

# Seminar Elliptic Functions and Modular Forms

Dr. Markus Schwagenscheidt

ETH Zürich

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## General informations

The talks should take between 80-90 minutes. Two students share a talk. A script in latex is required. The seminar takes place Wednesdays from 10-12 in HG G26.5, starting on 28.02. until 29.05. (12 talks; no meetings on 21.02., 03.04. and 01.05.).

We follow the lecture notes [9] and [10], which are based on the book [5]. Most of the material can also be found in the book [4], which is available in german or in english. A nice and short overview over the modular forms part is given in [1]. The other references contain useful additional material and different viewpoints.

## Topics

### 1 Elliptic functions (V. Michel, S. Ruppner)

Recall the definition of meromorphic functions on  $\mathbb{C}$ . Define the set of periods of a meromorphic function and show that it is either  $\{0\}$ ,  $\mathbb{Z}w_f$ , or  $\mathbb{Z}w_1 + \mathbb{Z}w_2$ . Define lattices in  $\mathbb{C}$  and their fundamental parallelograms, and explain how the fundamental parallelogram can be viewed as a torus. If time permits, discuss the convergence of Eisenstein series, see [9, Section 2.3]. Define elliptic functions and mention that they form a field. State and prove the four theorems of Liouville.

*References:* [9], Section 2.1–2.2 and 3.1–3.2 (and Section 2.3 if time permits)

See also [4], Section V.1

### 2 The Weierstrass $\wp$ -function (M. Corpataux, L. Schlemmer)

Start with [9, Section 4.1] and define the Weierstrass  $\wp$ -function. Mention that  $\sum_{w \in \Omega} (z - w)^{-k}$  converges absolutely for  $k \geq 3$ , but not for  $k = 2$ , but omit the proof. Show that the  $\wp$ -function converges and defines an elliptic function. Then give its properties from [9, Section 3.3], and afterwards prove that  $\wp$  and  $\wp'$  generate the field of elliptic functions, see [9, Section 3.4]. Define the Eisenstein series  $G_k$  and compute the Laurent expansion of  $\wp$ . Show the two differential equations  $\wp'^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$  and  $\wp'^2 = 4\wp^3 - g_2\wp - g_3$ . If time permits, give the identities of Eisenstein series from Corollary 4.3.5.

*References:* [9], Section 3.3–3.4 and Section 4

See also [4], Section V.2 and V.3

### 3 Complex elliptic curves (D. Blättler, E. Staikov)

Prove the addition theorem for the  $\wp$ -function. Define complex elliptic curves and show that the map  $z + \Omega \mapsto (\wp(z), \wp'(z))$  defines a bijection between  $\mathbb{C}/\Omega$  and the elliptic curve  $\overline{E}(\Omega)$ . Explain the geometric addition law on elliptic curves and show that it is compatible with the natural addition on  $\mathbb{C}/\Omega$  under the above bijection.

*References:* [9], Section 7

See also [4], Appendix A to Section V.3, and Section V.4

## 4 The Weierstrass $\sigma$ and $\zeta$ -functions (M. Daniele, A. David)

Briefly discuss the convergence of infinite products. Define the Weierstrass  $\sigma$  and  $\zeta$ -functions and explain their connection to the  $\wp$ -function. Show that the Weierstrass  $\eta$ -function is a group homomorphism and prove the Legendre relation. Prove the transformation law for  $\sigma$ . Prove Abel's theorem on the existence of elliptic functions with prescribed zeros and poles, see [9, Theorem 6.3.1]. If time permits, define the Jacobi theta function and mention that it can also be used to construct elliptic functions with prescribed zeros and poles, [9, Lemma 6.4.1 and Corollary 6.4.3].

