

New results on cycles  
on the moduli space of curves

Princeton Algebraic Geometry Seminar

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ETHZ

The lecture has three parts :

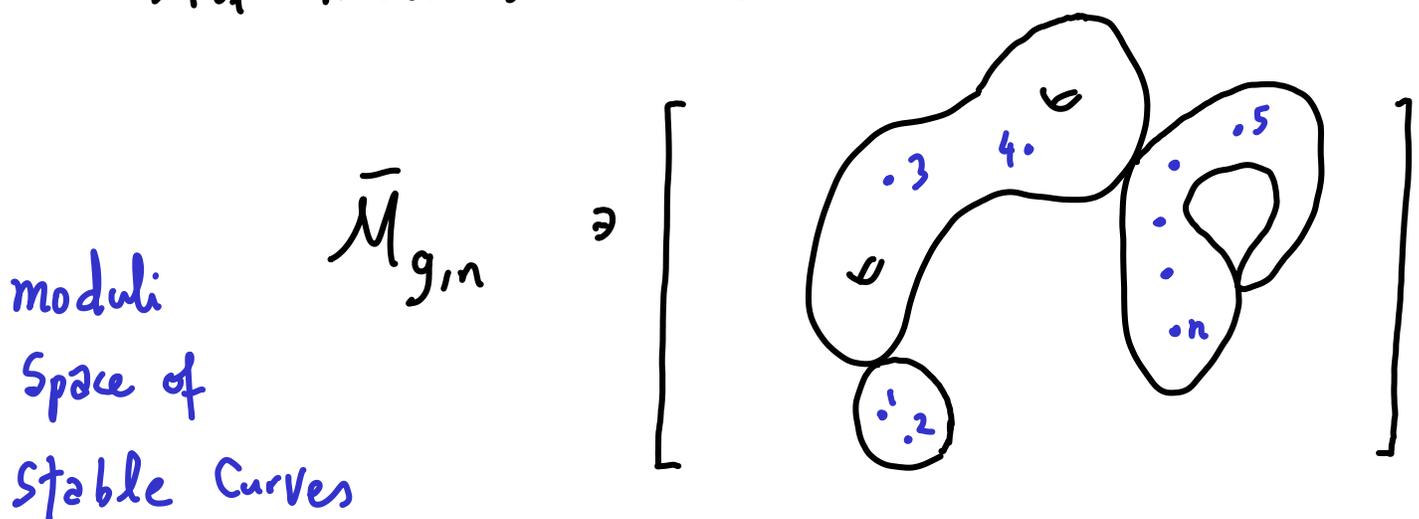
I. 0-cycles on  $\bar{\mathcal{M}}_{g,n}$  w/ J. Schmitt  
EPIGA 4 (2020)

II.  $\lambda_g$  on  $\bar{\mathcal{M}}_g$  w/ S. Molcho, J. Schmitt  
arXiv: 2101.08824

III. Cycles related to Abel-Jacobi theory

w/ Y. Bae, D. Holmes, J. Schmitt, R. Schwarz  
arXiv: 2004.08676

All directions concern



I. 0-cycles on  $\bar{M}_{g,n}$  w/ J. Schmitt

$$R^*(\bar{M}_{g,n}) \subset A^*(\bar{M}_{g,n}) \quad \mathbb{Q}\text{-Coeffs}$$

↑  
subring of tautological classes

[ generated by strata classes  $\bar{M}_\tau \rightarrow \bar{M}_{g,n}$   
kappa classes  $\kappa$ , cotangent line classes  $\psi$

We are interested here in  $R_0(\bar{M}_{g,n}) \subset A_0(\bar{M}_{g,n})$

Though the birational geometry of  $\bar{M}_{g,n}$

is in general complicated, we have

$$R_0(\bar{M}_{g,n}) \cong \mathbb{Q}$$

Graber-Vakil 2000  
+ other approaches

Question: Let  $(C, p_1, \dots, p_n) \in \bar{M}_{g,n}$ .

When is  $[C, p_1, \dots, p_n] \in R_0(\bar{M}_{g,n})$ ?

The answer is always if  $\bar{M}_{g,n}$  is rationally connected:

$g$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$n_{\max}$	$\infty$	10	12	14	15	12	15	11	8	9	3	10	1	0	2	0

genus  
16?

