

genus 0



genus 1



genus 2

# Algebraic geometry of moduli spaces

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## §I. PARTITIONS

How can we write  $n$  as a sum of positive numbers?

The full list of partitions of  $n = 3$  is

$$3, \quad 2 + 1, \quad 1 + 1 + 1$$

and the full list of partitions of  $n = 4$  is

$$4, \quad 3 + 1, \quad 2 + 2, \quad 2 + 1 + 1, \quad 1 + 1 + 1 + 1.$$

There are 3 partitions of 3 and 5 partitions of 4.

$p(n) = \text{Number of partitions of } n$
--

so  $p(3) = 3$  and  $p(4) = 5$ .

A formula for  $p(n)$ ?

There is no direct formula for  $p(n)$ , but there is a formula for the **generating series**:

$$\sum_{n=0}^{\infty} p(n)q^n = \prod_{k=1}^{\infty} \left( \frac{1}{1-q^k} \right)$$

Expand the right side

$$\begin{aligned} \sum_{n=0}^{\infty} p(n)q^n &= \left( \frac{1}{1-q} \right) \left( \frac{1}{1-q^2} \right) \left( \frac{1}{1-q^3} \right) \cdots \\ &= 1 + q^1 + 2q^2 + 3q^3 + 5q^4 + 7q^5 + \dots \end{aligned}$$

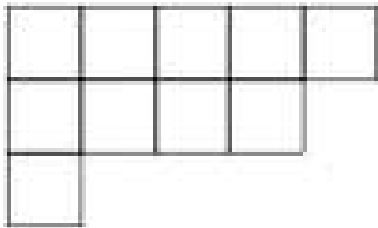
The product formula for the counting of partitions was found by Leonhard Euler (1707-1783):



Express partitions as diagrams:

$$10 = 5 + 4 + 1$$

can be pictured as



Such a diagram may be viewed as stacking squares in the corner of a 2-d room (stable for both possible directions of gravity).

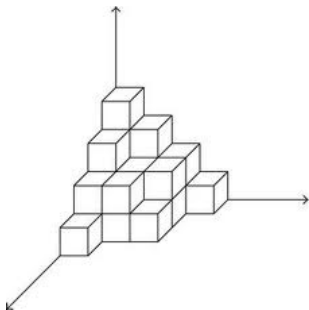
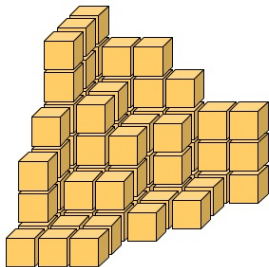
What about 3-dimensions?

We would like to stack 3-dimensional boxes in the corner of a 3-dimensional room.



The above is a photo of the installation, *Five Boxes*, by the Icelandic artist Egill Sæbjörnsson.

A 3-dimensional partition is a stacking of boxes in the corner of a room (which is stable for any of the three possible directions of gravity).



$$P(n) = \text{Number of 3-dimensional partitions of } n .$$

We see  $P(1) = 1$ ,  $P(2) = 3$ ,  $P(3) = 6$ , ... .

A formula for  $P(n)$ ?

Again, there is no direct formula for  $P(n)$ , but there is a formula for the **generating series**:

$$\sum_{n=0}^{\infty} P(n)q^n = \prod_{k=1}^{\infty} \left( \frac{1}{1-q^k} \right)^k$$

The formula is due to Percy MacMahon (1854-1929). Before his mathematical career, he was a Lieutenant in the British army. He was said to be at least partially inspired by stacking cannon balls.





A formula for counting partitions in 4-dimensions?

$$\text{2-dim} \quad \sum_{n=0}^{\infty} p(n)q^n = \prod_{k=1}^{\infty} \left( \frac{1}{1-q^k} \right)$$

$$\text{3-dim} \quad \sum_{n=0}^{\infty} P(n)q^n = \prod_{k=1}^{\infty} \left( \frac{1}{1-q^k} \right)^k$$

%pause

MacMahon proposed  $\prod_{k=1}^{\infty} \left( \frac{1}{1-q^k} \right)^{\binom{k+1}{2}}$  for the generating series of 4-dimensional partitions. %pause

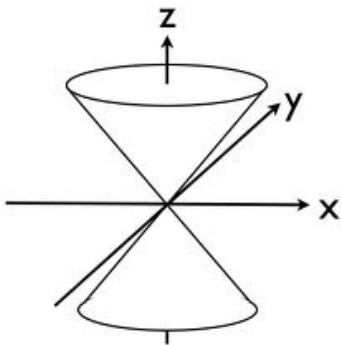
He was wrong! Formulas for dimensions 4 and higher are **unknown**.

## §II. IDEALS

Algebraic geometry is the study of **zeros** of polynomial equations.

$\mathbb{C}[x, y, z]$  is the ring of polynomials in the variables  $x, y, z$ .

