

# THE $p$ -PRIMARY FARRELL COHOMOLOGY OF $\text{OUT}(F_{p-1})$

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ABSTRACT. We compute for an odd prime  $p$  the  $p$ -primary part of the Farrell cohomology of  $\text{Out}(F_{p-1})$ , the group of outer automorphisms of a free group  $F_{p-1}$  of rank  $p-1$ . We also determine the  $p$ -period, Yagita invariant and cohomological Krull dimension for automorphism groups of free groups.

## Introduction

Let  $F_n$  denote a free group of rank  $n$ ,  $\text{Aut}(F_n)$  its automorphism group and  $\text{Out}(F_n)$  the associated group of outer automorphisms. Recall that these groups are of finite virtual cohomological dimension. The main purpose of this note is to compute, for  $p$  a prime, the  $p$ -primary cohomology of  $\text{Aut}(F_{p-1})$  and  $\text{Out}(F_{p-1})$  above the virtual cohomological dimension. It is convenient to express our result in terms of Farrell cohomology. We shall write  $\hat{H}^*(\Gamma; \mathbb{Z})_p$  for the  $p$ -primary part of the Farrell cohomology of a group  $\Gamma$  of finite  $vcd$ .

**Theorem.** *Let  $p$  be an odd prime. Then*

$$\hat{H}^*(\text{Aut}(F_{p-1}); \mathbb{Z})_p \cong \hat{H}^*(\text{Out}(F_{p-1}); \mathbb{Z})_p \cong \mathbb{F}_p[w, w^{-1}],$$

with  $w$  of degree  $2(p-1)$ .

Note that the mod  $p$  Farrell cohomology of these groups is periodic, implying that the Krull dimension of the corresponding mod  $p$  cohomology rings equals one. In Section 1 we will determine for general  $n$  the Krull dimension of the mod  $p$  cohomology rings of  $\text{Aut}(F_n)$  and  $\text{Out}(F_n)$ ; the result can be read off from the work of Smillie and Vogtmann [SV2]. Section 2 is devoted to computing the Yagita invariant of these groups; it is interesting to compare the result with the corresponding one for the case of mapping class groups (cf. [GMX]). In the third Section we present the proof of the theorem stated above; the interested reader should compare this result with the analogous computation for the mapping class group  $\Gamma_{(p-1)/2}$  (cf. [X]) and for  $Gl_{p-1}(\mathbb{Z})$  (cf. [A], [BE]).

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## Section 1: The Krull dimension

Recall that for a group  $\Gamma$  of finite  $vcd$  the Krull dimension  $\kappa_p(\Gamma)$  of the mod  $p$  cohomology of  $\Gamma$  equals the maximal rank of an elementary Abelian  $p$ -subgroup of  $\Gamma$ . Thus, if  $\Lambda \rightarrow \Gamma$  is a map of groups of finite  $vcd$  with  $p$ -torsionfree kernel, one has

$$\kappa_p(\Lambda) \leq \kappa_p(\Gamma).$$

The natural map  $Out(F_n) \rightarrow Gl_n(\mathbb{Z})$  has torsionfree kernel and thus

$$\kappa_p(Out(F_n)) \leq \kappa_p(Gl_n(\mathbb{Z})).$$

It is well-known that the rank of a maximal elementary Abelian  $p$ -subgroup of  $Gl_n(\mathbb{Z})$  is  $[\frac{n}{p-1}]$ , where  $[x]$  stands for the integral part of the real number  $x$  (cf. Minkowski [M]).

The following theorem then follows from [SV2].

**Theorem 1.1.** *For every prime  $p$  and  $n \geq 1$  one has*

$$\kappa_p(Aut(F_n)) = \kappa_p(Out(F_n)) = [\frac{n}{p-1}].$$

*Proof.* Because the kernel of the natural map  $Aut(F_n) \rightarrow Out(F_n)$  is torsionfree and in view of our discussion above, we have

$$\kappa_p(Aut(F_n)) \leq \kappa_p(Out(F_n)) \leq \kappa_p(Gl_n(\mathbb{Z})) = [\frac{n}{p-1}].$$

It suffices therefore to show that

$$(\mathbb{Z}/p)^m \subset Aut(F_{m(p-1)}).$$

For this, we consider the graph  $G(p)$  with 2 vertices, connected by  $p$  edges, so that  $\pi_1 G(p) \cong F_{p-1}$ . Let  $\mathbb{Z}/p$  act on  $G(p)$  by permuting the edges and fixing the vertices. This action extends in an obvious way to an action of  $(\mathbb{Z}/p)^m$  on  $\vee_m G(p)$ , yielding an injection

$$(\mathbb{Z}/p)^m \rightarrow Aut(\pi_1(\vee_m G(p))) \cong Aut(F_{m(p-1)}),$$

and the result follows.