$\bar{M}_{g,n}$  is RC for  $n \leq n_{\max}$

But the answer is not always Yes.

$CH_0(\bar{M}_{1,11})$  and  $CH_0(\bar{M}_{2,14})$  known not

to be finitely generated because of

holomorphic forms, so the question is nontrivial.

Benzo  
Bruno  
Casarati  
Farkas  
Fontanari  
Verra

What form of answer could we hope for?

- Bloch-Beilinson Conjecture  $\Rightarrow$

If  $(C, p_1, \dots, p_n)$  is defined over  $\overline{\mathbb{Q}}$ ,  
 then  $[C, p_1, \dots, p_n] \in R_0(\overline{M}_{g,n})$

Perhaps should be proven with Belyi's Theorem,  
 but I have nothing to report in this  
 very interesting direction.

- Search for classical geometric conditions



Suppose  $C$  lies on a surface

$$C \subset S$$

irreducible nonsingular  
 curve of genus  $g$

nonsingular projective  
 simply connected surface

(i)  $S$  is a rational surface.

But every curve lies on some rational surface,  
so we will need conditions.

$$\bar{M}_g(S, [C])$$

moduli space of  
stable maps

$$\text{vdim } \bar{M}_g(S, [C]) = \int_{[C]} c_1(s) + g - 1$$

P-  
Schmitt

**Theorem R.** For  $C \subset S$  where  $S$  is  
rational and  $\int_{[C]} c_1(s) > 0$ , we have

$$[C, p_1, \dots, p_n] \in R_0(\bar{M}_{g,n})$$

for all  $p_i \neq p_j$  and  $n \leq \text{vdim } \bar{M}_g(S, [C])$

Comments on the proof:

- Take  $n = \text{vdim } \bar{M}_g(S, [c])$  lower  $n$  follow  
by forgetting  
points

- Then for generic points  $p_i \in C$ ,

$$\begin{aligned} \varepsilon_* \left( \left[ \bar{M}_{g,n}(S, [c]) \right]^{\text{vir}} \prod_{i=1}^n \text{ev}_i^* [P_i] \right) \\ = [C, p_1, \dots, p_n] \in A_0(\bar{M}_{g,n}) \end{aligned}$$

$$\varepsilon: \bar{M}_{g,n}(S, [c]) \rightarrow \bar{M}_{g,n}$$

The case of  
generic  $p_i \in C$   
is sufficient

- Use well-known properties of stable maps and virtual classes to

conclude 
$$\varepsilon_* \left( \left[ \bar{M}_{g,n}(S, [c]) \right]^{\text{vir}} \prod_{i=1}^n [P_i] \right)$$

is tautological. Deformation, localization

- Can the bound  $n \leq \text{vdim}(\bar{M}_g(S, [c]))$  be improved?

Expectation is No:

$C \subset \mathbb{P}^1 \times \mathbb{P}^1$   
 ↗  
 genus 4,  
 type (3,3),  
 generic genus 4  
 Curve appears

$\text{vdim} = 15$ , so all points of  $\bar{M}_{4,15}$  are tautological.

But  $\bar{M}_{4,16}$  is expected to carry a  $(0, 25)$  form, so

Theorem R should fail for  $n=16$

- Can the positivity  $\int_{[c]} c_i(S) > 0$  be dropped?

Issue is related Harbourne-Hirschowitz Conjecture.

Conjecture: Positivity can be dropped in Theorem R

(ii)  $S$  is a K3 surface

In the Chow group of points of  $S$ , there is a distinguished rank 1 subspace

Beauville-Voisin points

$$BV = \mathbb{Z} \subset A_0(K3)$$

generated by points on rational curves of  $S$

P-Schmitt

**Theorem K3.** For  $C \subset S$  where  $S$  is a K3 surface, we have

$$[C, p_1, \dots, p_n] \in R_0(\bar{M}_{g,n})$$

for all Beauville-Voisin points  $p_i \neq p_j$

with  $n \leq g = \text{genus}(C)$ .

$$g = \text{reduced virdim}(\bar{M}_g(S, [C]))$$

Pattern of the proof is similar to the rational case

- Express  $[C, p_1, \dots, p_n]$  in terms of intersection with the reduced vir class

$$\begin{aligned} \varepsilon_* \left( \left[ \bar{\mathcal{M}}_{g,n}(S, [c]) \right]_{\text{red}} \prod_{i=1}^n \text{ev}_i^* [P_i] \right) \\ = [C, p_1, \dots, p_n] \in A_0(\bar{\mathcal{M}}_{g,n}) \end{aligned}$$


 BV

- The above cycle is defined for all pairs  $(S, [c])$
- Use generic point in moduli of K3 surfaces and result by Xi Chen: There exists a nodal rational curve.