The zeros of  $x^2 + y^2 - z^2$  form the **cone**:



An **ideal** is a vector subspace of polynomials  $\mathcal{I} \subset \mathbb{C}[x, y, z]$  satisfying

$$f \cdot \mathcal{I} \subset \mathcal{I}$$

for every polynomial  $f \in \mathbb{C}[x, y, z]$ .

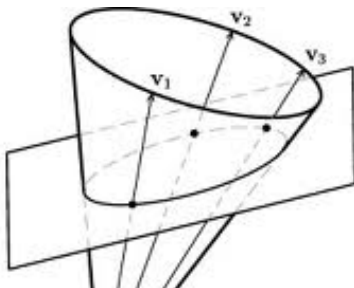
To each ideal  $\mathcal{I} \subset \mathbb{C}[x, y, z]$ , we associate the zero set:

$$\mathcal{I} \longleftrightarrow V_{\mathcal{I}} \subset \mathbb{C}^3.$$

To the ideal  $\mathcal{I} = (x^2 + y^2 - z^2, x - y - z + 1)$ , we associate the curve defined by the intersection:

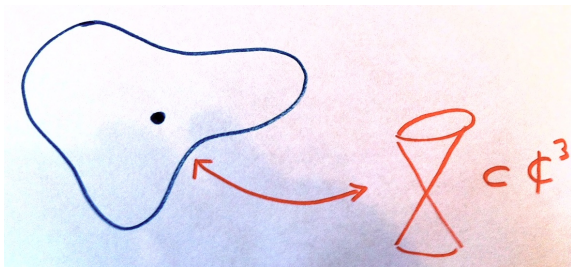
%pause

$$V_{\mathcal{I}} =$$



An idea due Alexander Grothendieck (1928- ) is to parameterize all algebraic subspaces of  $\mathbb{C}^3$  by another algebraic space, the **Hilbert scheme**.

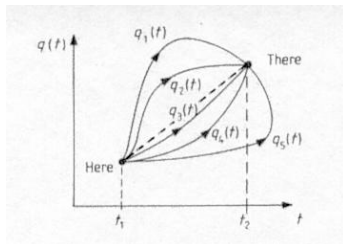
The Hilbert scheme is an example of a **moduli space** in algebraic geometry:  
%pause



Every **point** of the Hilbert scheme corresponds to an **algebraic subspace** of  $\mathbb{C}^3$ .

### §III. INTEGRATION

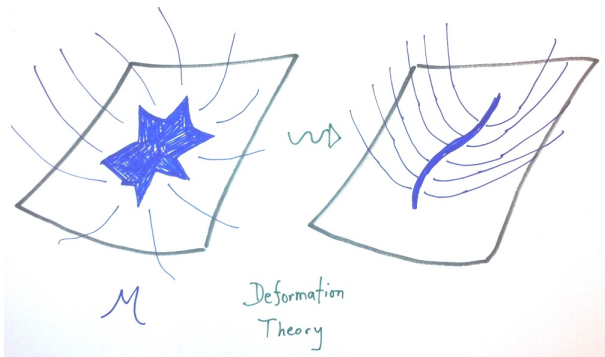
In quantum field theories (and string theory), path integrals arise: integrals over the spaces of functions.



Sometimes (in the presence of supersymmetry and further constraints), such path integrals are related to integration over **finite-dimensional moduli spaces in algebraic geometry**. Examples in gauge theory, topological string theory, ...

In 1990's, there was an effort made in algebraic geometry to define the integration on algebraic moduli spaces predicted by path integral techniques [Kontsevich, Li-Tian, Behrend-Fantechi].

The idea is to use **deformation theory** in algebraic geometry. The moduli spaces, such as the **Hilbert scheme**, are very singular spaces, but we have some understanding of their local structure:



The outcome is a **virtual fundamental class** and a well-defined theory of integration on many algebraic moduli spaces including the **Hilbert scheme** of  $\mathbb{C}^3$ .

What happens if we integrate over the Hilbert scheme of  $\mathbb{C}^3$ ?

There are many components of the Hilbert scheme. We integrate over the components where

$$\dim_{\mathbb{C}} \frac{\mathbb{C}[x, y, z]}{\mathcal{I}} < \infty ,$$

%pause

Result of **Maulik, Nekrasov, Okounkov, P [2003]**:

$$\int_{\text{Hilbert scheme}(\mathbb{C}^3)} (-q)^{\dim\left(\frac{\mathbb{C}[x,y,z]}{\mathcal{I}}\right)} = \prod_{k=1}^{\infty} \left( \frac{1}{1 - q^k} \right)^k$$

which is MacMahon's series for counting 3-dimensional partitions.

The study of such integration over the Hilbert scheme of  $\mathbb{C}^3$  is called **Donaldson-Thomas** theory — viewed as a counting theory of **sheaves**.

