

# Curves on K3 surfaces - what to do with multiple covers?

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- K3 surfaces and GW invariants
- Brief history: YZ, MPT, KKV, PT
- Multiple cover formula
- Recent progress

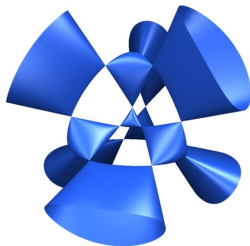
- $S$  - complex smooth projective K3 surface:

$$\omega_S \cong \mathcal{O}_S, \quad H^1(S, \mathcal{O}_S) = 0$$

- $\beta \in H_2(S, \mathbb{Z})$  effective curve class
- Example: Fermat quartic  $S \subset \mathbb{P}^3$  zero locus of

$$x_0^4 + x_1^4 + x_2^4 + x_3^4 = 0$$

and  $\beta = c_1(\mathcal{O}(1)|_S)$

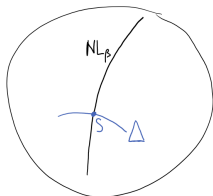


- Want to define  $\text{GW}_{g,\beta}^S$  (enumerative invariants, string theory, etc.)
- Problem:  $\beta$  deforms outside of  $\text{NL}_\beta$ , i.e. no longer  $(1, 1)$  Hodge class

$$\implies \overline{M}_{g,n}(S, \beta) \text{ has virtual class } [\ ]^{\text{vir}} = 0$$

- Solution: Remove trivial piece  $\mathcal{O}_{\overline{M}}$  from obstruction theory

$$\implies [\overline{M}_{g,n}(S, \beta)]^{\text{red}} \in A_{g+n}(\overline{M}_{g,n}(S, \beta))$$



Moduli space  
of K3 surfaces

- Key fact: By deformation (Torelli theorem)  $\text{GW}(S, \beta)$  depends only on

$$\beta^2 \in 2\mathbb{Z}, \quad \text{div}(\beta)$$

- Often use  $S \rightarrow \mathbb{P}^1$  elliptic K3 surface with section  $B$  and fiber class  $F$ :

$$mB + hF \in H_2(S, \mathbb{Z})$$

## Definition

For  $\psi_i \in A^1(\overline{M}_{g,n}(S, \beta))$ ,  $\gamma_1, \dots, \gamma_n \in H^*(S, \mathbb{Q})$  define

$$\langle \tau_{k_1}(\gamma_1) \cdots \tau_{k_n}(\gamma_n) \rangle_{g, \beta}^S = \int_{[\overline{M}_{g,n}(S, \beta)]^{\text{red}}} \prod \psi_i^{k_i} \text{ev}_i^* \gamma_i \in \mathbb{Q}.$$

- $\beta_h \in H_2(S, \mathbb{Z})$  primitive with  $\beta_h^2 = 2h - 2$  (e.g.  $\beta_h = B + hF$ )
- Genus  $g = 0$ :  $N_h = \int_{[\overline{M}_0(S, \beta_h)]^{red}} 1 = \#_{vir}(\text{Rational curves})$
- Yau–Zaslow formula: generating series is a modular form

$$\begin{aligned} \sum_{h \geq 0} N_h q^{h-1} &= q^{-1} \prod_{k \geq 1} \frac{1}{(1 - q^k)^{24}} \\ &= q^{-1} + 24 + 324q + 3200q^2 + \dots \end{aligned}$$

Maulik–Pandharipande–Thomas '10:

- (Quasi-)modularity true for all descendents ( $\beta$  primitive)!
- Algorithm for computation (reducing to YZ formula)
- (Katz–Klemm–Vafa formula): Computation of

$$N_{g,\beta} = \int_{[\overline{M}_g(S,\beta)]^{red}} (-1)^g \lambda_g \in \mathbb{Q},$$

where  $\lambda_g = c_{top}(\mathbb{E}_g)$  and  $\mathbb{E}_g$  is the Hodge bundle.

- (1) Technique of proof?
- (2) Why this particular integral?