Must the points be constrained in  $A_0(S)$ ?

Expectation is Yes:

Consider genus 11 curves with 11 points

on a K3 surface. By the Mukai Correspondence,

we can achieve the general element of  $\bar{M}_{11,11}$

by varying the K3. But  $\bar{M}_{11,11}$  has Kodaira dim 19

and we expect complicated  $A_0(\bar{M}_{11,11})$ .

Brief excursion to the Moduli  $M_{2l}^{K3}$  of K3s:

There are now almost parallel questions for  $M_{2l}^{K3}$

Question [Oprea-P]: Let  $\Lambda$  and  $\hat{\Lambda}$  be two rank 18 lattices with degree  $2l$  polarization classes

Are the classes  $[M_{\Lambda}^{K3}], [M_{\hat{\Lambda}}^{K3}] \in A_2(M_{2l}^{K3})$

proportional?

$\mathbb{Q}$ -coeffs

(iii) We can consider many other surfaces. "

A question which I like:

Question GT. Let  $C \subset S$  be an irreducible nonsingular canonical curve on a simply connected surface  $S$  of general type. Is  $[C] \in A_0(\bar{M}_{g(C)})$  a tautological class?

The point here is that for a canonical curve

$$\begin{aligned} \text{vdim} &= \int_C c_1(S) + g(C) - 1 \\ &= \frac{1}{2} \int_C K(C) + g(C) - 1 = 0 \end{aligned}$$

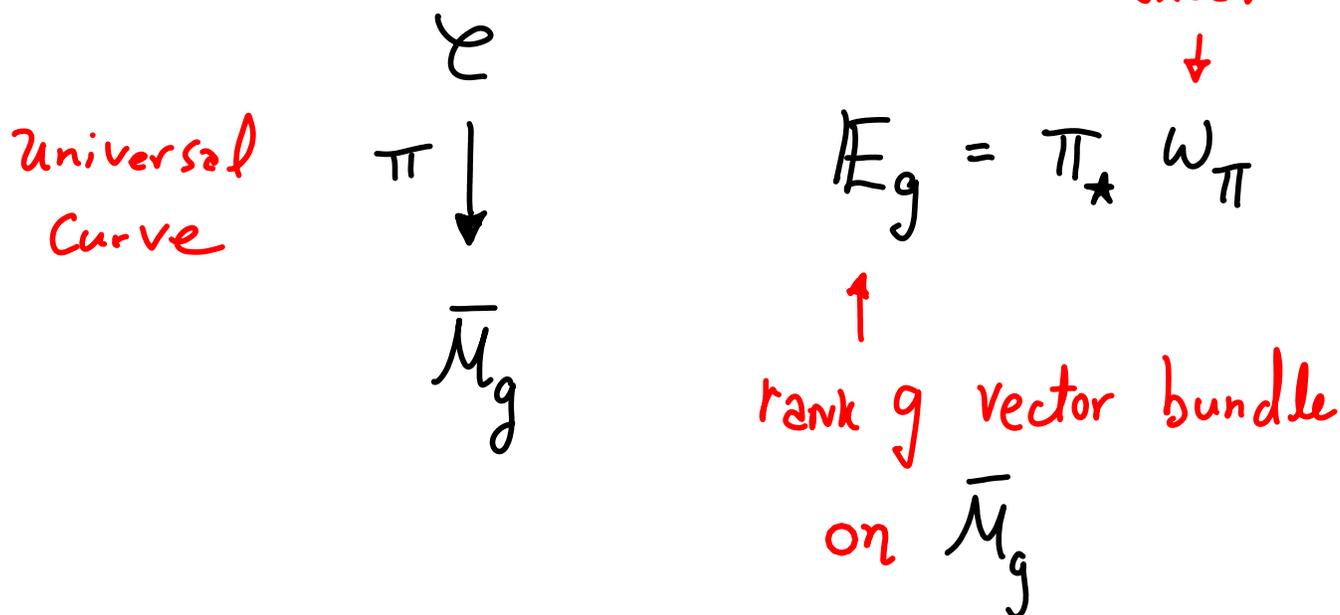
GW invariant is  
sign of  $\Theta$ -char  
 $\Theta(C)|_C$