*Remark.* The whole symmetric group  $\Sigma_p$  acts by automorphism on the graph  $G(p)$ , fixing the two vertices. Furthermore, the symmetric group  $\Sigma_m$  acts on  $\vee_m G(p)$  by permuting the copies of  $G(p)$  and fixing the basepoint. One concludes that the wreath product  $\Sigma_p \wr \Sigma_m := (\Sigma_p \times \cdots \times \Sigma_p) \rtimes \Sigma_m$  acts on  $\vee_m G(p)$  fixing the basepoint so that

$$\Sigma_p \wr \Sigma_m \subset Aut(F_{m(p-1)}).$$

Of course,  $m$  and  $p$  can here be arbitrary integers  $\geq 1$ .

## Section 2: The Yagita invariant

Recall (see [Y]) that for a group  $\Gamma$  of finite  $vcd$  and prime  $p$  the Yagita invariant  $p(\Gamma)$  is defined as the least common multiple of numbers  $2m(\pi)$ , where  $m(\pi)$  is the largest number such that

$$H^*(\Gamma; \mathbb{Z}) \xrightarrow{\text{res}} \text{im}(res) \subset \mathbb{Z}/p[u^{m(\pi)}] \subset H^*(\pi; \mathbb{Z}/p)$$

where  $\pi \subset \Gamma$  is a subgroup of order  $p$  and  $u \in H^2(\pi; \mathbb{Z}/p)$  is a generator. (If no such  $\pi \subset \Gamma$  exists, one puts  $p(\Gamma) = 1$ ). It is obvious from the definition that for any map  $\Lambda \rightarrow \Gamma$  of groups of finite  $vcd$  with  $p$ -torsionfree kernel one has

$$p(\Lambda) \mid p(\Gamma).$$

We also recall the well-known and elementary fact that in case  $\kappa_p(\Gamma) = 1$ , the Yagita invariant  $p(\Gamma)$  agrees with the  $p$ -period, that is, it is equal to the smallest positive integer  $k$  such that

$$H^i(\Gamma; \mathbb{Z})_p \cong H^{i+k}(\Gamma; \mathbb{Z})_p, \quad \forall i > vcd(\Gamma).$$

We call such a group  $p$ -periodic; it has periodic Farrell cohomology of period  $p(\Gamma)$ .

**Definition.** For  $x \in \mathbb{R}^{>0}$  and  $p$  a prime we shall write  $[x]_p$  for the largest power of  $p$  less than or equal to  $x$ ; thus  $x = [x]_p + y$  with  $[x]_p = p^m$  and  $0 \leq y < (p-1)p^m$ .

**Theorem 2.1.** Let  $p$  be a prime and  $n \geq 1$ . Then

$$p(\text{Aut}(F_n)) = p(\text{Out}(F_n)) = 2(p-1) \left[ \frac{n}{p-1} \right]_p.$$

*Proof.* The natural maps  $\text{Aut}(F_n) \rightarrow \text{Out}(F_n) \rightarrow \text{Gl}_n(\mathbb{Z})$  with torsionfree kernels show that

$$p(\text{Aut}(F_n)) \leq p(\text{Out}(F_n)) \leq p(\text{Gl}_n(\mathbb{Z})).$$

From [GMX, Lemma 1.2] we infer that  $p(\text{Gl}_n(\mathbb{Z}))$  divides  $2(p-1) \left[ \frac{n}{p-1} \right]_p$  (see also [GLT] for more information concerning the Yagita invariant of a general linear group). It suffices therefore to find a finite subgroup  $\sigma \subset \text{Aut}(F_n)$  with  $p(\sigma) \geq 2(p-1) \left[ \frac{n}{p-1} \right]_p$ . Let  $p^m = \left[ \frac{n}{p-1} \right]_p$ . Note that  $(p-1)p^m \leq n$  and

