

# A quick proof that $K\# - K$ is doubly slice

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Throughout this short note we fix a category  $CAT$  where  $CAT = Diff, PL$  or  $TOP$ . An  $n$ -knot is a morphism  $K: S^n \hookrightarrow S^{n+2} = \mathbb{R}^{n+2} \cup \{\infty\}$ . As usual we denote the oriented manifold  $K(S^n)$  by  $K$ . We denote by  $-K$  the knot which is given by reflecting  $K$  in a hyperplane and by reversing the orientation. We say that a knot  $K$  is *doubly slice* if  $K$  is the intersection of a trivial  $(n+1)$ -knot in  $S^{n+3}$  with the equator sphere  $S^{n+2} \subset S^{n+3}$ . Note that an  $(n+1)$ -knot  $J \subset S^{n+3}$  is trivial if  $J$  is the boundary of an  $(n+2)$ -ball in  $S^{n+3}$ .

Zeeman [Zee65, p. 487] introduced the  $\pm 1$ -twist spin  $S_{\pm 1}(K)$  of a knot  $K \subset S^{n+2}$  and showed that it is a trivial knot in  $S^{n+3}$ . Sumners [Sum71, Corollary 2.9] observed that this result of Zeeman's almost immediately implies the following theorem.

**Theorem 0.1.** If  $K: S^n \hookrightarrow S^{n+2}$  is a knot, then  $K\# - K$  is doubly slice.

An unscientific poll among the authors and a wider group of topologists showed that the statement of Theorem 0.1 is well-known but that the proof is less well understood, and is shrouded in mystery. In this short note we present a self-contained proof of this theorem, similar in spirit to Zeeman. In fact, if one looks up the the definition of the  $\pm 1$ -twist spun of a knot, then one can easily see that along the way we in fact reprove Zeeman's theorem that the  $\pm 1$ -twist spuns of a knot are trivial. This is not the first reproof of this theorem and in [GK78, Corollary 1.11] a different technique is applied to recover the same result. It has been brought to the authors' attention that a proof very similar to our appears in the proof of [Lev83, Theorem C].

The idea of the proof is quite simple. We will embed the *knot exterior*  $X = \overline{S^{n+2} \setminus (K \times D^2)}$  into  $S^{n+3} = \mathbb{R}^{n+3} \cup \{\infty\}$  in such a way that a copy of  $S^{n+2} = \mathbb{R}^{n+2} \cup \{\infty\}$  cuts it along  $K \sqcup -K$ . We then show that it is possible to glue a 2-handle along a meridian of the embedded  $X$  to form an embedded  $D^{n+3}$  that intersects  $S^{n+2}$  along  $K\# - K$ . The technical difficulties arise in showing that the 2-handle can be embedded along with  $X$ .

Algebraically, slice knots correspond to metabolic Seifert forms and doubly slice knots correspond to hyperbolic Seifert forms. As a simple algebraic application of Theorem 0.1,

when  $n$  is odd, we use our proof to write down two complementary lagrangian submodules for a Seifert form of  $K\# - K$ . These lagrangians were algebraically observed as early as [Ran73, Lemma 1.4] but this is the first direct geometric description of them.

*Proof.* Given any  $k$  we define  $\mathbb{R}_{\geq 0}^k = \{(x_0, \dots, x_k) \in \mathbb{R}^k \mid x_k \geq 0\}$  and we similarly define  $\mathbb{R}_{> 0}^k, \mathbb{R}_{\leq 0}^k, \mathbb{R}_{< 0}^k$  and  $\mathbb{R}_{=0}^k$ . We then consider the map

$$\begin{aligned} \Phi: \mathbb{R}_{\geq 0}^{n+2} \times S^1 &\rightarrow \mathbb{R}^{n+1} \times \mathbb{C} = \mathbb{R}^{n+3} \\ ((x_1, \dots, x_{n+2}), z) &\mapsto (x_1, x_2, \dots, zx_{n+2}). \end{aligned}$$

Note that the map  $x \mapsto \Phi(x, -1)$  is just the reflection of  $\mathbb{R}_{\geq 0}^{n+2}$  in the plane  $\mathbb{R}_{=0}^{n+2}$ .

Now let  $K: S^n \hookrightarrow S^{n+2} = \mathbb{R}^{n+2} \cup \{\infty\}$  be a knot. We pick a point  $Q$  on  $K$  and we pick a tubular neighbourhood  $K \times D^2$  of  $K$ . Recall the knot exterior  $X = \overline{S^{n+2} \setminus (K \times D^2)}$ . Note that after a slight isotopy of  $K$  and by ‘increasing the size of the tubular neighbourhood’ we can and will assume that  $X \cup (Q \times D^2)$  lies outside the  $(n+2)$ -ball  $\mathbb{R}_{\leq 0}^{n+2} \cup \{\infty\}$ , i.e. we can assume that  $X \cup (Q \times D^2)$  lies in  $\mathbb{R}_{> 0}^{n+2}$ .