A hope: whenever an irreducible nonsingular curve  $C$  lies on a simply connected surface  $S$  with  $\text{vdim} \geq 0$ , then  $[C] \in R_0(\bar{M}_{g(C)})$ .

II.  $\lambda_g$  on  $\bar{\mathcal{M}}_g$

w/ S. Molcho, J. Schmitt

The Hodge bundle:



Chern classes:

$$\lambda_i = c_i(\mathbb{E}_g)$$

$$\in R\mathcal{H}^{2i}(\bar{\mathcal{M}}_g) \subset \mathcal{H}^{2i}(\bar{\mathcal{M}}_g)$$

$$\in R^i(\bar{\mathcal{M}}_g) \subset \mathcal{C}\mathcal{H}^i(\bar{\mathcal{M}}_g)$$

The top Chern class  $\lambda_g = c_{\text{top}}(\mathbb{E}_g)$

is the most studied class on  $\bar{\mathcal{M}}_g$

- Vanishing properties

$$\lambda_g^2 = 0 \quad \text{on } \bar{M}_g$$

$\Delta_0 \subset \bar{M}_g$   
divisor of  
curves

$$\lambda_g |_{\Delta_0} = 0 \quad \text{on } \Delta_0$$

$\gamma$   
with non-  
separating  
node

Mumford's  
Identity 1983

$$c(\mathbb{E}_g) \cdot c(\mathbb{E}_g^\vee) = 1$$

Trivial  
quotient  $\mathbb{C}$

obtained from residue  
at the node

- Connected to Gromov-Witten theory  
in several ways:

Maulik-P-Thomas

$\lambda_g$  formula, Katz-Klemm-Vafa formula,

Quantum tropical vertex, ...

Bousséau

# $\lambda_g$ formula Faber-P 2003

$$\int_{\bar{M}_{g,n}} \psi_1^{k_1} \dots \psi_n^{k_n} \lambda_g = \binom{2g+n-3}{k_1, \dots, k_n} \int_{\bar{M}_{g,1}} \psi_1^{2g-2} \lambda_g$$

$$\psi_i = c_1(L_i)$$

$i^{th}$  cotangent line

$g \geq 1$

- Connection to abelian varieties:

$(-1)^g \lambda_g$  arises as the

pull-back of the universal Alexeev  
Olsson

0-section of the moduli space

of  $\overline{PPAVs}$ :

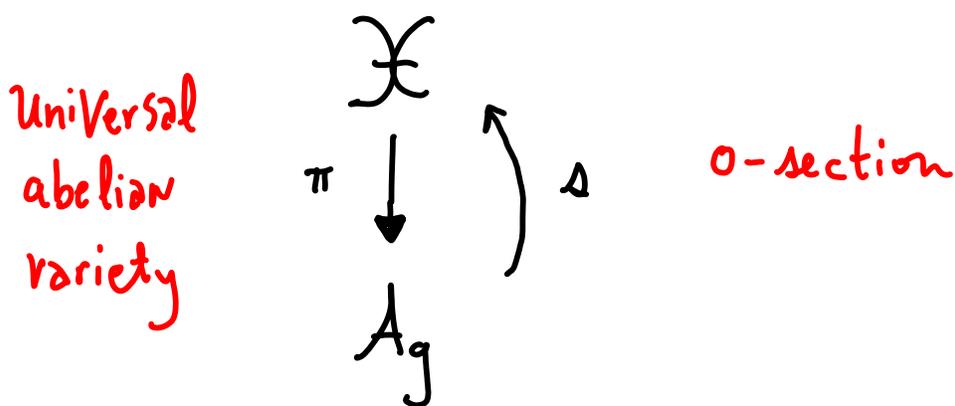
← Principally  
Polarized  
Abelian  
Varieties