Donaldson-Thomas theory can be studied for any **nonsingular 3-dimensional space**, not just  $\mathbb{C}^3$ . For example the **Calabi-Yau quintic**,

$$(x^5 + y^5 + z^5 + w^5 = 1) \subset \mathbb{C}^4 .$$

The outcome is a completely non-linear generalization of the box counting of MacMahon.

**What are the answers?**

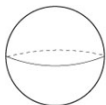
%pause In basic toric cases, new **product formulae** have been found. In elliptic and K3 case, the answers are in terms of **modular forms**. **Hypergeometric** series play a role in the Calabi-Yau 3-fold cases.



## §IV. CURVES

Another **counting question** began in the 19<sup>th</sup> century: the counting of algebraic curves.

An **algebraic curve** is a complex 1-dimensional manifold, so a real 2-dimensional surface:



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genus 1



genus 2



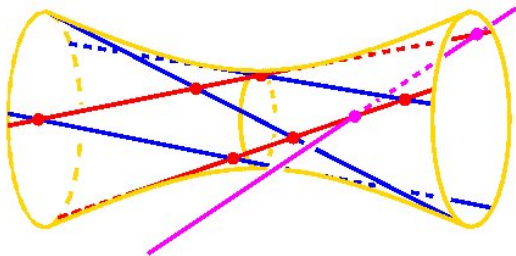
Hermann Schubert  
(1848-1911) teacher of  
of Adolf Hurwitz  
(1859-1919)



How many lines meet 4 skew lines in space?

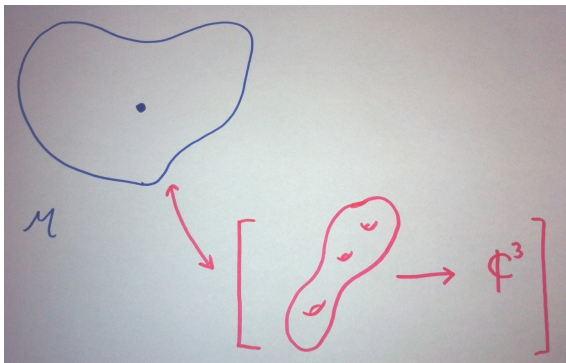
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Answer is 2:



%pause There was a long classical development of curve enumeration. But the subject has now been recast as **Gromov-Witten** theory.

There is a **moduli space** of maps from curves to algebraic spaces such as  $\mathbb{C}^3$ .



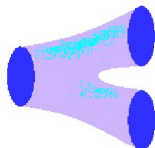
Instead of  $\mathbb{C}^3$ , other targets such as toric varieties or the Calabi-Yau quintic can be considered. The study of integration over the moduli of such maps is **Gromov-Witten** theory.

The **partition function** for Gromov-Witten theory is

$$Z_{\text{GW}}(u) = \sum_g u^{2g-2} N_g$$

where  $N_g$  is the count of the genus  $g$  curves in the specified geometry.

Gromov-Witten theory has origins in Gromov's study of holomorphic maps in symplectic geometry and Witten's study of topological string theory.



## §V. EQUIVALENCE

Box and curve counting questions in 3-dimensions are **equivalent**

Let  $X$  be any nonsingular 3-fold. Let  $Z_{\text{DT}}(q)$  be the generating series for the Hilbert scheme integrals of **Donaldson-Thomas** theory. Let  $Z_{\text{GW}}(u)$  be the generating series for the moduli space of map integrals of **Gromov-Witten** theory.

The main conjectured correspondence **[MNOP]**:

$$Z_{\text{DT}}(q) = Z_{\text{GW}}(u)$$

after the change of variables  $-q = e^{iu}$

The equivalence for  $\mathbb{C}^3$  generalizes (and provides a proof of) the **topological vertex** formula of **Aganagic, Klemm, Mariño, Vafa**.

For the classical spaces, the correspondence unites the counting problems of **Hurwitz, MacMahon, Schubert, ...**

The main correspondence is proven for many geometries and is open for many geometries. Lots of work to do!



## §PHOTO CREDITS

- P.01 Partition Diagram [Richard Szabo], Surfaces [Sean Carroll]
- P.02 Euler and 10 Swiss Franc Note [Wikipedia]
- P.05  $5+4+1$  [Wikipedia]
- P.06 <http://www.i8.is/> and Reykjavik Museum of Art [Egill Sæbjörnsson]
- P.07 Left Partition Diagram [Joan Remski]
- P.08 MacMahon [Wikipedia]
- P.10 Cone [Haskell for Maths]
- P.11 Intersection [M. Shenck, S.D. Guest, J.L.Herder]
- P.12 Grothendieck [Wikipedia]
- P.13 Path Integral [universe-review.ca]
- P.17 Schubert and Hurwitz [Wikipedia]
- P.18 4 Lines [Frank Sottile]
- P.20 Pair of Pants [David Gao]
- P.23 27 Lines on a Cubic [Cayetano Ramirez Lopez]