Two types of degenerations of  $S$ :

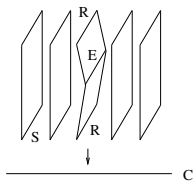
- Degeneration to normal cone of smooth fiber  $E \subset S$

$$S \rightsquigarrow S \cup_E \mathbb{P}^1 \times E$$

- Breaking

$$S \rightsquigarrow R \cup_E R,$$

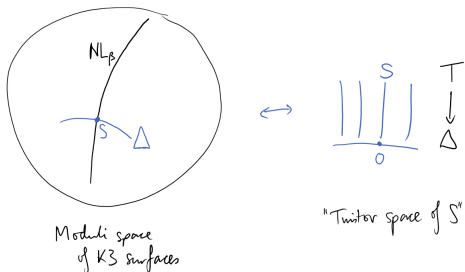
where  $R \rightarrow \mathbb{P}^1$  rational elliptic surface.



**Key in both cases:**  $\beta \cdot E = 1$

# 3-fold invariants

- $N_{g,\beta} = \int_{[\overline{M}_g(S,\beta)]^{red}} (-1)^g \lambda_g$  naturally arises from 3-fold geometry:



- As moduli spaces there is an equality

$$\overline{M}_g(T, \iota_*\beta) = \overline{M}_g(S, \beta)$$

with virtual class  $[ ]^{vir} = [ ]^{red} \cap (-1)^g \lambda_g$

- Let  $\beta$  primitive and  $m \geq 1$   
 $\rightsquigarrow$  formula for  $m\beta$  (from 3-fold point of view)?
- Example  $X = \mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^1$  non-compact Calabi–Yau 3-fold:

$$N_{g=0, d[\mathbb{P}^1]}^X = \frac{1}{d^3} \quad (\text{compare with YZ formula})$$

$$N_{g, d[\mathbb{P}^1]}^X = \frac{|B_{2g}|}{2g(2g-2)!} d^{2g-3}$$

## Theorem (Pandharipande–Thomas '14)

$$N_{g,m\beta} = \sum_{d|m} d^{2g-3} N_{g,\beta h_d},$$

where  $2h_d - 2 = (\frac{m}{d}\beta)^2$ .

Using Calabi–Yau 3-fold + MNOP move problem to *sheaf theory* (stable pairs) on K3 surfaces:

Certain integrals on Hilbert schemes depend on  $\beta$  only through  $\beta^2$ ,  
*independent of divisibility*

- At the heart: Let  $d \mid m$  and

$$C' \xrightarrow{d:1} C, \quad C \xrightarrow{f} S$$

with  $f_*[C] = \frac{m}{d}\beta$ , then  $f'_*[C'] = m\beta$  for the composition

- $\overline{M}_{g,n}(S, m\beta)$  has (besides contracted components) all sorts of complicated components from multiple covers
- Formula for  $m\beta$  via divisors  $d \mid m$  ?

## Conjecture (Oberdieck–Pandharipande '14)

$$\langle \alpha; \gamma_1 \dots \gamma_n \rangle_{g,m\beta} = \sum_{d|m} d^{2g-3+\sum \deg(\gamma_i)} \langle \alpha; \tilde{\gamma}_1 \dots \tilde{\gamma}_n \rangle_{g,\beta h_d}$$

Supporting evidence:

- $g \leq 1$  all curve classes
- $(-1)^g \lambda_g$  in all genus, all curve classes
- Example (Oberdieck): Genus 2 invariant  $\langle \tau_0(p)^2 \rangle_{2,2\beta_2}$

## Theorem (Bae-B. '20)

*For divisibility two curve classes  $2\beta$ :*

- (i) (Quasi-)modularity in all genus, all descendents*
- (ii) Holomorphic anomaly equation (recursive structure for certain derivate of generating series)*
- (iii) Extend algorithm [MPT] to compute all  $\text{GW}_{g,2\beta}^S$*

**What's new?**

- $\mathfrak{M}_{g,n}$  - stack of prestable curves,  $\mathfrak{Pic}_{g,n} \rightarrow \mathfrak{M}_{g,n}$  Picard stack for the universal curve
- $\mathfrak{Pic}_{g,n}$  classifies pairs  $(C, L)$  of prestable curve with line bundle
- $S$  - K3 surface,  $\mathcal{L} \in \text{Pic}(S)$  get a morphism

$$\varphi_{\mathcal{L}}: \overline{M}_{g,n}(S, \beta) \rightarrow \mathfrak{Pic}_{g,n}, [f: C \rightarrow S] \mapsto (C, f^* \mathcal{L})$$