Hain 2013

Grushevsky-Zakharov 2014

Our starting point is a beautiful

formula over  $A_g$   $\leftarrow$  Moduli of PPAVs  
of dim  $g$



Let  $Z_g \in CH^g(\mathcal{X}_g)$  be the

class of the 0-section. Then:

$$Z_g = \frac{\Theta^g}{g!} \in CH^g(\mathcal{X})$$

via FM  
by  
Deninger,  
Murre

where  $\Theta \in CH^1(\mathcal{X})$  is the universal

symmetric theta divisor trivialized along  $\sigma$

Question: Does  $\lambda_g \in R^*(\bar{M}_g)$  lie in the subalgebra generated by divisors?

Answer (Molcho-P-Schmitt): No

$\lambda_g \notin \text{div } R^*(\bar{M}_g)$  for all  $g \geq 3$ .

[ also  $\lambda_g$  does not lie in the subalgebra generated by  $R^1(\bar{M}_g)$  and  $R^2(\bar{M}_g)$  for all  $g \geq 8$  ]

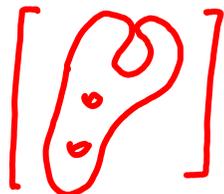
Proof: uses Admcycles, knowledge of  $R^*(\bar{M}_g)$  and geometric arguments.

But these results are negative.

The positive result is from the log perspective

Molcho  
&  
Schmitt

Theorem Log:  $\lambda_g$  lies in the subalgebra  
of  $\log CH^*(\bar{M}_g, \Delta_0)$  generated by divisors

What is  $\log CH^*(\bar{M}_g, \Delta_0)$ ?  $\Delta_0 \ni$  

Given any nonsingular variety  $X$   
with a normal crossings divisor  $D \subset X$   
we obtain a log scheme  $(X, D)$

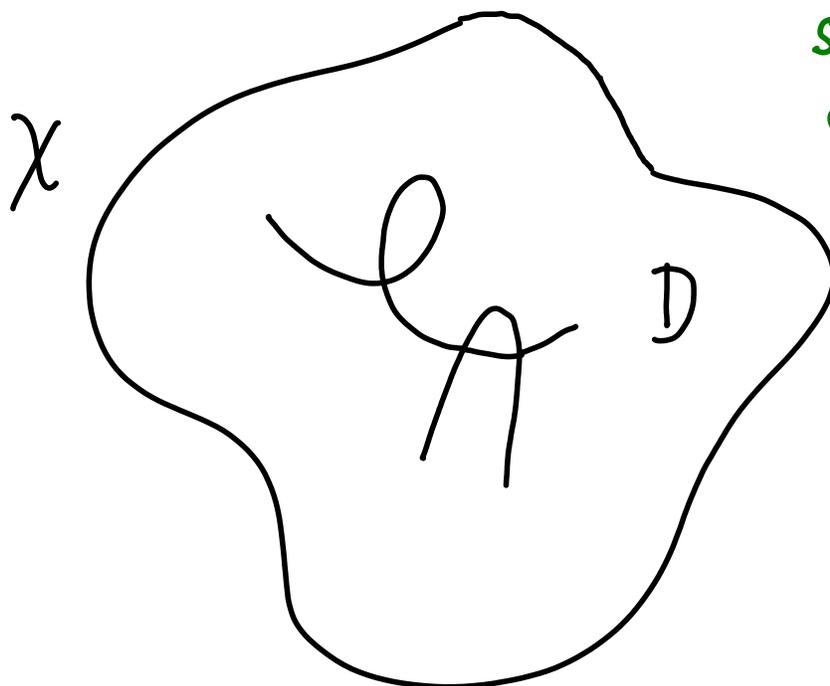
There are two related Chow Construction  
lying over  $CH^*(X)$

