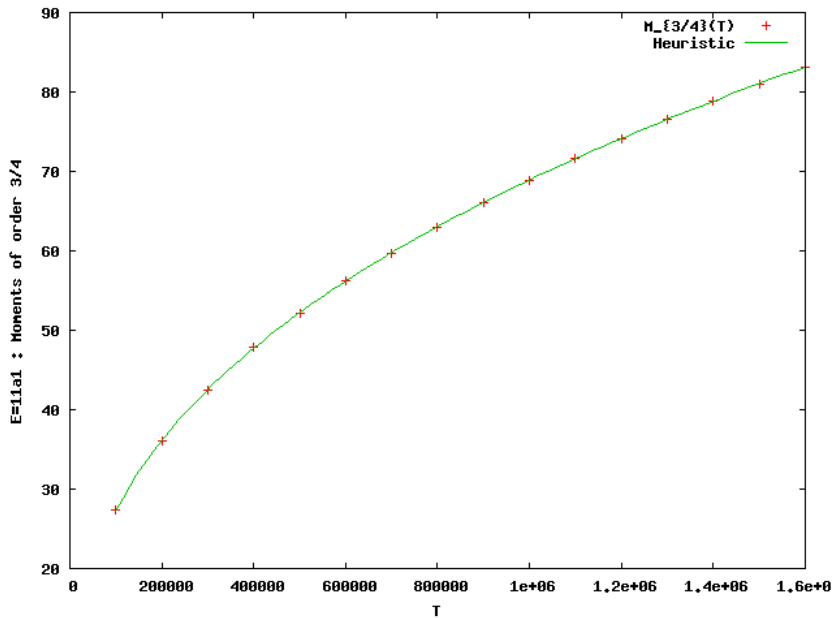
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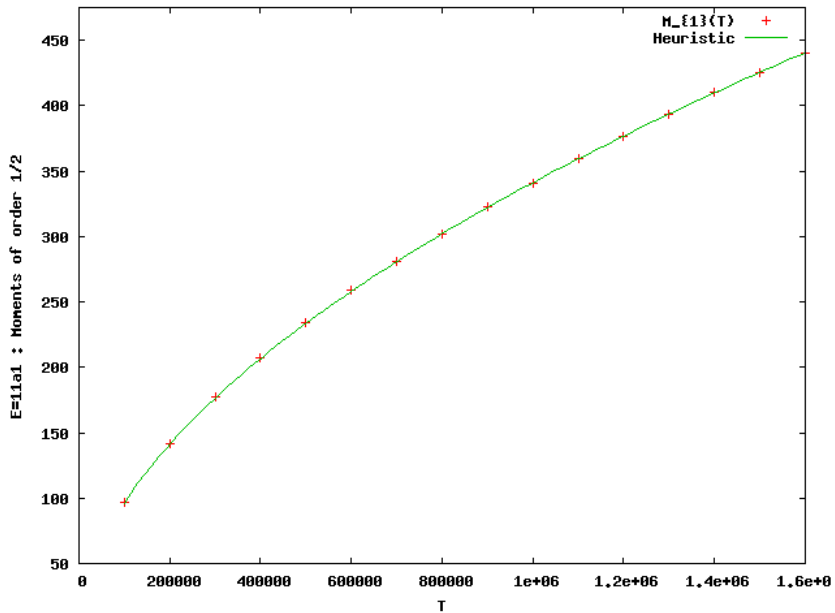
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What about $\text{III}_a(E_d)$?

- $E = 11a1$: $y^2 = x^3 - 4x^2 - 160x - 1264$.

- Among the 222900 discriminants:

↪ 671 are such that $\text{III}_a(E_d) = 0$.

↪ 207277 are such that $\text{III}_a(E_d) = 1$.

↪ 5551 are such that $\text{III}_a(E_d) = 4$.