$$\Sigma_p \int \Sigma_{p^m} \subset \text{Aut}(F_{(p-1)p^m}) \subset \text{Aut}(F_n)$$

according to our remark at the end of section 1. We claim that  $p(\Sigma_p \int \Sigma_{p^m})$  is larger or equal to  $2(p-1)p^m$ . Indeed, the normalizer of  $\mathbb{Z}/p \subset \Sigma_p$  contains the holomorph  $\text{Hol}(\mathbb{Z}/p)$  of  $\mathbb{Z}/p$  (i.e., the split extension of  $\mathbb{Z}/p$  by its automorphism group  $\text{Aut}(\mathbb{Z}/p) \cong \mathbb{Z}/(p-1)$ ). Clearly,

$$H^*(\text{Hol}(\mathbb{Z}/p); \mathbb{Z}) = \mathbb{Z}[w]/pw \quad \text{with} \quad |w| = 2(p-1).$$

Now consider

$$\text{Hol}(\mathbb{Z}/p) \int \Sigma_{p^m} \subset \Sigma_p \int \Sigma_{p^m}.$$

The diagonal embedding

$$\Delta : \mathbb{Z}/p \rightarrow \text{Hol}(\mathbb{Z}/p) \times \cdots \times \text{Hol}(\mathbb{Z}/p) \subset \text{Hol}(\mathbb{Z}/p) \int \Sigma_{p^m}.$$

induces a restriction map

$$\Delta^* : H^*(\text{Hol}(\mathbb{Z}/p) \int \Sigma_{p^m}; \mathbb{Z}) \rightarrow H^*(\text{Hol}(\mathbb{Z}/p); \mathbb{Z}) \subset H^*(\mathbb{Z}/p; \mathbb{Z})$$

mapping into  $\mathbb{Z}[w^{p^m}]/pw^{p^m}$ . This can be seen by looking at the diagonal restriction map

$$\rho^* : H^*(Hol(\mathbb{Z}/p) \times \cdots \times Hol(\mathbb{Z}/p); \mathbb{Z}) \rightarrow H^*(Hol(\mathbb{Z}/p); \mathbb{Z}).$$

Because elements of odd degree are mapped to zero,  $\rho^*$  factors through

$$H^*(Hol(\mathbb{Z}/p); \mathbb{Z}) \otimes \cdots \otimes H^*(Hol(\mathbb{Z}/p); \mathbb{Z}) = \mathbb{Z}[w_1, \cdots, w_{p^m}]/p(w_1, \cdots, w_{p^m})$$

with each  $w_i$  of degree  $2(p-1)$  and  $(w_1, \cdots, w_{p^m})$  denoting the ideal generated by these elements. But all elementary symmetric functions in the variables  $w_1, \cdots, w_{p^m}$  map via  $\rho^*$  to 0 so that the image of  $\Delta^*$  is contained in the subalgebra generated by the image of  $\Pi w_i$ , which is  $w^{p^m}$ . It follows that

$$p(\Sigma_p \int \Sigma_{p^m}) \geq 2(p-1)p^m,$$

completing the proof.

*Remark.* Note that our proof shows that

$$p(\Sigma_p \int \Sigma_{p^m}) = 2(p-1)p^m$$

and

$$p(Gl_n(\mathbb{Z})) = 2(p-1)\left[\frac{n}{p-1}\right]_p.$$

As already mentioned, the Yagita invariant coincides with the  $p$ -period in the  $p$ -periodic case, and a group of finite virtual cohomological dimension is  $p$ -periodic if and only if its elementary Abelian  $p$ -subgroups are cyclic (cf. Brown's book [B]).