$$CH^*(X) \subset \log CH^*(X) \subset b CH^*(X)$$

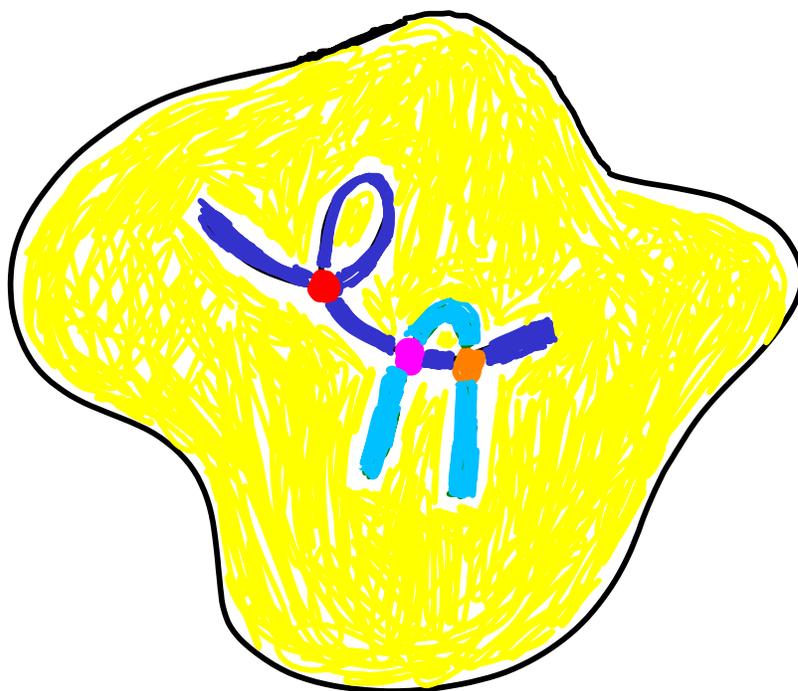
used by  
D. Holmes

Shokurov

Not assumed  
Strict normal  
crossings



Basic Notion  
of Stratification



Strata  
indicated  
by colors

A Stratum  $S \subset X$  is nonsingular and quasiprojective  
 $\bar{S} \subset X$  may be singular (mildly)

A simple blow-up of  $(X, D)$  is  
 a blow up along a nonsingular stratum  
 closure  $\bar{S} \subsetneq X$ .

$$\text{Bl}: (\hat{X}, \hat{D}) \rightarrow (X, D)$$

↑ blowup      ↑ strict transform of  $D$   
 union the exceptional divisor  $E$

Define a category  $\mathcal{B}(X, D)$

- Objects are  $(\tilde{X}, \tilde{D}) \xrightarrow{\tilde{\phi}} (X, D)$

where  $\tilde{\phi}$  is a composition of simple blowups

- Morphisms are commutative diagrams

$$\begin{array}{ccc} (\tilde{\tilde{X}}, \tilde{\tilde{D}}) & \xrightarrow{\sigma} & (\tilde{X}, \tilde{D}) \\ \searrow \tilde{\phi} & & \swarrow \tilde{\phi} \\ & (X, D) & \end{array}$$

$\sigma$  is a  
 composition of  
 simple blowups

$$\log \text{CH}^*(x, D) \stackrel{\text{def}}{=} \lim_{\rightarrow} \text{CH}^*(\tilde{x})$$

$$(\tilde{x}, \tilde{D}) \in \beta(x, D)$$

$b\text{CH}^*(x)$  has the same definition except that blowups along all nonsingular varieties are allowed.

A nice exercise :  $b\text{CH}^*(x)$  is generated by divisors

But  $\log \text{CH}^*(x, D)$  is much smaller.

We have  $\lambda_g \in \text{div } \log \text{CH}^*(\bar{M}_g, \Delta_0)$

and actually prove  $\lambda_g$  is generated by

log divisors (components of the log boundary).

Proof : Not formal! Starts with Pixton's DR formula. Some theory of tautological classes for log schemes is needed.

Why are we interested?

Better understood  $\rightarrow$  Gromov-Witten theory  $\rightsquigarrow$  cycles in  $CH^*(\bar{M}_g)$

less well understood  $\rightarrow$  log Gromov-Witten theory  $\rightsquigarrow$  cycles in  $\log CH^*(\bar{M}_g, \partial\bar{M})$

In order apply the theory, we must develop facility with  $\log CH^*$ .

$\lambda_g$  is the simplest case.