*References:* [9], Section 6.1–6.3 (Section 6.4 if time permits)  
See also [4], Section V.6

## 5 The modular group and modular forms (S. Hadzhistoykov, B. Heim)

Introduce the modular group  $SL_2(\mathbb{Z})$  and its action on the upper half-plane by fractional linear transformations (Möbius transformations); prove that  $T$  and  $S$  generate  $SL_2(\mathbb{Z})$ ; sketch the fundamental domain and explain its properties; if time permits, explain elliptic points; define the factor of automorphy and modular forms; explain what Fourier expansions are and give the integral formula for their coefficients; prove the Hecke bound and that there are no modular forms of negative weight.

*References:* [10], Section 2.1 – 2.2  
See also [4], Section VI.1 and VI.2, and the part on *Complex Fourier series* in Section III.5

## 6 Eisenstein series and the Delta function (G. Hüglin, J. Menzi)

Introduce the Eisenstein series  $G_k$  and relate them to the Eisenstein series associated to the lattice  $\mathbb{Z}\tau + \mathbb{Z}$  defined in the talk on the  $\wp$ -function. Show convergence, modularity and the computation of the Fourier expansion; define the normalized Eisenstein series  $E_k$  and give its alternative definition using the slash operator; explain that one can write every modular form as an Eisenstein series plus a cusp form; give the evaluation of the Riemann zeta function at even natural numbers in terms of Bernoulli numbers, and use this to show that  $E_k$  has rational Fourier coefficients; introduce Ramanujan's Delta function and show that it is a cusp form of weight 12; mention the following things without proof: product expansion, multiplicative coefficients, some congruences, Ramanujan conjecture (Deligne bound), Lehmer's conjecture.

*References:* [10], Section 2.3 – 2.4

## 7 The valence formula and the structure of $M_k$ (J. Schütt, J. Sommer)

Explain the order of a modular form at a point in the upper half-plane and at  $\infty$ ; state, explain, and prove the valence formula; show that multiplication with  $\Delta$  yields isomorphism  $M_k \cong S_{k+12}$  and use this to prove the structure theorem for  $M_k$  for small  $k$ ; prove the dimension formula for  $M_k$  and that it has a basis of products of Eisenstein series.

*References:* [10], Section 2.5  
See also [4], Section VI.2 and Section VI.3

## 8 The $j$ -invariant, the Eisenstein series of weight 2, and the Dedekind eta function (C. Veit, A. Ying)

Define the  $j$ -invariant and determine its orders; define modular functions and show that every modular function is a rational function in  $j$ ; mention that  $j : \Gamma \backslash \mathbb{H} \rightarrow \mathbb{C}$  is a bijection; define the holomorphic (but non-modular) Eisenstein series  $G_2$  and compute its Fourier expansion; prove its

modular transformation law; define the non-holomorphic (but modular) Eisenstein series  $G_2^*$  and the normalized versions  $E_2$  and  $E_2^*$ ; define the Dedekind eta function  $\eta$  as an infinite product, and show that  $\frac{\eta'}{\eta} = \frac{i}{4\pi}G_2$ ; deduce the modular transformation properties of  $\eta$ ; show that  $\eta^{24} = \Delta$  and thereby prove the product expansion of  $\Delta$ .

*References:* [10], Section 2.6 – 2.8

## 9 Modular forms for congruence subgroups and the four-squares-theorem (J. Roshardt, A. Weidmann)

Define congruence subgroups, in particular  $\Gamma(N), \Gamma_1(N), \Gamma_0(N)$  and show that they have finite index in  $\mathrm{SL}_2(\mathbb{Z})$ ; write down the explicit formula for their indices; introduce cusps; define modular forms for congruence subgroups, and explain what their expansion at different cusps are; introduce Eisenstein series for  $\Gamma_0(N)$ , in particular of weight 2; investigate the trace  $\mathrm{tr}(f)$  and product  $\pi(f)$ ; prove Sturm's bound on the dimension of spaces of modular forms for congruence subgroups; introduce the Jacobi theta function and prove its transformation under  $\tau \mapsto -\frac{1}{4\tau}$  using Poisson summation; show that  $\vartheta^4 \in M_2(\Gamma_0(4))$ , but omit some details of the proof if necessary; state and prove the four-squares-theorem (omit some technical details, but make it clear how modular forms, in particular the theta function and Eisenstein series, come into play).