**Corollary 2.2.** *Let  $p$  be an arbitrary prime and  $n \geq 1$ . Then the following holds:*

- (i)  *$Aut(F_n)$  is  $p$ -periodic if and only if  $Out(F_n)$  is.*
- (ii)  *$Aut(F_n)$  and  $Out(F_n)$  are  $p$ -periodic if and only if  $p-1 \leq n < 2(p-1)$ .*
- (iii) *If  $Aut(F_n)$  and  $Out(F_n)$  are  $p$ -periodic, their  $p$ -period equals  $2(p-1)$ .*

### Section 3: The $p$ -primary cohomology

The complexity of the  $p$ -primary part of the cohomology of  $Aut(F_n)$  and  $Out(F_n)$  is simplest in the  $p$ -periodic case. We will completely determine the Farrell cohomology in the first case, that is, in case  $n = p-1$ . The next two cases,  $n = p$  and  $n = p+1$  respectively, will be considered in a forthcoming paper (cf. [GM]). Since the Krull dimension of  $Aut(F_{p-1})$ ,  $\kappa_p(Aut(F_{p-1}))$ , equals one, the  $p$ -part of the Farrell cohomology of  $Aut(F_{p-1})$  is given by (cf. Brown's book [B])

$$\hat{H}^*(Aut(F_{p-1}); \mathbb{Z})_p = \prod_{C \in K} \hat{H}^*(N_A(C); \mathbb{Z})_p$$

where  $K$  denotes a set of representatives of conjugacy classes of subgroups  $C$  of order  $p$  of  $Aut(F_{p-1})$ , and  $N_A(C)$  the normalizer of  $C \subset Aut(F_{p-1})$ . Elements of order  $p$  in the automorphism group of a free group have been classified in [DS]. In particular, if  $\alpha \in Aut(F_{p-1})$  has order  $p$ , then according to [DS] there exists a basis  $(x_1, \cdots, x_{p-1})$  of  $F_{p-1}$  such that

$$\alpha(x_i) = \begin{cases} x_{i+1}, & 1 \leq i < p-1 \\ (x_1 x_2 \cdots x_{p-1})^{-1}, & i = p-1. \end{cases}$$

**Theorem 3.1.** *Let  $p$  be an odd prime. Then all elements of order  $p$  in  $\text{Aut}(F_{p-1})$  are conjugate. Moreover, if  $\alpha \in \text{Aut}(F_{p-1})$  has order  $p$  then  $N_A(\langle \alpha \rangle)$ , the normalizer of the subgroup  $\langle \alpha \rangle$  generated by  $\alpha$  in  $\text{Aut}(F_{p-1})$ , injects into  $N_O(\langle \bar{\alpha} \rangle)$ , the normalizer of the subgroup  $\langle \bar{\alpha} \rangle$  generated by the image  $\bar{\alpha}$  of  $\alpha$  in  $\text{Out}(F_{p-1})$ .*

*Proof.* That the elements of order  $p$  in  $\text{Aut}(F_{p-1})$  are conjugate follows from the classification theorem cited above. If  $\phi$  lies in the kernel of

$$N_A(\langle \alpha \rangle) \rightarrow N_O(\langle \bar{\alpha} \rangle)$$

then  $\phi$  is an inner automorphism of  $F_{p-1}$ , say

$$\phi(x) = txt^{-1}, t \in F_{p-1}.$$

But, assuming that  $\alpha$  has order  $p$ ,  $\phi^{p-1}$  will centralize  $\alpha$  so that  $\phi^{p-1} \circ \alpha = \alpha \circ \phi^{p-1}$  and therefore  $t^{p-1}\alpha(x)t^{1-p} = \alpha(t^{p-1})\alpha(x)\alpha(t^{1-p})$  for all  $x \in F_{p-1}$ . This shows that  $\alpha(t^{p-1}) = t^{p-1}$ , because the center of  $F_{p-1}$  is trivial for  $p > 2$ . Hence  $t^{p-1} \in F_{p-1}^{\langle \alpha \rangle}$ , the group fixed by  $\alpha$ , which is known to be a trivial group ([DS]). As a consequence,  $t = e \in F_{p-1}$ , since  $F_{p-1}$  is torsionfree, and we conclude that  $N_A(\langle \alpha \rangle) \rightarrow N_O(\langle \bar{\alpha} \rangle)$  is injective.

*Remark.* The Theorem is false for  $p = 2$  since then  $\text{Aut}(F_2) = N_A(\langle \alpha \rangle) \cong \mathbb{Z}/2$ , but  $\text{Out}(F_2) = N_O(\langle \bar{\alpha} \rangle) = \{e\}$ .