Parallel results for general DR cycles will appear in forthcoming papers by Holmes-Schwarz, Molcho-Ranganathan

Hope: eventually to prove statements such as

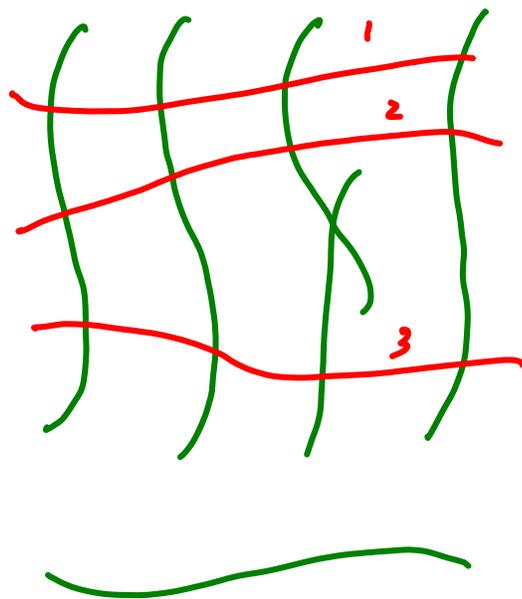
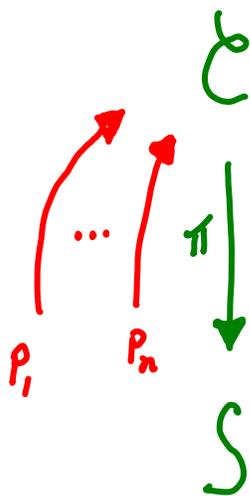
$$\varepsilon_* [\bar{M}_g(x, \beta)]^{vir} \in RH^*(\bar{M}_g) \text{ for every } \chi$$

See speculation in Levine-P

### III. Cycles related to Abel-Jacobi theory

w/ Y. Bae, D. Holmes, J. Schmitt, R. Schwarz

Consider a family of pointed nodal curves



Curves connected, marking in smooth locus

with two additional items

- Line bundle of degree  $d$



- A vector of integers  $A = (a_1, \dots, a_n)$  with  $\sum_{i=1}^n a_i = d$

Codim  $g$ 

There should be an Abel-Jacobi locus of points  $(C, p_1, \dots, p_n) \in S$  where

$$\Theta_C \left( \sum_{i=1}^n a_i p_i \right) \cong \mathcal{L}_C$$

But there are issues here.

not a closed condition

Solution:

- There is a natural operational Chow class

$$AJ_{g,A} : CH_*(S) \rightarrow CH_{*-g}(S)$$

- There is a universal formula

for  $AJ_{g,A}$

— Definition of the AJ class is very simple:

- Picard Stack  $\mathcal{P}_{g,n}^d$  ← Artin Stack nonsingular!

moduli of genus  $g$ ,  $n$  pointed curves with a line bundle of degree  $d$

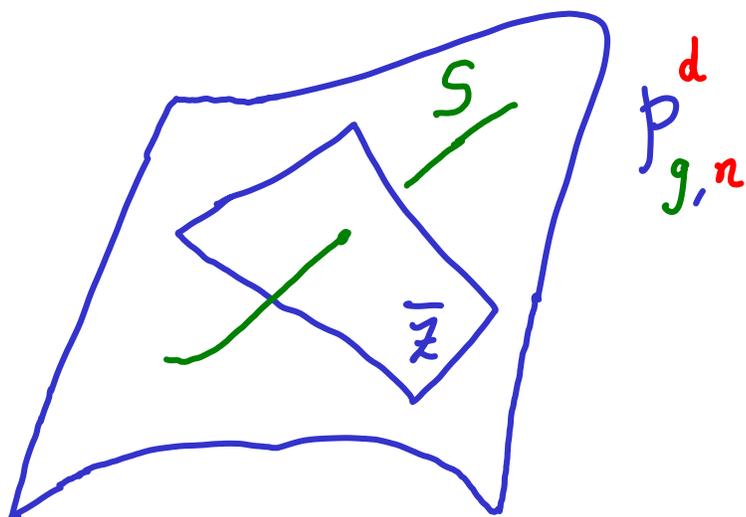
- $\mathbb{Z} \subset \overset{\text{closed}}{\text{codim } g} \text{ irr } \mathcal{P}_{g,n}^d \subset \overset{\text{open}}{\mathcal{P}_{g,n}^d}$
- $\mathcal{O}_{\mathbb{C}}(\sum q_i p_i) \cong \mathcal{L}$   
 $\mathbb{C}$  irreducible
- $\uparrow$   
 $\mathbb{C}$  irreducible