*References:* [10], Section 2.9– 2.10  
See also [12], Section on “Sums of two and four squares”.

## 10 The Petersson inner product and Poincaré series; Hecke operators I (N. Avci, M. Portmann)

Introduce the hyperbolic volume element and the Petersson inner product of two modular forms (one of which is a cusp form); prove its basic properties; show that the inner product is independent of the choice of fundamental domain; prove that Eisenstein series are orthogonal to cusp forms; define Poincaré series and show that they are cusp forms; prove that the inner product of a cusp form  $f$  with the  $m$ -th Poincaré series gives (essentially) the  $m$ -th coefficient of  $f$ ; show that the Poincaré series span the space of cusp forms; introduce the set  $\mathcal{M}_n$  of integral matrices with determinant  $n$ , and give a system of representatives for  $\mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{M}_n$ ; define Hecke operators and prove its action on the Fourier expansion; infer that Hecke operators define endomorphisms of  $M_k$  and  $S_k$ .

*References:* [10], Section 3.1–3.3

## 11 Hecke operators II (F. Keta)

Recall the definition and basic properties of Hecke operators from the last talk; explain what a simultaneous Hecke eigenform is and show what this means on the level of Fourier coefficients; show that  $\Delta$  is a simultaneous eigenform and prove that its coefficients are multiplicative; show that the Eisenstein series  $E_k$  is an eigenform, and state the formula for the action of Hecke operators on Poincaré series; prove that the algebra of Hecke operators is commutative and generated by the  $T_p$  for primes  $p$ , and give the precise composition laws (omit some details of the proof if necessary); show that the Hecke operators are self-adjoint with respect to the Petersson inner product; use this to show that  $S_k$  has an orthonormal basis of simultaneous Hecke eigenforms.

*References:* [10], Section 3.3–3.5

## 12 The Birch and Swinnerton-Dyer Conjecture (R. Angst, M. Olsen)

Recall the definition of elliptic curves over  $\mathbb{Q}$ , and state Mordell's Theorem. Explain the rank of a rational elliptic curve, and its meaning for the number of rational points; explain the content of the Birch and Swinnerton-Dyer Conjecture; give some historical overview and some remarks on the state of the Conjecture; explain the application to the Congruent Number Problem via Tunnell's Theorem. If time permits, mention the Modularity Theorem and thereby indicate the connection of the BSD Conjecture to modular forms.

*References:* [6, 7]

### Contact

Dr. Markus Schwagenscheidt, [mschwagen@ethz.ch](mailto:mschwagen@ethz.ch)  
<https://people.math.ethz.ch/~mschwagen/ellipticfunctionsmodularforms>

### Literatur

- [1] Alfes-Neumann, *Modular forms*
- [2] Apostol, *Modular Functions and Dirichlet Series in Number Theory*
- [3] Diamond, Shurman, *A first course in modular forms*
- [4] Freitag, Busam, *Complex Analysis (or Funktionentheorie)*
- [5] Koecher, Krieg, *Elliptische Funktionen und Modulformen*
- [6] Kramer, *Der große Satz von Fermat – die Lösung eines 300 Jahre alten Problems*, available online
- [7] Kramer, *Die Vermutung von Birch und Swinnerton-Dyer*, available online
- [8] Lang, *Introduction to modular forms*
- [9] Schwagenscheidt, *Elliptic Functions*, lecture notes, available online
- [10] Schwagenscheidt, *Modulformen*, lecture notes, available online
- [11] Serre, *A course in arithmetic*
- [12] Zagier's part of the book *The 1-2-3 of modular forms*