To establish the finiteness of the normalizers  $N_A(\langle \alpha \rangle)$  for  $\alpha$  as in the Theorem 3.1, it suffices therefore to consider the normalizer  $N_O(\langle \alpha \rangle) \subset \text{Out}(F_{p-1})$ . For this we consider the spine  $\mathcal{K}_n$  of the space introduced by Culler and Vogtmann ([CV]), which was also used in [SV1]. It is a contractible  $(2n - 3)$ -dimensional simplicial complex with vertices certain equivalence classes of *marked admissible graphs*, and  $\text{Out}(F_n)$  acts on  $\mathcal{K}_n$  simplicially with finite stabilizers, such that if  $\gamma \in \text{Out}(F_n)$  fixes a point  $x \in \mathcal{K}_n$ , then it fixes the carrier of  $x$  pointwise. If  $C \subset \text{Out}(F_n)$  is any subgroup, the normalizer  $N_O(C)$  acts on the fixed-point space  $\mathcal{K}_n^C$  and thus  $\mathcal{K}_n^C = \{pt\}$  implies that  $N_O(C)$  is a finite group.

**Theorem 3.2.** *Let  $p$  be an odd prime and  $C \subset \text{Out}(F_{p-1})$  a subgroup of order  $p$ . Then  $N_O(C)$ , the normalizer of  $C$  in  $\text{Out}(F_{p-1})$ , is a finite group.*

*Proof.* The fixed point space  $\mathcal{K}_n^G$  is known to be contractible for any finite subgroup  $G$  of  $\text{Out}(F_n)$ , see Krstić and Vogtmann [KV], or White [W]. In particular,  $\mathcal{K}_{p-1}^C$  is non-empty and connected. We show that  $\mathcal{K}_{p-1}^C = \{pt\}$  by showing that  $\mathcal{K}_{p-1}^C$  does not contain any 1-simplex of  $\mathcal{K}_{p-1}$ . A vertex of  $\mathcal{K}_{p-1}$  is represented by a pair  $(g, G)$ ,  $G$  an admissible graph of rank  $p - 1$  and  $g : R \rightarrow G$  a homotopy equivalence, where  $R$  is a *rose* (i.e., a graph with a single vertex; see [SV1] for the notion and discussion of admissible graphs). The admissible graph  $G$  associated with a vertex of  $\mathcal{K}_{p-1}$  is unique up to homeomorphism and is called the *graph type* of the vertex; the stabilizer of the vertex is isomorphic to the automorphism group of the graph  $G$  (which is the same as the group of components of the group of homeomorphisms of  $G$ , since  $G$  is admissible). According to [SV1], there is a unique graph of rank  $p - 1$  admitting an automorphism of order  $p$  (namely, the graph  $G(p)$  considered in the

previous section). On the other hand, the two vertices of a 1-simplex of  $\mathcal{K}_{p-1}$  have always distinct graph type (one is obtained from the other by a *forest collapse*). Thus  $\mathcal{K}_{p-1}^C$  contains no 1-simplex, which proves that  $N_O(C)$  is finite.

We can now prove the first half of the Theorem of the introduction.

**Theorem 3.3.** *Let  $p$  be an odd prime. Then*

$$\hat{H}^*(Aut(F_{p-1}); \mathbb{Z})_p \cong \mathbb{F}_p[w, w^{-1}],$$

with  $w$  of degree  $2(p-1)$ .

*Proof.* We know from our earlier discussion that  $Aut(F_{p-1})$  contains elements of order  $p$ . By Theorem 3.1 we conclude that there is a unique conjugacy class of subgroups of order  $p$  in  $Aut(F_{p-1})$  and, if  $C$  is such a subgroup, the natural map

$$N_A(C) \rightarrow Aut(C) \cong \mathbb{Z}/p-1$$

is surjective. Since  $N_A(C)$  is contained in the finite group  $N_O(C)$ , it injects via the natural map  $Aut(F_{p-1}) \rightarrow Gl_{p-1}(\mathbb{Z})$  into  $Gl_{p-1}(\mathbb{Z})$ , which by Minkowski's Theorem [M] does not contain any  $p$ -subgroups of order larger than  $p$ . It follows that the  $p$ -Sylow subgroup of the (finite) group  $N_A(C)$  is cyclic of order  $p$ . Hence,  $N_A(C)$  is a split extension of  $C$  by a finite group of order prime to  $p$  and one has therefore a natural surjection onto the holomorph of  $C$ ,