- Pull-back tautological relations in  $A^*$  (or  $H^*$ ) via this map



Let  $d = \int_{\beta} \mathcal{L}$ ,  $A = (a_1, \dots, a_n) \in \mathbb{Z}^n$  be a vector of integers satisfying

$$\sum_i a_i = d.$$

Denote by  $P_{g,A,d}^c$  the codimension  $c$  component in  $A_{\text{op}}^c(\mathfrak{Pic}_{g,n})$  (followed by taking constant part in  $r$ ) of

$$\sum_{\substack{\Gamma \in \mathcal{G}_{g,n,d} \\ w \in \mathcal{W}_{\Gamma,r}}} \frac{r^{-h^1(\Gamma_{\delta})}}{|\text{Aut}(\Gamma_{\delta})|} j_{\Gamma*} \left[ \prod_{i=1}^n \exp\left(\frac{1}{2} a_i^2 \psi_i + a_i \xi_i\right) \prod_{v \in V(\Gamma_{\delta})} \exp\left(-\frac{1}{2} \eta(v)\right) \right. \\ \left. \prod_{e=(h,h') \in E(\Gamma)} \frac{1 - \exp\left(-\frac{w(h)w(h')}{2}(\psi_h + \psi_{h'})\right)}{\psi_h + \psi_{h'}} \right].$$

## Theorem (BHPSS '20, Pixton)

- (i)  $P_{g,A,d}^c = 0$  for all  $c > g$  in  $A_{\text{op}}^c(\mathfrak{Pic}_{g,n})$
- (ii) *Dependence on  $\{a_i\}$  is polynomial*

Application: Choose  $\mathcal{L} \in \text{Pic}(S)$  and pullback equation to obtain

$$\varphi_{\mathcal{L}}^*(P_{g,A,d}^c = 0) \cap [ ]^{\text{red}} \in A_{g+n-c}(\overline{M}_{g,n}(S, \beta))$$

then pick monomial in  $\{a_i\}$

**Upshot: relation among descendents**

- Let  $S \rightarrow \mathbb{P}^1$  elliptic with section  $B$ , fiber class  $F$ :

$$\beta = mB + hF \in H_2(S, \mathbb{Z})$$

- For  $\text{div}(\beta) \geq 2$  consider genus 2 invariant  $\langle \tau_1(F)\tau_0(p) \rangle_{2,\beta}$ , where  $p \in H^4(S)$  class of a point
- (Refined) algorithm [MPT] breaks down in this case
- Use relation  $P_{2,A,m}^3 = 0$  and reduce to descendents where algorithm applies

- Line bundle  $\mathcal{L} = \mathcal{O}_S(F)$ , then  $\int_\beta \mathcal{L} = m$
- For  $a_1 + a_2 = m$  the  $[a_1^4]$ -coefficient is (up to lower genus data)

$$-\frac{1}{2}\psi_1 \text{ev}_1^*(F) \text{ev}_2^*(F) - \frac{1}{2}\psi_2 \text{ev}_1^*(F) \text{ev}_2^*(F)$$

- Integrating

$$\text{ev}_2^*(B) P_{2,A,m}^3|_{a_2=m-a_1}$$

against the reduced class  $[\overline{M}_{2,2}(S, \beta)]^{red}$ , we find

$$-\frac{1}{2}\langle \tau_1(F)\tau_0(p) \rangle_{2,\beta} - \underbrace{\frac{m}{2}\langle \tau_1(p) \rangle_{2,\beta}}_{\text{algorithm applies}} + (\text{lower genus data}) .$$

- Relation on  $\overline{M}_{2,2}(S, \beta)$ :

$$-\frac{1}{2}\psi_1 \text{ev}_1^*(F) \text{ev}_2^*(F) - \frac{1}{2}\psi_2 \text{ev}_1^*(F) \text{ev}_2^*(F) + (\text{lower genus data}) = 0$$

- Traded  $\psi_1 \longleftrightarrow \psi_2$  after  $(\dots) \cup \text{ev}_1^*(F) \text{ev}_2^*(F)$
- Not available on  $\overline{M}_{2,2}$

- For  $\text{div} = 2$  sufficient to calculate some initial data in this way
- For  $\text{div} > 2$  not clear how much initial data is sufficient
- **Pro:** Relations for all genus, all curve classes
- **Con:** Complicated to study systematically
- [MPT] algorithm used Ionel-Getzler vanishing: any  $\alpha \in R^{\geq g}(\overline{M}_{g,n})$  is pushforward from boundary  $\partial\overline{M}_{g,n} \rightarrow \overline{M}_{g,n}$
- Attempt to use DR relations for such a study on  $\overline{M}_{g,n}(S, \beta)$