We write  $K' = K \times 1$  and define  $-K'$  as  $\Phi(K \times -1, -1)$  with the reversed orientation. Note that  $-K'$  is indeed the inverse of  $K'$  up to isotopy. Furthermore note that  $K'$  lies in  $\mathbb{R}_{> 0}^{n+2}$  and  $-K'$  lies in  $\mathbb{R}_{< 0}^{n+2}$ .

We now pick an embedded path  $p: [0, \frac{1}{2}] \rightarrow \mathbb{R}_{\geq 0}^{n+2}$  from the origin to the point  $Q$  which intersects  $X \cup Q \times D^2$  only in the point  $Q$ . Given  $z \in S^1$ , we denote by  $q_z: [\frac{1}{2}, 1] \rightarrow Q \times D^2$  the straight path from  $Q$  to  $Q \times z$ . We then denote by  $pq_z: [0, 1] \rightarrow \mathbb{R}_{\geq 0}^{n+2}$  the concatenation of the paths  $p$  and  $q_z$ . Note that this is a path from the origin to  $Q \times z$  with no self-intersections.

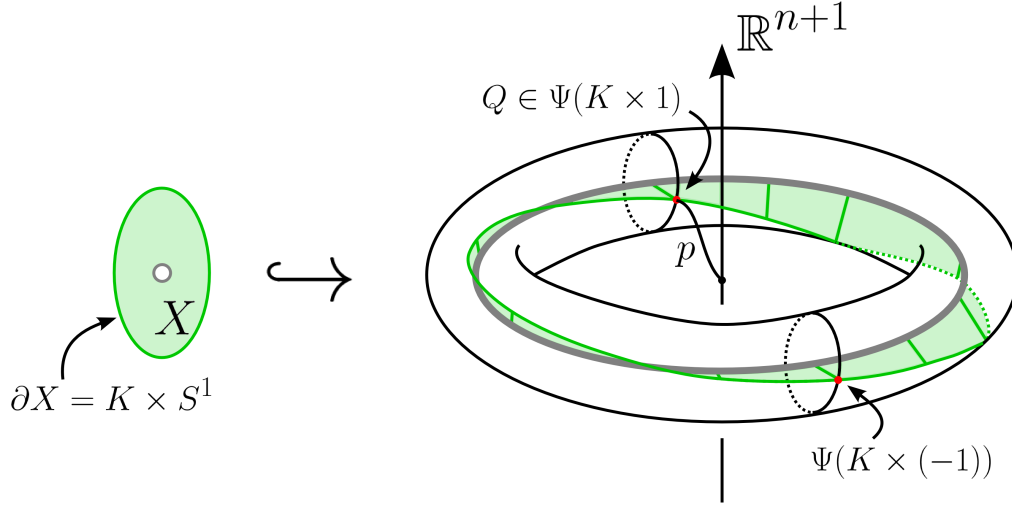
We now denote by  $\overline{pq_1}$  the path  $pq_1$  with the opposite orientation. The concatenation of the two paths  $\overline{pq_1}$  and  $\Phi(pq_{-1}, -1)$  is then a path from  $K'$  to  $-K'$ . After a slight perturbation we can assume that this is a *CAT*-morphism and we use this path to perform the connect sum  $K'\# - K'$ . In order to prove the theorem it suffices to show that there exists a manifold  $Y \cong D^{n+3}$  in  $\mathbb{R}^{n+3}$  such that  $Y \cap \mathbb{R}_{=0}^{n+3} = K'\# - K'$ .

We will first find an embedding  $\Psi: X \rightarrow \mathbb{R}^{n+3}$  such that  $\Psi(X) \cap \mathbb{R}_{=0}^{n+3} = K' \sqcup -K'$ . We will then show that we can attach a 2-handle to  $\Psi(X)$  along the meridian  $\Psi(Q \times S^1)$ . The resulting manifold  $Y$  is then an  $(n+2)$ -ball and we will see that  $Y \cap \mathbb{R}_{=0}^{n+3} = K'\# - K'$ . To carry out this idea, first note that by elementary obstruction theory there exists a map  $f: X \rightarrow S^1$  which has the property that  $f|_{\partial}: \partial X = K \times S^1 \rightarrow S^1$  is the projection to the

second factor. We then consider the embedding

$$\begin{aligned}\Psi: X &\rightarrow \mathbb{R}^{n+3} \\ x &\mapsto \Phi(x, f(x)).\end{aligned}$$