- Intersection with

$\overline{\mathbb{Z}}$  defines

$AJ_{g,A}$

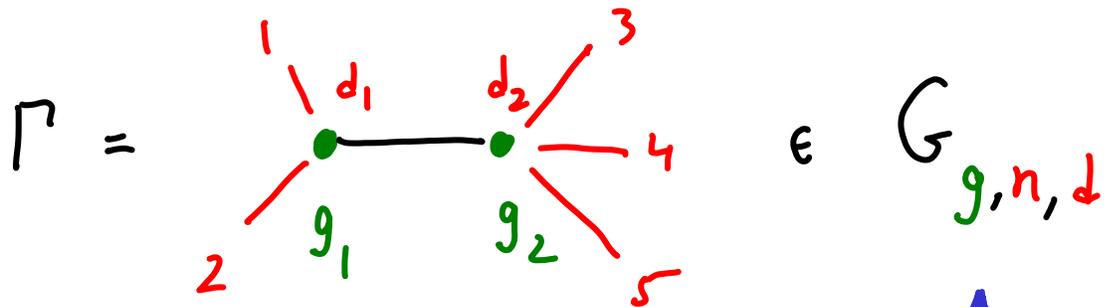
closure



— Formula : involves translations of the above geometric definition to Gromov-Witten theory (via log geometry)

We will write a formula in  $\mathcal{CH}^{op}(\mathcal{F}_{g,n}^d)$

• Graphs :



No stability condition

↑  
set of all  
graphs  
 $\infty$ -many!

• Classes :  $\psi_i$  markings,  $\psi_j$  half edges

$$\zeta_i = c_1(p_i^* \mathcal{L}) \leftarrow \text{marking } i$$

$$\eta(v) = \pi_* (c_1(\mathcal{L})^2) \leftarrow \text{vertex } v$$

$$r \in \mathbb{N}_+$$

- Weightings mod  $r$  of  $\Gamma \in G_{g,n,d}$

$$W: \text{Half Edges } (\Gamma) \rightarrow \{0, 1, 2, \dots, r-1\}$$

$$(i) \quad w(i) = a_i \pmod{r}$$

$$(ii) \quad w(h) + w(h') = 0 \pmod{r}$$

when  $\underline{h \quad h'}$  form an edge

$$(iii) \quad \sum_{h \vdash v} w(h) = d(v) \pmod{r}$$

Let  $W_{\Gamma, r}$  be the set of all

weightings mod  $r$  of  $\Gamma$ .

$W_{\Gamma, r}$  is a finite set of cardinality  $r^{h'(\Gamma)}$

Let  $P_{g,A}^r$  be the degree  $g$  part of

$$\sum_{\Gamma \in G_{g,n,d}} \sum_{W \in W_{\Gamma,r}} \frac{1}{|\text{Aut } \Gamma|} \frac{1}{r^{h'(\Gamma)}} \cdot$$

$$i_{\Gamma^*} \left[ \prod_{i=1}^n \exp\left(\frac{a_i}{2} \psi_i + q_i \xi_i\right) \cdot \prod_{v \in \text{Vert}(\Gamma)} \exp\left(-\frac{1}{2} \eta(v)\right) \right.$$

Version of  
Pixton's  
formula

$$\cdot \prod_{e=(h,h') \in \text{Edge}(\Gamma)} \frac{1 - \exp\left(-\frac{\omega(h)\omega(h')}{2} \cdot (\psi_h + \psi_{h'})\right)}{\psi_h + \psi_{h'}} \left. \right]$$

Claim: for  $r \gg 0 \Rightarrow$  dependence upon  $r$  is polynomial

Theorem BHPSS:  $A_{g,A}^J = P_{g,A}^{r=0}$  [Proof is long]

Two immediate applications

- Calculate the classes in  $\bar{M}_{g,n}$  of the loci of holomorphic and meromorphic differentials.

- Yields relations in  $P_{g,A}^r$

which then constrain

Gromov-Witten theory      Bae-Builes

Suggests studying the operational

chow ring of the Picard stack  $P_{g,A}^r$

The End



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