$$N_A(C) \rightarrow C \rtimes Aut(C) =: Hol(C)$$

with finite kernel  $Q$  of order prime to  $p$ . In particular, this projection will induce an isomorphism on the  $p$ -primary part of cohomology. Thus

$$H^*(N_A(C); \mathbb{Z})_p \cong H^*(Hol(C); \mathbb{Z})_p \cong \mathbb{Z}[w]/pw$$

with  $w$  of degree  $2(p-1)$ . Moreover, we infer that

$$\hat{H}^*(Aut(F_{p-1}); \mathbb{Z})_p \cong \hat{H}^*(N_A(C); \mathbb{Z})_p \cong \mathbb{F}_p[w, w^{-1}]$$

with  $w$  of degree  $2(p-1)$ .

To obtain the corresponding result for  $Out(F_{p-1})$ , we first need to verify that  $Out(F_{p-1})$  contains just one conjugacy class of subgroups of order  $p$ . This follows from the following Lemma.

**Lemma 3.4.** *Let  $p$  be an odd prime. Every subgroup  $C \subset Out(F_{p-1})$  of order  $p$  lifts to a subgroup  $\tilde{C} \subset Aut(F_{p-1})$  of order  $p$ .*

*Proof.* We make use of Zimmermann's Realization Theorem [Z], (see also Culler [C]), which implies the following. Given  $C \subset Out(F_{p-1})$  of order  $p$ , there exists a finite, connected graph  $H$  containing a subgroup  $D \subset Aut(H)$  of order  $p$  such that  $D$  maps onto  $C$  via an isomorphism  $\pi_1(H) \rightarrow F_{p-1}$ . To see that  $C$  lifts to a

subgroup  $\tilde{C} \subset \text{Aut}(F_{p-1})$  of order  $p$ , it suffices therefore to check that the  $D$ -action on  $H$  has a fixed-point. For this, let  $f \in D$  denote a generator and consider

$$f_* : H_1(H; \mathbb{Q}) \rightarrow H_1(H; \mathbb{Q}).$$

Since  $f$  maps to an element of order  $p$  in  $Gl_{p-1}(\mathbb{Q})$  via the maps

$$\text{Aut}(H) \rightarrow \text{Out}(F_{p-1}) \rightarrow Gl_{p-1}(\mathbb{Z}) \rightarrow Gl_{p-1}(\mathbb{Q}),$$

we conclude that the trace

$$\text{Tr}(f_*) = -1.$$

We use here the well-known fact that every matrix in  $Gl_{p-1}(\mathbb{Q})$  of order  $p$  has trace  $-1$ . It follows that the Lefschetz number of  $f$  satisfies

$$\Lambda(f) = 1 - (-1) = 2,$$

and we conclude that  $f : H \rightarrow H$  has a fixed-point.

*Remark.* The result that  $\text{Out}(F_{p-1})$  contains only one conjugacy class of subgroups of order  $p$  follows also immediately from the fact that there is only one admissible graph of rank  $p - 1$  on which the cyclic group of order  $p$  can act ([SV2]), together with the Culler–Zimmermann realization theorem (loc. cit.).

**Theorem 3.5.** *Let  $p$  be an odd prime. Then*

$$\hat{H}^*(\text{Out}(F_{p-1}); \mathbb{Z})_p \cong \mathbb{F}_p[w, w^{-1}]$$

with  $w$  of degree  $2(p - 1)$ .

Indeed,  $\text{Out}(F_{p-1})$  contains a unique conjugacy class of subgroups of order  $p$  and the normalizer  $N_O(C)$  of such a subgroup is a finite group, mapping onto  $\text{Hol}(C)$  with kernel of order prime to  $p$ . The rest of the argument is then as in the proof for Theorem 3.3. Note, because  $\text{vcd}(\text{Out}(F_n)) = 2n - 3$  (cf. [CV]), Theorem 3.5 determines also the ordinary cohomology of  $\text{Out}(F_{p-1})$  in degrees  $> 2p - 5$ .

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