Note that  $\Psi(x) = \Phi(x, f(x))$  lies in  $\mathbb{R}_{=0}^{n+3}$  if and only if  $f(x) = 1$  or  $f(x) = -1$ . We now



see that

$$\partial(\Psi(X)) \cap \mathbb{R}_{=0}^{n+3} = \Psi(\partial X) \cap \mathbb{R}_{=0}^{n+3} = \Psi(K \times S^1) \cap \mathbb{R}_{=0}^{n+3} = \Psi(K \times 1) \sqcup \Psi(K \times -1) = K' \sqcup -K'.$$

We now consider the continuous map

$$\begin{aligned}g: D^2 &\rightarrow \mathbb{R}^{n+3} \\ rz &\mapsto \Phi((pq_z)(r), z)\end{aligned}$$

where  $r \in [0, 1]$  and  $z \in S^1$ . It is straightforward to see that this map is injective and continuous. After a small perturbation we can assume that this map is furthermore a *CAT* morphism.

Note that

$$g(S^1) = \{\Phi(Q \times z, z) \mid z \in S^1\} = \{\Psi(Q \times z) \mid z \in S^1\} = \Psi(Q \times S^1).$$

It follows easily from the definitions that  $g(D^2)$  intersects  $\Psi(X)$  precisely in  $g(S^1) = \Psi(Q \times S^1)$ . We can therefore thicken up the disk  $g(D^2)$  and attach it as a  $(n+2)$ -dimensional 2-handle to the  $(n+2)$ -dimensional manifold  $\Psi(X)$  along  $g(S^1) = \Psi(Q \times S^1) \subset \Psi(\partial X)$ . We denote the resulting manifold by  $Y$ . The result of attaching a 2-handle along a meridian gives a manifold which is the complement of an open  $(n+2)$ -ball in  $S^{n+2}$ , i.e.  $Y$  is a

closed  $(n + 2)$ -dimensional ball.

On the other hand, note that we changed the intersection of  $\Psi(X)$  with  $\mathbb{R}_{=0}^{n+3}$  by attaching a 1-handle to  $K' \sqcup -K'$ . It follows that  $Y \cap \mathbb{R}_{=0}^{n+3} = K' \# -K'$ .  $\blacksquare$

**Remark.** In the proof, given a knot  $K$  we constructed an  $(n + 2)$ -dimensional manifold  $Y$  in  $\mathbb{R}^{n+3}$  with  $Y \cong D^{n+2}$ . It follows easily from the definitions that the boundary of  $Y$  is precisely the  $+1$ -twist spun  $S_{+1}(K)$  of Zeeman. We thus gave in particular a proof that  $+1$ -twist spun knots are trivial. If we replace the map  $\Psi$  in the proof by  $x \mapsto \Phi(x, f(x)^{-1})$ , then the same proof shows that  $S_{-1}(K)$  is also a trivial knot.

### Some algebra of Seifert forms

We now address the corresponding algebra. Let  $\varepsilon = \pm 1$  and  $A$  be a ring with involution. Consider a pair  $(P, \psi)$  consisting of a finitely generated, projective  $A$ -module  $P$ , together with an  $A$ -module morphism  $\psi : P \rightarrow P^* = \text{Hom}_A(P, A)$  such that  $\psi + \varepsilon\psi^* : P \rightarrow P^*$  is an isomorphism. We say that  $(P, \psi)$  is a *non-singular  $\varepsilon$ -symmetric Seifert form* over  $A$ , cf. [Ran03]. Define  $e = (\psi + \varepsilon\psi^*)^{-1}\psi : P \rightarrow P$  and note that this action induces an  $A[e]$ -module structure on  $P$ . A *lagrangian* of  $(P, \psi)$  is an  $A[e]$ -submodule  $j : L \hookrightarrow P$  such that the sequence

$$0 \longrightarrow L \xrightarrow{j} P \xrightarrow{j^*(\psi + \varepsilon\psi^*)} L^* \longrightarrow 0$$

is an exact sequence of  $A[e]$ -modules (in other words,  $j(L)^\perp = j(L)$  with respect to  $\psi + \varepsilon\psi^*$ ). If a Seifert form  $(P, \psi)$  admits a lagrangian, it is called *metabolic*. If a Seifert form admits two lagrangians  $j_\pm : L_\pm \hookrightarrow P$  such that  $(j_+ \ j_-) : L_+ \oplus L_- \rightarrow P$  is an isomorphism of  $A$ -modules, the lagrangians are called *complementary* and the form is called *hyperbolic*.

**Lemma 0.2** ([Ran73, Lemma 1.4]). For any non-singular  $\varepsilon$ -symmetric Seifert form  $(P, \psi)$ , the form  $(P \oplus P, \psi \oplus -\psi)$  is hyperbolic.

*Proof.* The following are lagrangian submodules

$$\begin{aligned} j_- &= \begin{pmatrix} 1 \\ 1 \end{pmatrix} : (P, 0) \rightarrow (P \oplus P, \psi \oplus -\psi), \\ j_+ &= \begin{pmatrix} e \\ e - 1 \end{pmatrix} : (P, 0) \rightarrow (P \oplus P, \psi \oplus -\psi). \end{aligned}$$

They are complementary as the matrix  $(j_+ \ j_-)$  has invertible determinant.  $\blacksquare$

**Example 0.3.** Given a knot  $K : S^n \hookrightarrow S^{n+2}$ , a *Seifert manifold* for  $K$  is a codimension 1 submanifold  $F^{n+1} \subset S^{n+2}$  with boundary  $\partial F = K$ . If  $n = 2q - 1$  and  $\varepsilon = (-1)^q$ , then we can associate to  $F$  a non-singular,  $\varepsilon$ -symmetric Seifert form  $(H^q(F; \mathbb{Z}), \psi)$  such that  $\psi + \varepsilon\psi^*$  is the adjoint of the middle-dimensional intersection pairing on  $F$  and  $\psi : H_q(F) \rightarrow H_q(F)^*$  is the adjoint of the Seifert matrix for  $F$ .

We will now relate the two lagrangians of Lemma 0.2 to the embedding  $\Psi : X \hookrightarrow S^{n+3}$  of Theorem 0.1.

Let  $I \subset S^1$  be a closed arc between 1 and  $-1$ . We may assume  $f : X \rightarrow S^1$  from the proof of Theorem 0.1 is transverse regular at some  $x \in I$  so that  $f^{-1}(x) = F$  is a Seifert manifold for  $K$ . We may rescale  $f$  so that  $f^{-1}(I) = F \times [0, 1]$ , a tubular neighbourhood of  $F \subset X$ . Define  $X_F = \overline{X \setminus (F \times [-1, 1])}$ , denote the inclusion of the two ends of  $X_F$  by  $i_{\pm} : F_{\pm} \hookrightarrow X_F$  and recall that the maps  $(i_+ - i_-)_*$  and  $(i_+ - i_-)^*$  are isomorphisms on reduced homology and cohomology respectively (by Alexander duality). As  $X_F$  is a cobordism (rel boundary) from  $F$  to  $F$ , it determines a lagrangian submodule for the middle dimensional intersection pairing on  $F \sqcup -F$  given by

$$j_+ = \begin{pmatrix} (i_+ - i_-)^{-1} i_+ \\ (i_+ - i_-)^{-1} i_- \end{pmatrix} : H_q(F) \rightarrow H_q(F) \oplus H_q(F),$$

coming from the composition

$$H_q(F) \cong H^q(F) \xrightarrow{((i_+ - i_-)^*)^{-1}} H^q(X_F) \xrightarrow{\begin{pmatrix} i_+^* \\ i_-^* \end{pmatrix}} H^q(F) \oplus H^q(F) \cong H_q(F) \oplus H_q(F).$$

Let  $\psi : H_q(F) \rightarrow H_q(F)^*$  be the adjoint map for the Seifert matrix of  $F$ .  $\psi$  has the property that  $\psi(a) = (\psi + \varepsilon\psi^*)((i_+ - i_-)^{-1} i_+(a))$  (see e.g. [Far83, Chapter 1, §1]) so that we may write the lagrangian above as

$$j_+ = \begin{pmatrix} (\psi + \varepsilon\psi^*)^{-1} \psi \\ (\psi + \varepsilon\psi^*)^{-1} \psi^* \end{pmatrix} : H_q(F) \rightarrow H_q(F) \oplus H_q(F).$$

Note that the excised  $F \times [0, 1] \subset X$  is also a cobordism (rel boundary) from  $F$  to  $F$  and repeating the reasoning above we obtain the trivial lagrangian submodule

$$j_- = \begin{pmatrix} 1 \\ 1 \end{pmatrix} : H_q(F) \rightarrow H_q(F) \oplus H_q(F).$$

Now the embedding  $\Psi : X \hookrightarrow X \times S^1 \subset \mathbb{R}^{n+3}$  from the proof of Theorem 0.1 has the

property that  $\Psi(X) \cap \mathbb{R}_{=0}^{n+3} = \Psi(F_-) \sqcup \Psi(F_+)$  so that  $\Psi(X_F)$  is an embedded cobordism from  $\Psi(F_+)$  to  $\Psi(F_-)$  (relative to  $K$ ) and, similarly,  $\Psi(F \times [0, 1])$  is an embedded cobordism from  $\Psi(F_+)$  to  $\Psi(F_-)$  (relative to  $K$ ). That the lagrangians  $j_{\pm}$  are complementary corresponds to fact that the corresponding slice disks glue together to make  $Y \cong D^{n+3}$ , a contractible space.

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